

# CSCI 1510

- Post-Quantum PKE from LWE Assumption (Continued)
- Homomorphic Encryption
- Somewhat Homomorphic Encryption over Integers
- SWHE from LWE (GSW)

**ANNOUNCEMENT:** Mid-semester survey (for extra credit)

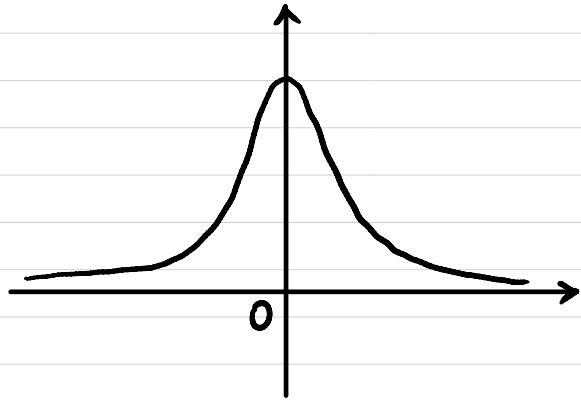
# Post-Quantum Assumption: Learning With Errors (LWE)

$n$ : security parameter

$$q \sim 2^{n^\epsilon}$$

$$m = \Omega(n \log q)$$

$\chi$ : distribution over  $\mathbb{Z}_q$   
(concentrated on "small integers")



$$\Pr[|e| > \alpha \cdot q \mid e \leftarrow \chi] \leq \text{negl}(n)$$

$\uparrow$   
 $\alpha \ll 1$

Def We say the decisional  $\text{LWE}_{n,m,q,\chi}$  problem is (quantum) hard if  $\forall$  (quantum) PPT  $A$ ,  $\exists$  negligible function  $\epsilon(\cdot)$  s.t.

$$\Pr \left[ \begin{array}{l} A \leftarrow \mathbb{Z}_q^{m \times n} \\ s \leftarrow \mathbb{Z}_q^n \\ e \leftarrow \chi^m \end{array} : \mathcal{A}(A, [As + e \bmod q]) = 1 \right]$$

$$- \Pr \left[ \begin{array}{l} A \leftarrow \mathbb{Z}_q^{m \times n} \\ b' \leftarrow \mathbb{Z}_q^m \end{array} : \mathcal{A}(A, b') = 1 \right] \leq \epsilon(n).$$

$$\begin{array}{c} \boxed{A}_{m \times n} \times \boxed{s}_{n \times 1} + \boxed{e}_{m \times 1} = \boxed{b}_{m \times 1} \end{array}$$

$$\begin{array}{c} \boxed{A}_{m \times n} \quad \boxed{b'}_{m \times 1} \end{array}$$

# Post-Quantum PKE: Regev Encryption

• Gen( $1^n$ ):

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \mathcal{X}^m$$

$$pk = (A, b = As + e \text{ mod } q)$$

$$sk = s$$

$$A_{m \times n} \times s_{n \times 1} + e_{m \times 1} = b_{m \times 1}$$

• Enc<sub>pk</sub>( $\mu$ ):  $\mu \in \{0, 1\}$

sample a random  $s \in [m]$

$$c = \left( \sum_{i \in S} A_i, \left( \sum_{i \in S} b_i \right) + \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor \right)$$

$i$ -th row of  $A$

$$r_{1 \times m} \times \begin{bmatrix} A & b \end{bmatrix}_{m \times (n+1)} + \begin{bmatrix} 0 & \mu \cdot \lfloor \frac{q}{2} \rfloor \end{bmatrix}_{1 \times (n+1)}$$

• Dec<sub>sk</sub>( $c$ ):

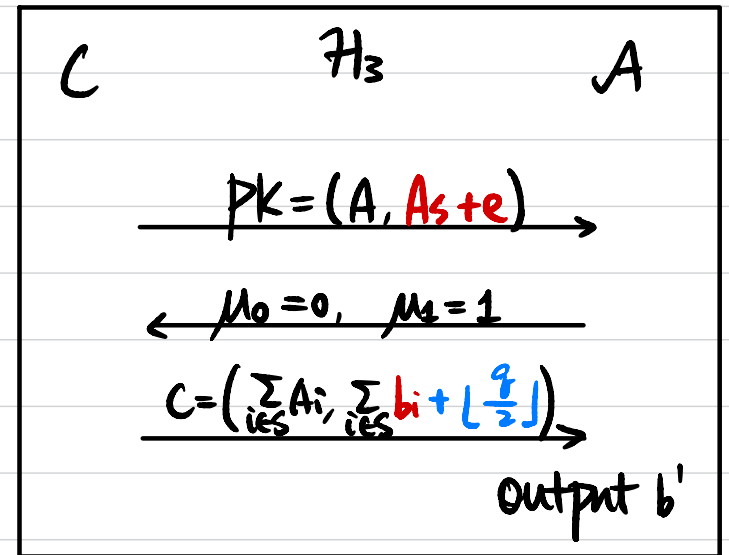
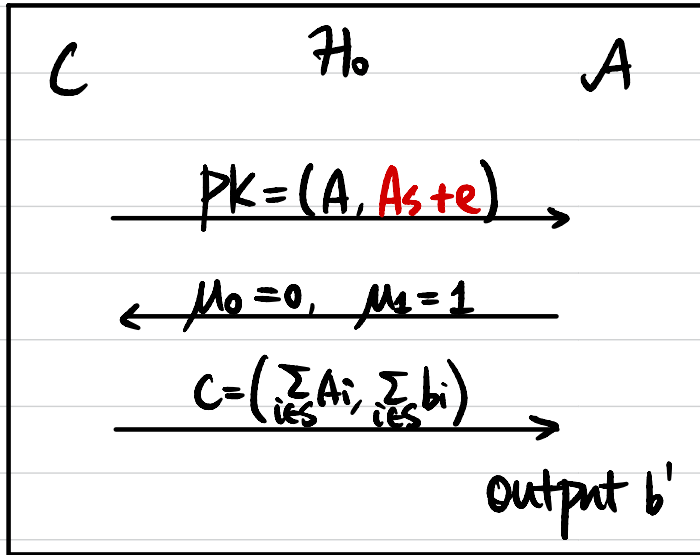
$$c = \begin{bmatrix} c_1 & c_2 \end{bmatrix}$$

$$c_2 - \langle c_1, s \rangle = \mu \cdot \left\lfloor \frac{q}{2} \right\rfloor + \sum_{i \in S} e_i$$

*small noise*

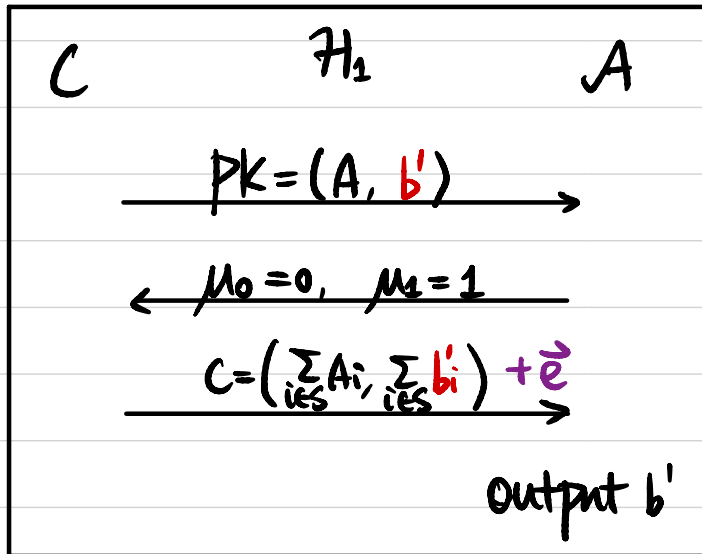
Thm If  $LWE_{n,m,q,\chi}$  is (quantum) hard, then Regev encryption is (post-quantum) CPA-secure.

Proof Sketch

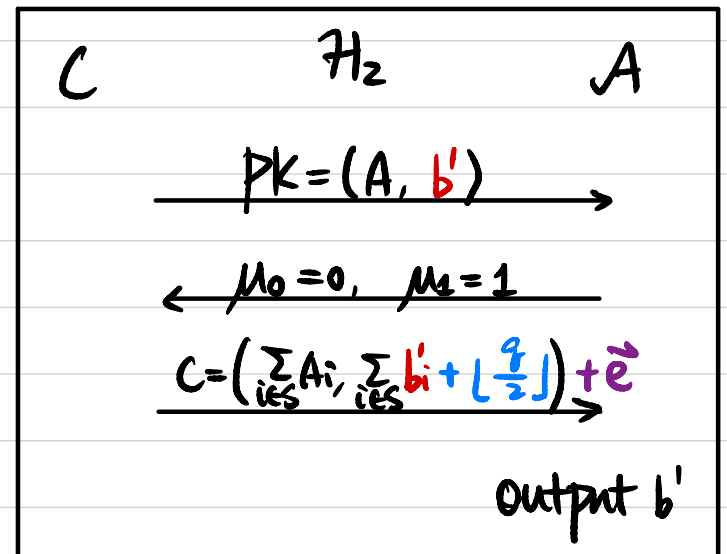


$\updownarrow$  LWE

$\updownarrow$  LWE



$\approx$





# Homomorphic Encryption

So far, encryption schemes:

$$ct \leftarrow \text{Enc}(x)$$

$$x \leftarrow \text{Dec}_{sk}(ct)$$

All-or-Nothing:

$$\text{w/ } sk \rightarrow x$$

$$\text{w/o } sk \rightarrow \text{Nothing}$$

Homomorphic Evaluation:



# Application: Outsourcing Storage & Computation

Server



Client



Data  $x$

Key  $sk$

$ct \leftarrow \text{Enc}(x)$

$\leftarrow ct$

$\leftarrow f$

$ct' \leftarrow \text{Eval}(f, ct)$

$\xrightarrow{ct'}$

$f(x) \leftarrow \text{Dec}_{sk}(ct')$

# Application: Privacy-Preserving Query

Server



Client



Input  $x$

Key  $sk$

$ct \leftarrow \text{Enc}(x)$

$\leftarrow ct$

ML/GPT/...



$ct' \leftarrow \text{Eval}(f, ct)$

$ct'$

$f(x) \leftarrow \text{Dec}_{sk}(ct')$

# Homomorphic Properties of Encryption Schemes

## Multiplicatively Homomorphic

$$\begin{array}{l} \text{Enc}(m_1) \\ \text{Enc}(m_2) \end{array} \begin{array}{l} \rightarrow \\ \rightarrow \end{array} \text{Enc}(m_1 \cdot m_2)$$

## Additively Homomorphic

$$\begin{array}{l} \text{Enc}(m_1) \\ \text{Enc}(m_2) \end{array} \begin{array}{l} \rightarrow \\ \rightarrow \end{array} \text{Enc}(m_1 + m_2)$$

## El Gamal:

$$c_1 = (g^{r_1}, h^{r_1} \cdot m_1)$$

$$c_2 = (g^{r_2}, h^{r_2} \cdot m_2)$$

$$\downarrow \\ (g^{r_1+r_2}, h^{r_1+r_2} \cdot (m_1 \cdot m_2))$$

## Exponential El Gamal:

$$\text{Enc}(m) = (g^r, h^r \cdot g^m)$$

$$c_1 = (g^{r_1}, h^{r_1} \cdot g^{m_1})$$

$$c_2 = (g^{r_2}, h^{r_2} \cdot g^{m_2}) \Rightarrow (g^{r_1+r_2}, h^{r_1+r_2} \cdot g^{m_1+m_2})$$

## Regev:

$$c_1 = (r_1^T \cdot A, r_1^T \cdot b + \mu_1 \cdot \lfloor \frac{q}{2} \rfloor)$$

$$c_2 = (r_2^T \cdot A, r_2^T \cdot b + \mu_2 \cdot \lfloor \frac{q}{2} \rfloor)$$

$$\downarrow \\ ((r_1+r_2)^T \cdot A, (r_1+r_2)^T \cdot b + (\mu_1+\mu_2) \cdot \lfloor \frac{q}{2} \rfloor)$$

Fully Homomorphic: Additively & Multiplicatively Homomorphic

## Is it possible?

- Question was asked back in 1978
- Big breakthrough in 2009 (Gentry)
  - Complicated construction
  - Non-standard assumptions
- By now: much simpler constructions from standard assumptions.

# Fully Homomorphic Encryption (FHE)

- **Syntax:** A (public-key) homomorphic encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$  w.r.t. function family  $\mathcal{F}$ :
  - $(pk, sk) \leftarrow \text{Gen}(1^n)$
  - $ct \leftarrow \text{Enc}_{pk}(m) \quad m \in \{0, 1\}$
  - $m \leftarrow \text{Dec}_{sk}(ct)$
  - $ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_k) \quad f: \{0, 1\}^k \rightarrow \{0, 1\}$

- **Correctness:**  $\forall f \in \mathcal{F}, \forall m_1, m_2, \dots, m_k \in \{0, 1\}$   
 $\Pr[\text{Dec}_{sk}(ct_f) = f(m_1, \dots, m_k)] \geq 1 - \text{negl}(n)$

where  $(pk, sk) \leftarrow \text{Gen}(1^n), ct_i \leftarrow \text{Enc}_{pk}(m_i) \quad \forall i \in [k],$   
 $ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_k).$

Impossible!

- **CPA/CCA Security?**

Missing Requirement?

$|ct_f| \leq \text{fixed poly}(n)$

Independent of circuit size of  $f$ .

# Fully Homomorphic Encryption (FHE)

- **Syntax:** A (public-key) homomorphic encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$  w.r.t. function family  $\mathcal{F}$ :
  - $(pk, sk) \leftarrow \text{Gen}(1^n)$
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  - $m \leftarrow \text{Dec}_{sk}(ct)$
  - $ct_f \leftarrow \text{Eval}(f, ct_1, \dots, ct_k) \quad f: \{0, 1\}^k \rightarrow \{0, 1\}$
- If  $\mathcal{F}$  is the set of **all** poly-sized Boolean circuits, then  $\Pi$  is **fully** homomorphic.

# FHE Constructions

Step 1: Somewhat Homomorphic Encryption (SWHE)

- over Integers
- from LWE (GSW)

Step 2: Bootstrapping



## SWHE over Integers

### Attempt 1 (Secret-key)

- secret key: odd number  $p$  ← Why odd?

- Enc( $m$ ):  $m \in \{0, 1\}$

Sample a random  $q$ .

Output  $ct = p \cdot q + m$

Encryption of 0 is a multiple of  $p$ .

- Dec( $ct$ ):  $ct \bmod p$

- Eval ADD:  $ct \leftarrow ct_1 + ct_2$

Eval MULT:  $ct \leftarrow ct_1 \cdot ct_2$

CPA Security? No!

$$\text{GCD}(p \cdot q_1, p \cdot q_2, \dots) = p$$

# SWHE over Integers

## Attempt 2 (secret-key)

- secret key: odd number  $p$

- Enc( $m$ ):  $m \in \{0, 1\}$

Sample a random  $q$ . Sample a random  $e \ll p$

Output  $ct = p \cdot q + m + ze$

Encryption of 0 is small and even modulo  $p$ .

- Dec( $ct$ ):  $[ct \bmod p] \bmod 2$

- Eval ADD:  $ct \leftarrow ct_1 + ct_2$

Eval MULT:  $ct \leftarrow ct_1 \cdot ct_2$

## • Approximate GCD Problem:

Given poly-many  $\{x_i = p \cdot q_i + s_i\}$ , output  $p$ .

Example parameters:  $p \sim 2^{O(n^2)}$ ,  $q_i \sim 2^{O(n^5)}$ ,  $s_i \sim 2^{O(n)}$

Best known attacks require  $2^n$  time.

## SWHE over Integers

### Attempt 3 (public-key)

- secret key: odd number  $p$

public key: "encryptions of 0"

$$\{x_i = p \cdot q_i + z e_i\}_{i \in [n]}$$

- Enc( $m$ ):  $m \in \{0, 1\}$

Sample a random  $e \ll p$

Output  $ct = (\text{random subset sum of } x_i\text{'s}) + m + ze$

Encryption of 0 is small and even modulo  $p$ .

- Dec( $ct$ ):  $[ct \bmod p] \bmod 2$

- Eval ADD:  $ct \leftarrow ct_1 + ct_2$

Eval MULT:  $ct \leftarrow ct_1 \cdot ct_2$

How homomorphic is it?

# Regen Encryption from LWE

$$A \leftarrow \mathbb{Z}_q^{m \times n} \quad s \leftarrow \mathbb{Z}_q^n \quad e \leftarrow \mathcal{X}^m$$

$$\begin{matrix} \boxed{A} & \times & \begin{matrix} \boxed{s} \\ \text{nx1} \end{matrix} & + & \begin{matrix} \boxed{e} \\ \text{mx1} \end{matrix} & = & \begin{matrix} \boxed{b} \\ \text{mx1} \end{matrix} \\ \text{mxn} & & & & & & \end{matrix}$$

$$pk = (A, b)$$

$$sk = s$$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample a random  $S \subseteq [m]$

$$c = \left( \sum_{i \in S} A_i, \left( \sum_{i \in S} b_i \right) + \mu \cdot \lfloor \frac{q}{2} \rfloor \right)$$

$i$ -th row of  $A$

$$Dec_{sk}(c): c = \begin{matrix} \boxed{c_1} & \boxed{c_2} \end{matrix}$$

$$c_2 - \langle c_1, s \rangle = \mu \cdot \lfloor \frac{q}{2} \rfloor + \sum_{i \in S} e_i$$

small noise

$$\begin{matrix} \boxed{B} & & \begin{matrix} \boxed{t} \\ \text{nx1} \end{matrix} \\ \parallel & & \parallel \\ \begin{matrix} \boxed{A} & \boxed{b} \\ \text{mxn} \end{matrix} & \times & \begin{matrix} \boxed{s} \\ \boxed{t} \\ \text{nx1} \end{matrix} & = & \begin{matrix} \boxed{e} \\ \text{mx1} \end{matrix} \end{matrix}$$

$$pk = B_{m \times n}$$

$$sk = t_{n \times 1}$$

$B \cdot t = \text{Small}$

$$Enc_{pk}(\mu): \mu \in \{0, 1\}$$

sample  $r \leftarrow \mathbb{Z}_{0,1}^m$

$$\begin{matrix} \boxed{r} & \times & \begin{matrix} \boxed{B} \\ \text{mxn} \end{matrix} & + & \begin{matrix} \boxed{0} & \boxed{\mu \cdot \lfloor \frac{q}{2} \rfloor} \\ \text{1xn} & \text{1xn} \end{matrix} \end{matrix}$$

$$c = r^T \cdot B + (0, \dots, 0, \mu \cdot \lfloor \frac{q}{2} \rfloor)$$

$$Dec_{sk}(c): \langle c, t \rangle = \mu \cdot \lfloor \frac{q}{2} \rfloor + \text{small noise}$$

# Regen Encryption from LWE

## Homomorphism:

$$C_1 = \text{Enc}(\mu_1) \quad \langle C_1, t \rangle = \text{"small"} + \mu_1 \cdot \lfloor \frac{q}{2} \rfloor$$

$$C_2 = \text{Enc}(\mu_2) \quad \langle C_2, t \rangle = \text{"small"} + \mu_2 \cdot \lfloor \frac{q}{2} \rfloor$$

## Additive Homomorphism?

$$C = C_1 + C_2$$

$$\langle C, t \rangle = \text{"small"} + (\mu_1 + \mu_2) \cdot \lfloor \frac{q}{2} \rfloor$$

## Multiplicative Homomorphism?

# SWHE from LWE (GSW)

## Attempt 1 (secret-key)

$$SK = t_{n \times 1} \begin{array}{|c|} \hline s \\ \hline \mathbb{1}_{n \times 1} \\ \hline \end{array}$$

$Enc_{sk}(\mu)$ :  $\mu \in \{0, 1\}$

Sample  $C_0 \in \mathbb{Z}_q^{n \times n}$  st.  $C_0 \cdot \vec{t} = \text{small}$   
*How?*

$$\begin{array}{|c|} \hline C_0 \\ \hline \end{array}_{n \times n} \times \begin{array}{|c|} \hline t \\ \hline \end{array}_{n \times 1} = \begin{array}{|c|} \hline e \\ \hline \end{array}_{n \times 1}$$

$$C = C_0 + \mu \cdot I$$

$\uparrow$   $n \times n$        $\uparrow$  identity matrix

$$Dec_{sk}(c): C \cdot \vec{t} = (C_0 + \mu \cdot I) \cdot \vec{t} = \vec{e} + \mu \cdot \vec{t}$$

CPA Security?

# SWHE from LWE (GSW)

## Attempt 1 (secret-key)

Without Error:  $C \cdot \vec{t} = \mu \cdot \vec{t}$

Homomorphism:  $C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t}$   
 $C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t}$

### Additive Homomorphism?

$$C = C_1 + C_2$$

$$C \cdot \vec{t} = (C_1 + C_2) \cdot \vec{t} = (\mu_1 + \mu_2) \cdot \vec{t}$$

### Multiplicative Homomorphism?

$$C = C_1 \cdot C_2$$

$$\begin{aligned} C \cdot \vec{t} &= (C_1 \cdot C_2) \cdot \vec{t} \\ &= C_1 \cdot (C_2 \cdot \vec{t}) \\ &= C_1 \cdot \mu_2 \cdot \vec{t} \\ &= \mu_2 \cdot (C_1 \cdot \vec{t}) \\ &= \mu_2 \cdot \mu_1 \cdot \vec{t} \end{aligned}$$

With Error:  $C \cdot \vec{t} = \mu \cdot \vec{t} + \vec{e}$

Homomorphism:  $C_1 \cdot \vec{t} = \mu_1 \cdot \vec{t} + \vec{e}_1$   
 $C_2 \cdot \vec{t} = \mu_2 \cdot \vec{t} + \vec{e}_2$

### Additive Homomorphism?

$$C = C_1 + C_2$$

$$C \cdot \vec{t} = (C_1 + C_2) \cdot \vec{t} = (\mu_1 + \mu_2) \cdot \vec{t} + (\vec{e}_1 + \vec{e}_2)$$

### Multiplicative Homomorphism?

$$C = C_1 \cdot C_2$$

$$\begin{aligned} C \cdot \vec{t} &= (C_1 \cdot C_2) \cdot \vec{t} \\ &= C_1 \cdot (C_2 \cdot \vec{t}) \\ &= C_1 \cdot (\mu_2 \cdot \vec{t} + \vec{e}_2) \\ &= \mu_2 \cdot C_1 \cdot \vec{t} + C_1 \cdot \vec{e}_2 \\ &= \mu_2 \cdot (\mu_1 \cdot \vec{t} + \vec{e}_1) + C_1 \cdot \vec{e}_2 \\ &= \mu_2 \cdot \mu_1 \cdot \vec{t} + \mu_2 \cdot \vec{e}_1 + C_1 \cdot \vec{e}_2 \end{aligned}$$