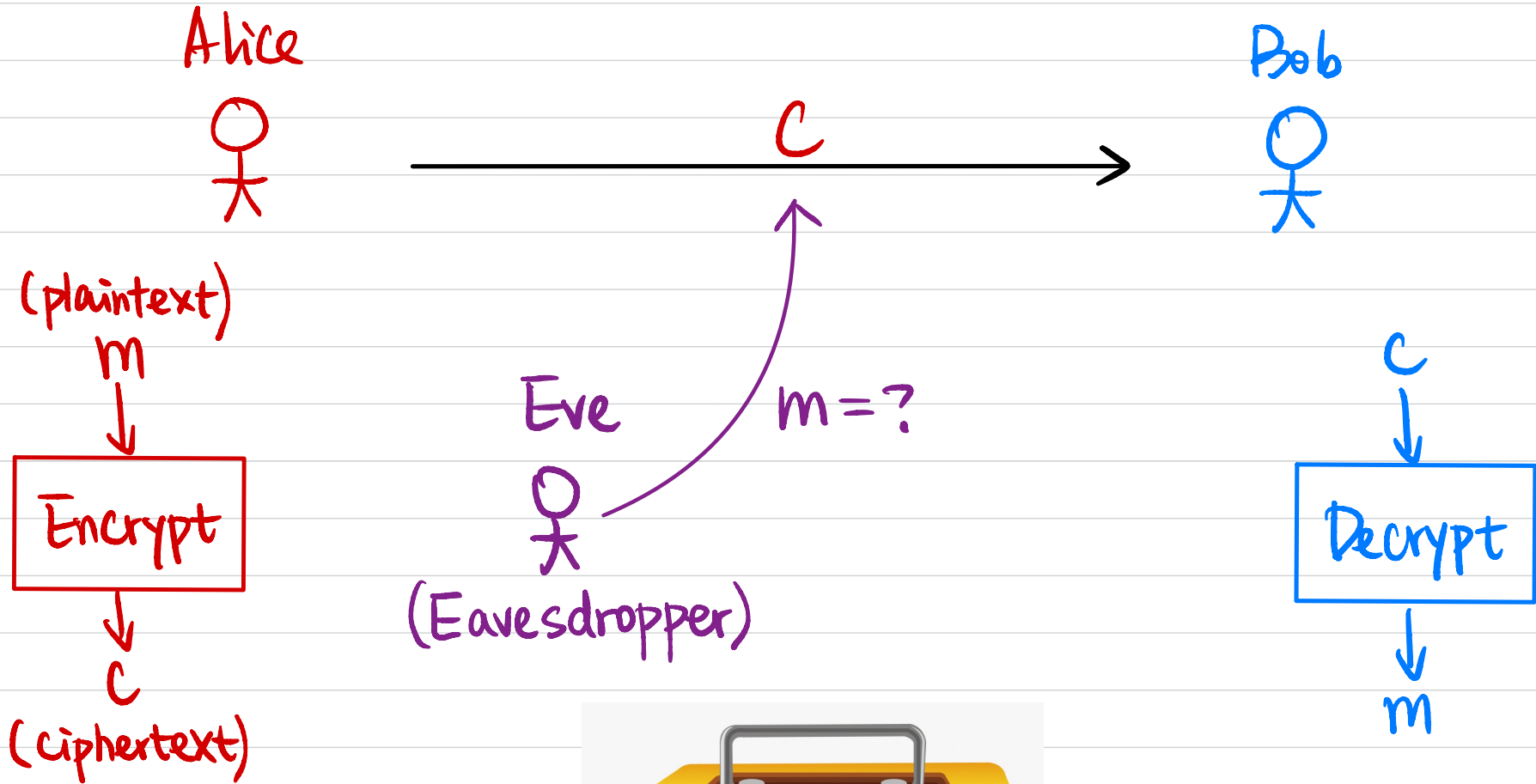


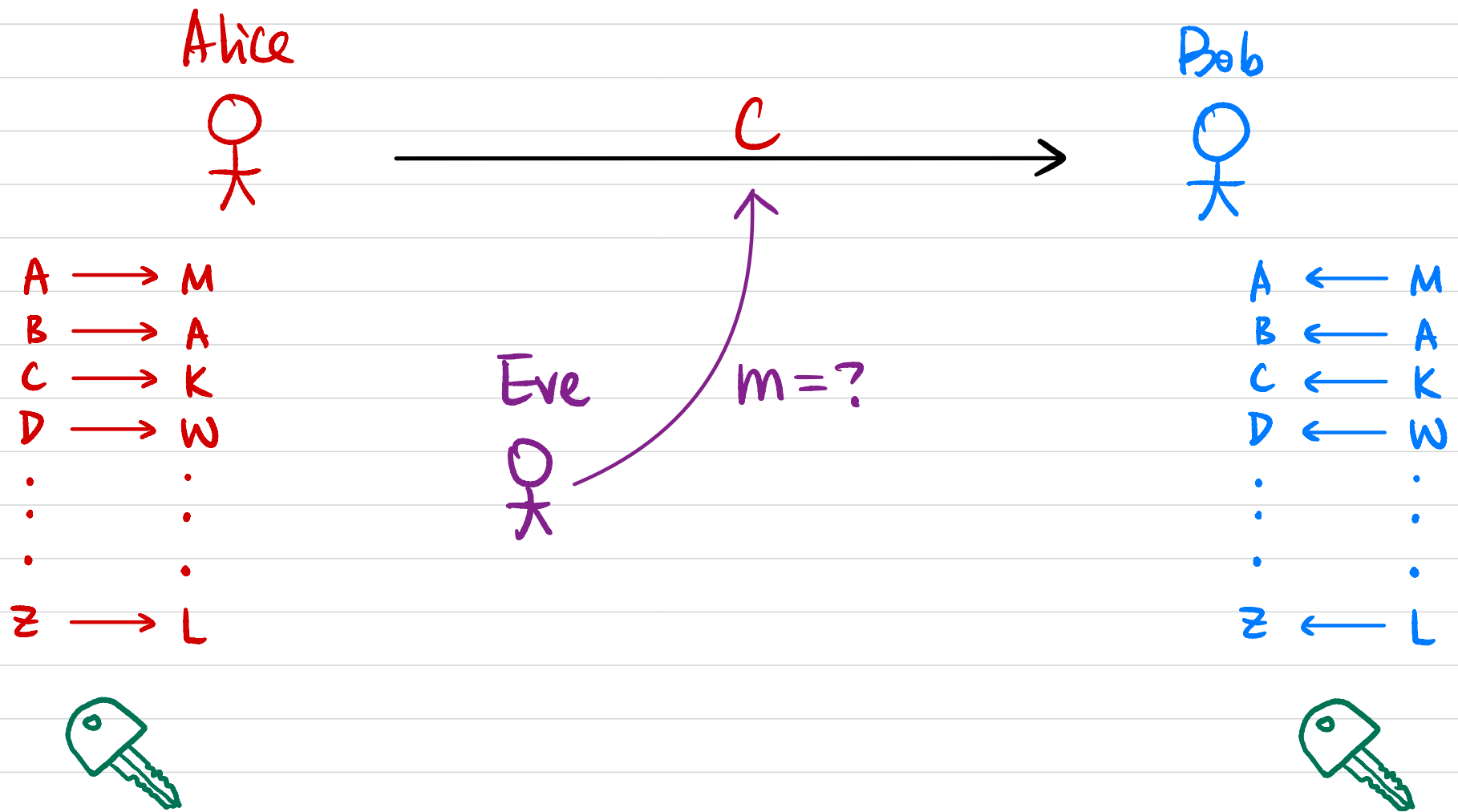
# CSCI 1510

- Syntax of Symmetric-Key Encryption
- Kerckhoff's Principle
- Definition of Perfect Security
- One-Time Pad
- Limitations of Perfect Security

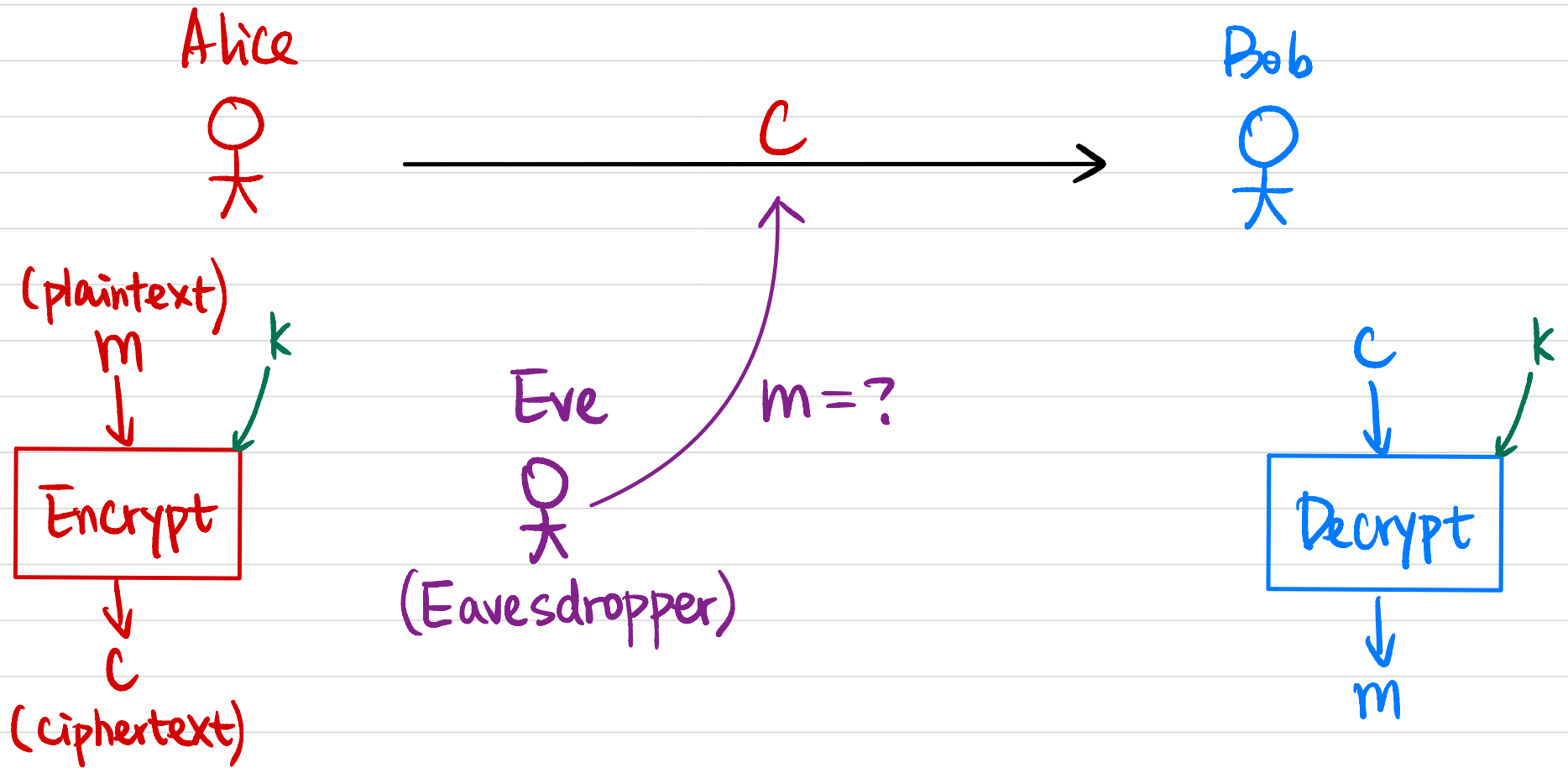
# Message Secrecy



# Substitution Cipher



# Modern Cryptography



How to define security?

# Symmetric-Key Encryption

Private-Key / Secret-Key

## • Syntax:

A symmetric-key encryption scheme is defined by a message space  $\mathcal{M}$ , a key space  $\mathcal{K}$ , and algorithms (Gen, Enc, Dec):

$$k \leftarrow \text{Gen}$$

$$c \leftarrow \text{Enc}(k, m) \quad \text{Enc}_k(m)$$

$$m/l := \text{Dec}(k, c) \quad \text{Dec}_k(c)$$

• Correctness:  $\forall m \in \mathcal{M}, \forall k$  output by Gen,

$$\text{Dec}_k(\text{Enc}_k(m)) = m$$

# Substitution Cipher

Alice



Bob



A → M  
B → A  
C → K  
D → W  
⋮  
⋮  
⋮  
z → L



$M = \{ \text{strings over English alphabet} \}$

$K:$

Gen:

Enc $_K(m):$

Dec $_K(c):$

A ← M  
B ← A  
C ← K  
D ← W  
⋮  
⋮  
⋮  
z ← L



# Symmetric-Key Encryption Private-Key / Secret-Key

## • Syntax:

A symmetric-key encryption scheme is defined by a message space  $\mathcal{M}$ , a key space  $\mathcal{K}$ , and algorithms (Gen, Enc, Dec):

$$k \leftarrow \text{Gen}$$

$$c \leftarrow \text{Enc}(k, m) \quad \text{Enc}_k(m)$$

$$m/l := \text{Dec}(k, c) \quad \text{Dec}_k(c)$$

k must be kept secret

keep Enc & Dec secret as well?

• Correctness:  $\forall m \in \mathcal{M}, \forall k$  output by Gen,

$$\text{Dec}_k(\text{Enc}_k(m)) = m$$

# Kerckhoff's Principle

The cipher method must not be required to be secret, and it must be able to fall into the hands of the enemy without inconvenience.

↑  
only the key is kept secret

Why?



## How to define security?

- It's impossible for Eve to recover  $k$  from  $c$ .

$$\text{Enc}_k(m) = m$$

↑  
 $c = m$

- It's impossible for Eve to recover  $m$  from  $c$ .

90% of  $m$ ?

- It's impossible for Eve to recover any character of  $m$  from  $c$ .

distribution of  $m$ ?

already knows some characters of  $m$ ?

## The Right Definition

Regardless of any information an attacker already has,

a ciphertext should leak **no additional information** about the plaintext.

# Notation

$K$ : key space

$M$ : message / plaintext space

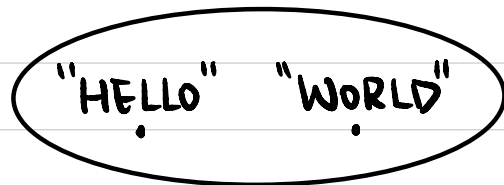
$C$ : ciphertext space

$K$ : random variable denoting the output of Gen.

$$\Pr[K = k] = \Pr[\text{Gen outputs } k].$$

$M$ : random variable denoting the message / plaintext to be encrypted.

Example:  $M = \{\text{"HELLO"}, \text{"WORLD"}\}$



$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$C$ : random variable denoting the resulting ciphertext.

①  $k \leftarrow \text{Gen}$

②  $m \leftarrow M$  (following a certain distribution)

③  $c \leftarrow \text{Enc}_k(m)$

## Exercise: Substitution Cipher

$$K: \Pr[K=k] = ?$$

$$M: M = \{\text{"HELLO"}, \text{"WORLD"}\}$$

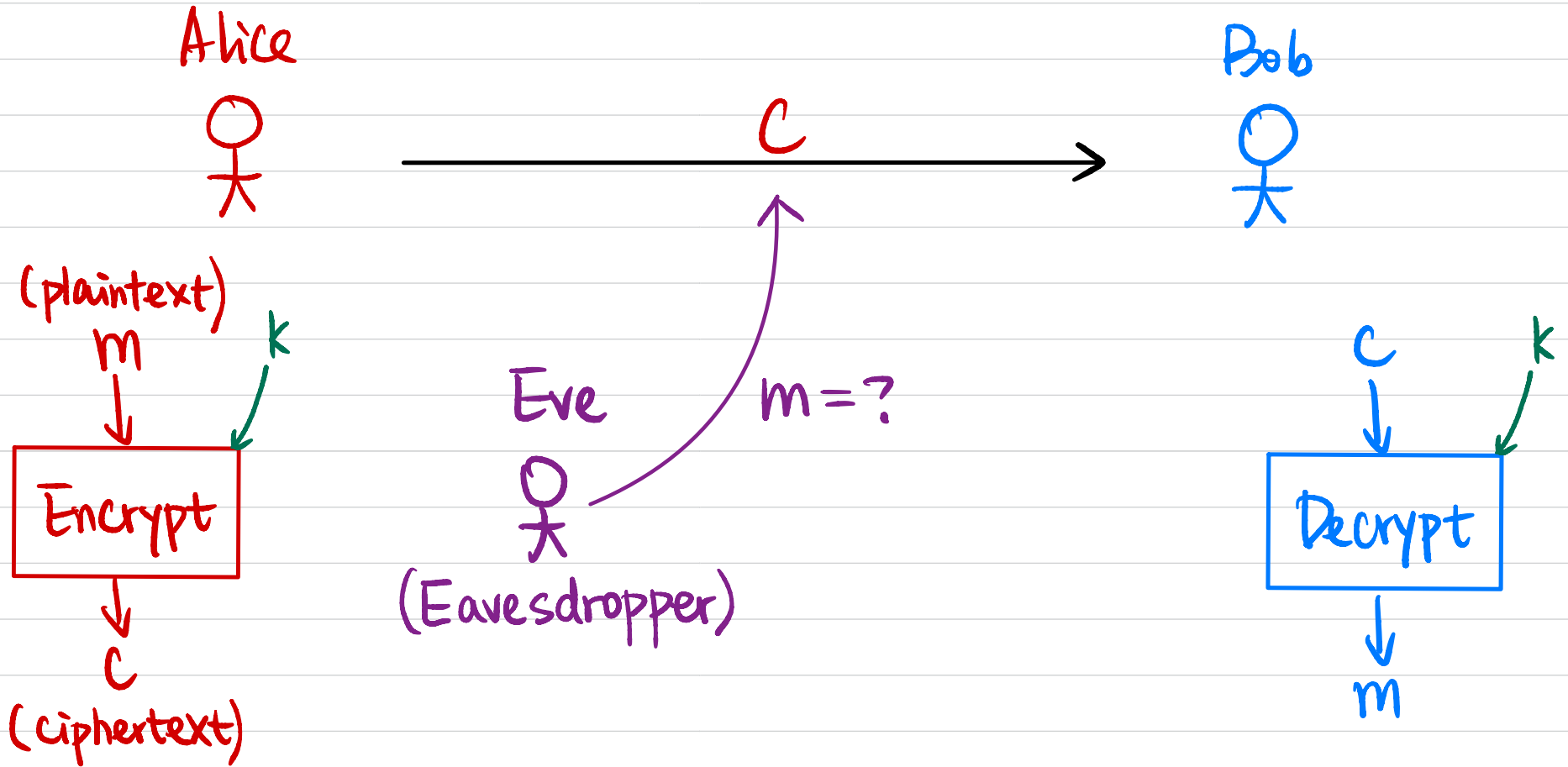
"HELLO" "WORLD"

$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$$C: \Pr[C=c] = ?$$

# Symmetric-Key Encryption



- Eve knows:
- ①  $K, M, C, (Gen, Enc, Dec)$
  - ② distribution over  $M$
  - ③ ciphertext  $c$

# Perfect Security

Def 1 A symmetric-key encryption scheme (Gen, Enc, Dec) with message space  $\mathcal{M}$  is perfectly secure if

$\forall$  probability distribution over  $\mathcal{M}$ .

$\forall m \in \mathcal{M}$ .

$\forall c \in \mathcal{C}$  for which  $\Pr[C=c] > 0$ :

$$\Pr[M=m | C=c] = \Pr[M=m].$$

## Exercise: Substitution Cipher

$$K: \Pr[K=k]=$$

$$M: M = \{\text{"HELLO"}, \text{"WORLD"}\}$$

"HELLO" "WORLD"

$$\Pr[M = \text{"HELLO"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$$C: \Pr[C = \text{"ABCDE"}] =$$

$$\Pr[M = \text{"HELLO"} \mid C = \text{"ABCDE"}] =$$

$$\Pr[M=m \mid C=c] \stackrel{?}{=} \Pr[M=m].$$

## Exercise: Substitution Cipher

$$K: \Pr[K=k]=$$

$$M: M = \{\text{"CRYPT"}, \text{"WORLD"}\}$$

"CRYPT" "WORLD"

$$\Pr[M = \text{"CRYPT"}] = 0.3$$

$$\Pr[M = \text{"WORLD"}] = 0.7$$

$$C: \Pr[C = \text{"ABCDE"}] =$$

$$\Pr[M = \text{"CRYPT"} \mid C = \text{"ABCDE"}] =$$

$$\Pr[M=m \mid C=c] \stackrel{?}{=} \Pr[M=m].$$



# Perfect Security

Def 2 A symmetric-key encryption scheme (Gen, Enc, Dec) with message space  $\mathcal{M}$  is perfectly secure if

$$\forall m_0, m_1 \in \mathcal{M},$$

$$\forall c \in \mathcal{C}:$$

$$\Pr[\text{Enc}_k(m_0) = c] = \Pr[\text{Enc}_k(m_1) = c]$$

↑  
over choice of  $k$  & randomness of Enc

Def 1  $\forall$  probability distribution over  $\mathcal{M}$ ,

$$\forall m \in \mathcal{M},$$

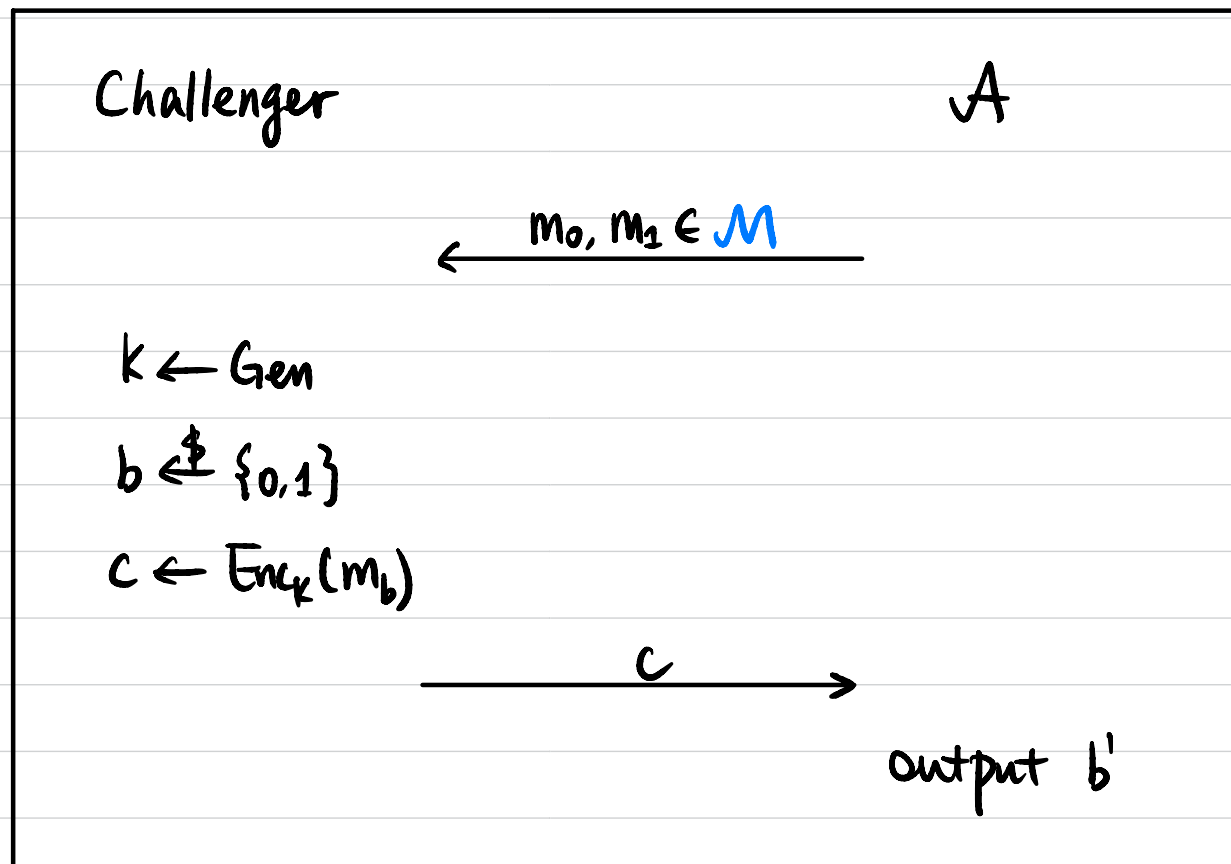
$\forall c \in \mathcal{C}$  for which  $\Pr[C=c] > 0$ :

$$\Pr[M=m | C=c] = \Pr[M=m].$$

# Perfect Security

Def 3 A symmetric-key encryption scheme (Gen, Enc, Dec) with message space  $\mathcal{M}$  is perfectly indistinguishable if  $\forall A$ :

$$\Pr[b=b'] = \frac{1}{2}$$



# One-Time Pad (OTP)

Fix an integer  $l > 0$ .

$K, M, C = \{0, 1\}^l$  all  $l$ -bit strings

- Gen:  $k \leftarrow \{0, 1\}^l$ , output  $k$ .
- $\text{Enc}_k(m)$ : output  $C := m \oplus k$
- $\text{Dec}_k(C)$ : output  $m := C \oplus k$

$\oplus$	0	1
0	0	1
1	1	0

Example:  $l=5$ .  
 $k = 01101$   
 $m = 00110$

• Correctness?

• Security?

# One-Time Pad (OTP)

## Limitations:

- ① Key is as long as the plaintext
- ② Cannot reuse the key ← why?

Can we make  $|M| > |K|$  ?

## Limitations of Perfect Security

Thm If  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  is a perfectly secure encryption scheme with message space  $\mathcal{M}$  & key space  $\mathcal{K}$ , then  $|\mathcal{M}| \leq |\mathcal{K}|$ .

Proof: Assume  $|\mathcal{K}| < |\mathcal{M}|$ .

Pick an arbitrary  $c \in \mathcal{C}$  where  $\Pr[C=c] > 0$ .

$\mathcal{M}(c) := \{m \mid m = \text{Dec}_k(c) \text{ for some } k \in \mathcal{K}\}$ .

$|\mathcal{M}(c)| \leq |\mathcal{K}| < |\mathcal{M}|$ .

$\exists m' \in \mathcal{M}$  st.  $m' \notin \mathcal{M}(c)$ .

$\Pr[M=m' \mid C=c] = 0 \neq \Pr[M=m']$ .