# CSCI-1680 RPC and Data Representation

John Jannotti



## **Today**

- Defining Protocols
  - RPC
  - IDL



#### **Problem**

- Two programs want to communicate: must define the protocol
  - We have seen many of these, across all layers
  - E.g., Snowcast packet formats, protocol headers
- Key Problems
  - Semantics of the communication
    - APIs, how to cope with failure
  - Data Representation
  - Scope: should the scheme work across
    - Architectures
    - Languages
    - Compilers...?



#### **RPC – Remote Procedure Call**

- Procedure calls are a well understood mechanism
  - Transfer control and data on a single computer
- Idea: make distributed programming look the same
  - Have servers export interfaces that are accessible through local APIs
  - Perform the illusion behind the scenes
- Two Major Components
  - Protocol to manage messages sent between client and server
  - Language and compiler support
    - Packing, unpacking, calling function, returning value



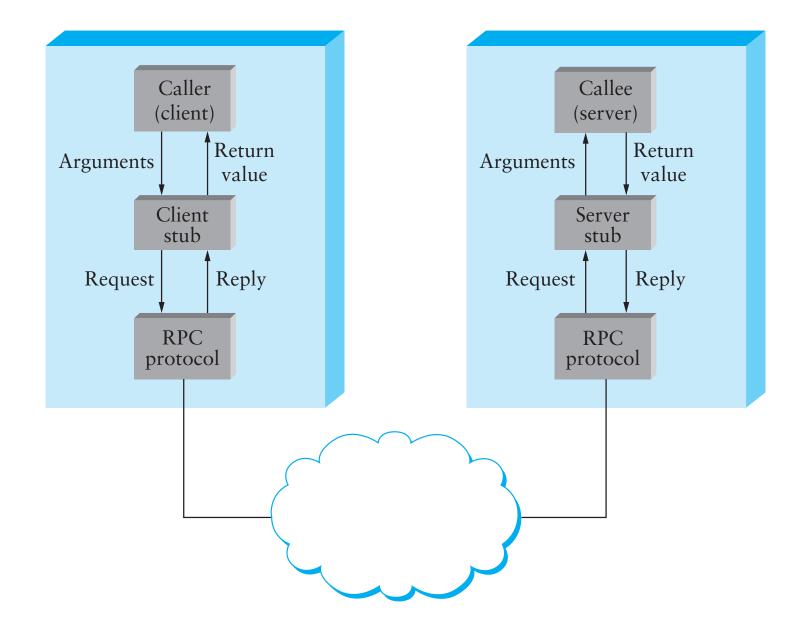
#### **Stub Functions**

- Local stub functions at client and server give appearance of a local function call
- client stub
  - marshalls parameters -> sends to server -> waits (some RPC-like mechanisms offer asynchrony)
  - unmarshalls results -> returns to client

#### server stub

- creates socket/ports and accepts connections
- receives message from client stub -> unmarshalls parameters -> calls server function
- marshalls results -> sends results to client stub







## Can we maintain the same semantics?

- Mostly...
- Why not?
  - New failure modes: nodes, network
- Possible outcomes of failure
  - Procedure did not execute
  - Procedure executed once
  - Procedure executed multiple times
  - Procedure partially executed
- Desired: at-most-once semantics



## Implementing at-most-once semantics

- Problem: request message lost
  - Client must retransmit requests when it gets no reply
- Problem: reply message lost
  - Client may retransmit previously executed request
  - OK if operation is idempotent
  - Server must keep "replay cache" to reply to already executed requests
- Problem: server takes too long executing
  - Client will retransmit request already in progress
  - Server must recognize duplicate could reply "in progress"
- Solution: Send messages over TCP?

#### **Server Crashes**

- Problem: server crashes and reply lost
  - Make replay cache persistent slow, odd interface
  - Hope reboot takes long enough for all clients to fail
- Problem: server crashes during execution
  - Log enough to restart partial execution slow and hard
  - Hope reboot takes long enough for all clients to fail
- Can use "cookies" to inform clients of crashes
  - Server gives client cookie, which is f(time of boot)
  - Client includes cookie with RPC
  - After server crash, server will reject invalid cookie



## **RPC Components**

#### Stub Compiler

- Creates stub methods
- Creates functions for marshalling and unmarshalling

#### Dispatcher

- Demultiplexes programs running on a machine
- Calls the stub server function

#### Protocol

- At-most-once semantics (or not)
- Reliability, replay caching, version matching
- Fragmentation, Framing (depending on underlying protocols)



## **Examples of RPC Systems**

#### SunRPC (now ONC RPC)

- The first popular system
- Used by NFS
- Not popular for the wide area (security, convenience)

#### Java RMI

- Popular with Java
- Only works among JVMs

#### DCE

- Used in ActiveX and DCOM, CORBA
- Stronger semantics than SunRPC, much more complex



## ...even more examples

- XML-RPC, SOAP
- Json-RPC
- Apache Thrift



## **Presentation Formatting**

- How to represent data?
- Several questions:
  - Which data types do you want to support?
    - Base types, Flat types, Complex types
  - How to encode data into the wire
  - How to decode the data?
    - Self-describing (tags)
    - Implicit description (the ends know)
- Several answers:
  - Many frameworks do these things automatically



## Which data types?

#### Basic types

- Integers, floating point, characters
- Some issues: endianness (ntohs, htons), character encoding, IEEE 754, unions

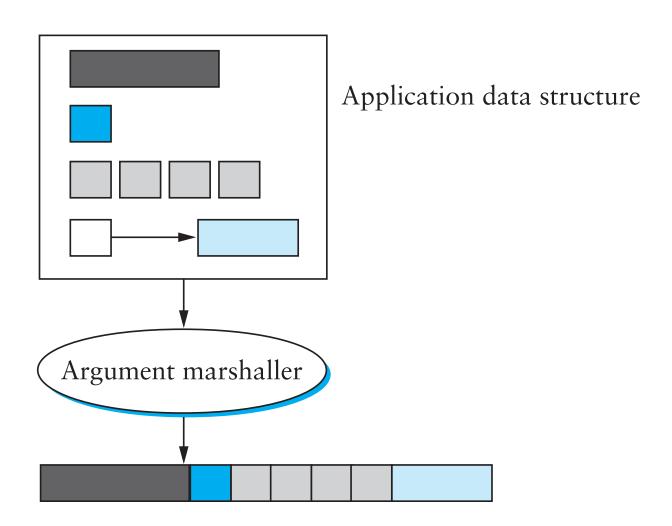
#### Flat types

- Strings, structures, arrays
- Some issues: packing of structures, order, variable length

#### Complex types

Pointers! Must flatten, or serialize data structures







#### **Data Schema**

- How to parse the encoded data?
- Two Extremes:
  - Self-describing data: tags
    - Additional information added to message to help in decoding
    - Examples: field name, type, length
  - Implicit: the code at both ends "knows" how to decode the message
    - E.g., your Snowcast implementation
    - Interoperability depends on well defined protocol specification!
    - very difficult to change



#### **Stub Generation**

- Many systems generate stub code from independent specification: IDL
  - IDL Interface Description Language
    - describes an interface in a language neutral way
- Separates logical description of data from
  - Dispatching code
  - Marshalling/unmarshalling code
  - Data wire format



## Example: Sun XDR (RFC 4506)

- External Data Representation for SunRPC
- Types: most of C types
- No tags (except for array lengths)
  - Code needs to know structure of message
- Usage:
  - Create a program description file (.x)
  - Run rpcgen program
  - Include generated .h files, use stub functions
- Very C/C++ oriented
  - Although encoders/decoders exist for other languages



### **Example: fetch and add server**

In fadd\_prot.x:

```
struct fadd_arg {
  string var<>;
  int inc;
};
union fadd_res switch (bool error) {
case TRUE:
  int sum;
case FALSE:
  string msg<>;
};
```



## **RPC Program Definition**

```
program FADD_PROG {
   version FADD_VERS {
     void FADDPROC_NULL (void) = 0;
     fadd_res FADDPROC_FADD (fadd_arg) = 1;
   } = 1;
} = 300001;
```

 Rpcgen generates marshalling/ unmarshalling code, stub functions, you fill out the actual code



#### **XML**

- Other extreme
- Markup language
  - Text based, semi-human readable
  - Heavily tagged (field names)
  - Depends on external schema for parsing
  - Hard to parse efficiently
  - Easier to extend compatibly

```
<person>
  <name>John Doe</name>
  <email>jdoe@example.com</email>
  </person>
```



## **Google Protocol Buffers**

#### Defined by Google, released to the public

- Widely used internally and externally
- Supports common types, service definitions
- Natively generates C++/Java/Python code
  - Over 20 other supported by third parties
- Not a full RPC system, only does marshalling
  - Many third party RPC implementations
- Efficient binary encoding, readable text encoding

#### Performance

- 3 to 10 times smaller than XML
- 20 to 100 times faster to process



## **Protocol Buffers Example**

```
message Student {
     required String name = 1;
     required int32 credits = 2;
(...compile with proto)
   Student s;
   s.set name("Jane");
   s.set credits(20);
   fstream output("students.pb", ios:out | ios:binary);
   s.SerializeToOstream(&output);
(...somebody else reading the file)
   Student s;
   fstream input("students.pb", ios:in | ios:binary);
   s.ParseFromIstream();
```



## **Binary Encoding**

- Integers: varints
  - 7 bits out of 8 to encode integers
  - Msb: more bits to come
  - Multi-byte integers: least significant group first
- Signed integers: zig-zag encoding, then varint
  - 0:0, -1:1, 1:2, -2:3, 2:4, ...
  - Advantage: smaller when encoded with varint
- General:
  - Field number, field type (tag), value
- Strings:
  - Varint length, unicode representation



## **Apache Thrift**

- Originally developed by Facebook
- Used heavily internally
- Full RPC system
  - Support for C++, Java, Python, PHP, Ruby,
     Erlang, Perl, Haskell, C#, Cocoa, Smalltalk, and
     Ocaml
- Many types
  - Base types, list, set, map, exceptions
- Versioning support
- Many encodings (protocols) supported
  - Efficient binary, json encodings



### **Apache Avro**

- Yet another newcomer
- Likely to be used for Hadoop data representation
- Encoding:
  - Compact binary with schema included in file
  - Amortized self-descriptive
- Why not just create a new encoding for Thrift?
  - I don't know...



#### **Conclusions**

- RPC is good way to structure many distributed programs
  - Have to pay attention to different semantics, though!
- Data: tradeoff between self-description, portability, and efficiency
- Unless you really want to bit pack your protocol, and it won't change much, use one of the IDLs
- Parsing code is easy to get (slightly) wrong, hard to get fast
  - Should only do this once, for all protocols

