



Topics in Brain Computer Interfaces

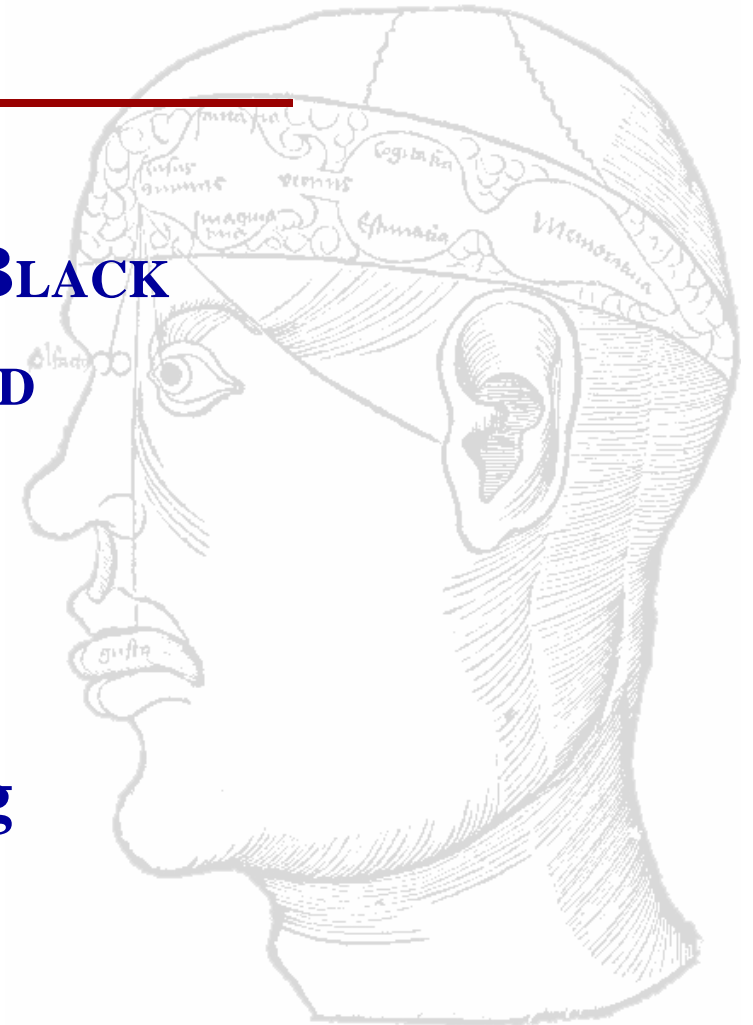
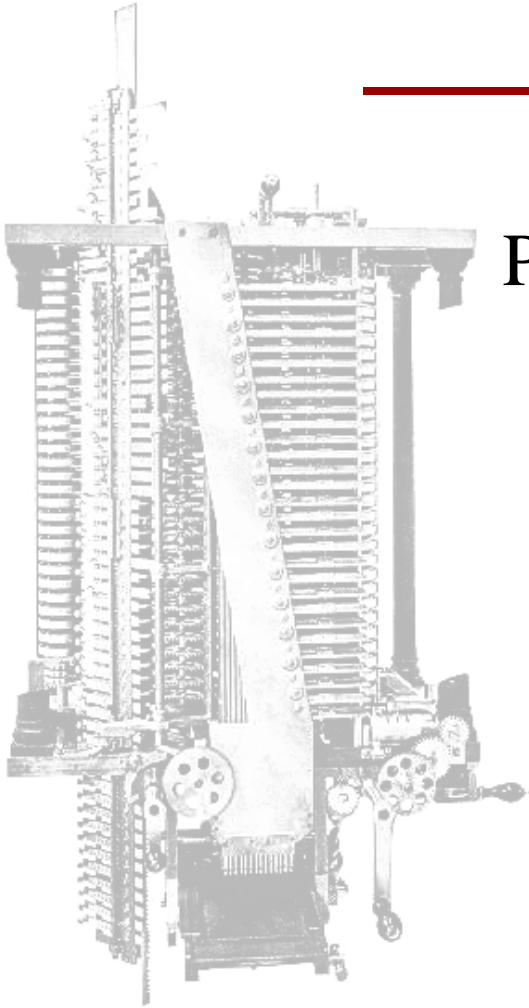
CS295-7

Professor: **MICHAEL BLACK**

TA: **FRANK WOOD**

Spring 2005

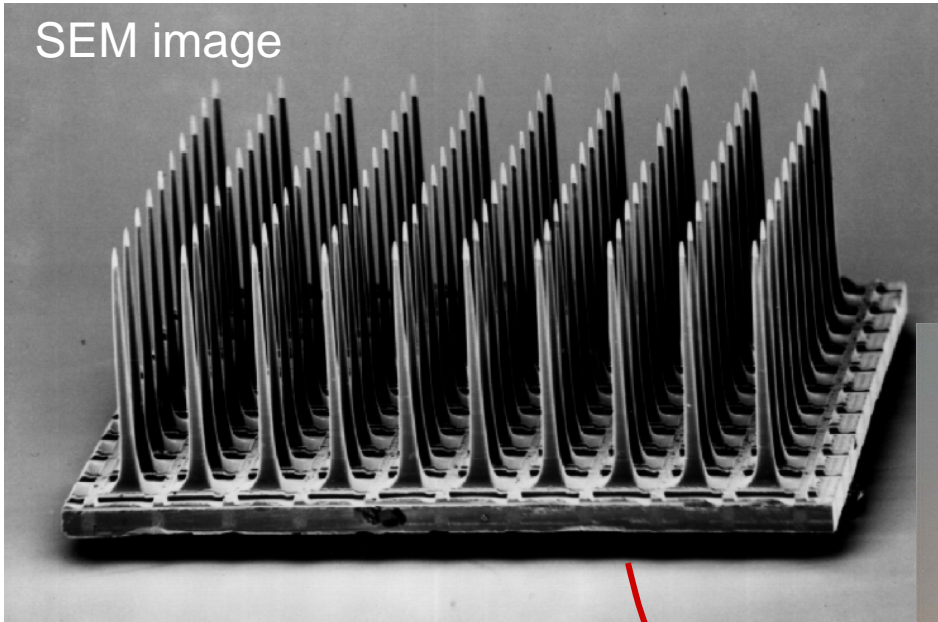
Linear Filtering





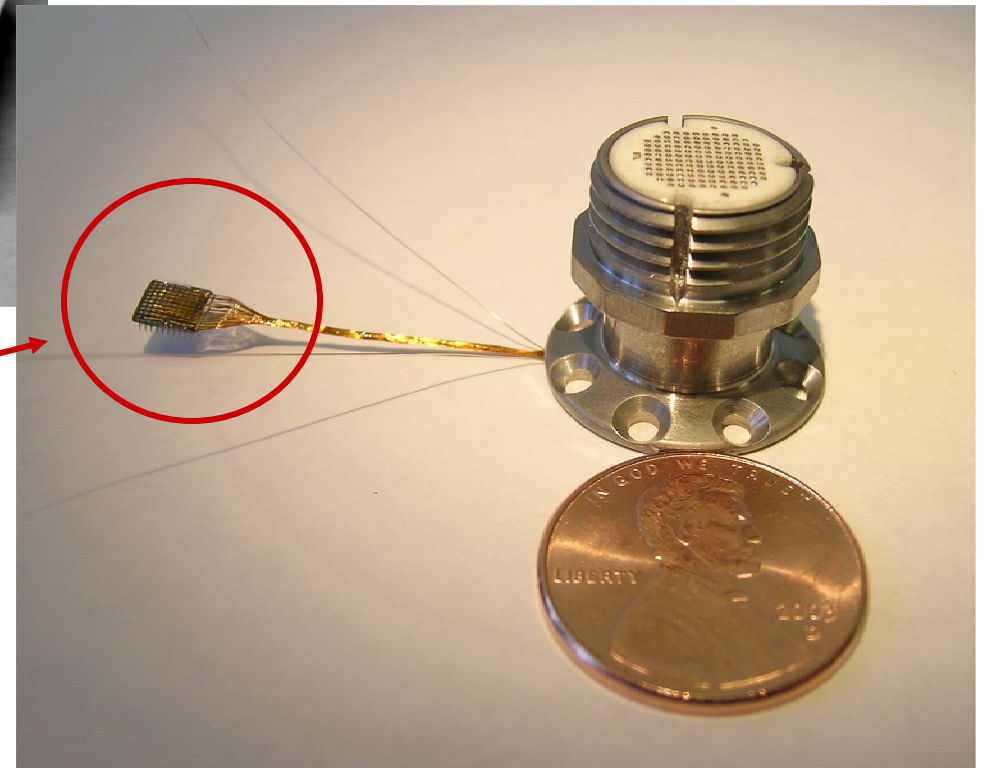
Show & Tell

SEM image



100 "ideal" microelectrodes
10x10 grid,
4x4 mm platform
1 or 1.5 mm long, Si shafts,
Pt coated tips
Glass separation
Parylene insulation coating

Extra-cellular recording



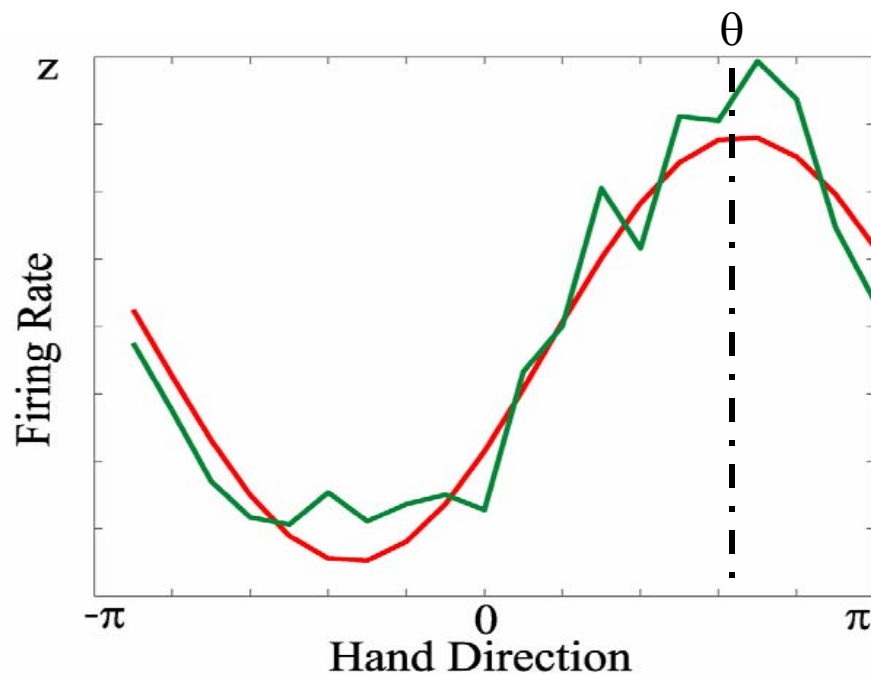


Encoding

Georgopoulos et al ('82): (*cosine tuning* of single cells)

$$\begin{aligned}z_k &= h_0 + h \cos(\theta_k - \theta) \\ &= h_0 + h_x \cos(\theta_k) + h_y \sin(\theta_k)\end{aligned}$$

z_k = firing **rate**, θ_k = hand **direction**



How do we compute
the linear
coefficients?



Encoding

$$z_k = h_0 + h_x \cos(\theta_k) + h_y \sin(\theta_k)$$

$$z_{k-1} = h_0 + h_x \cos(\theta_{k-1}) + h_y \sin(\theta_{k-1})$$

$$z_{k-2} = h_0 + h_x \cos(\theta_{k-2}) + h_y \sin(\theta_{k-2})$$

⋮

k equations in 3 unknowns.

Linear system of equations.



Encoding

$$z_k = h_0 + h_x \cos(\theta_k) + h_y \sin(\theta_k)$$

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$$z_{k-2} = h_0 + h_x \cos(\theta_{k-2}) + h_y \sin(\theta_{k-2})$$

$$\vdots$$

$$\begin{bmatrix} z_k \\ z_{k-1} \\ z_{k-2} \\ \vdots \end{bmatrix} = \begin{bmatrix} 1 & \cos(\theta_k) & \sin(\theta_k) \\ 1 & \cos(\theta_{k-1}) & \sin(\theta_{k-1}) \\ 1 & \cos(\theta_{k-2}) & \sin(\theta_{k-2}) \\ \vdots & \vdots & \vdots \end{bmatrix} \begin{bmatrix} h_0 \\ h_x \\ h_y \end{bmatrix}$$



Encoding

$$\mathbf{z} = \mathbf{A}\mathbf{h} \quad \text{Solve for } \mathbf{h}$$

Recall \mathbf{A}^{-1} exists only when \mathbf{A} is square and full rank.

$$\begin{bmatrix} z_k \\ z_{k-1} \\ z_{k-2} \\ \vdots \end{bmatrix} = \begin{bmatrix} 1 & \cos(\theta_k) & \sin(\theta_k) \\ 1 & \cos(\theta_{k-1}) & \sin(\theta_{k-1}) \\ 1 & \cos(\theta_{k-2}) & \sin(\theta_{k-2}) \\ \vdots & \vdots & \vdots \end{bmatrix} \begin{bmatrix} h_0 \\ h_x \\ h_y \end{bmatrix}$$



Encoding

$$\mathbf{z} = \mathbf{A}\mathbf{h} \quad \text{Solve for } \mathbf{h}$$

$$\mathbf{A}^T \mathbf{z} = \mathbf{A}^T \mathbf{A} \mathbf{h} \quad \text{Square}$$

$$(\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{z} = (\mathbf{A}^T \mathbf{A})^{-1} (\mathbf{A}^T \mathbf{A}) \mathbf{h}$$

$$(\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{z} = \mathbf{h}$$

$$\mathbf{A}^+ \mathbf{z} = \mathbf{h} \quad \text{Pseudo inverse}$$

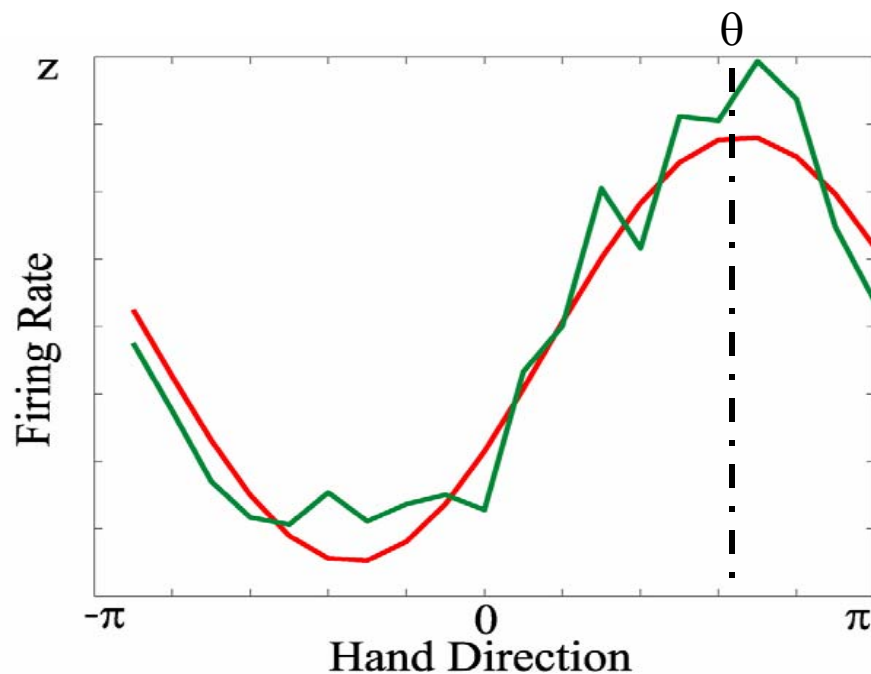


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z_k = firing **rate**, θ_k = hand **direction**



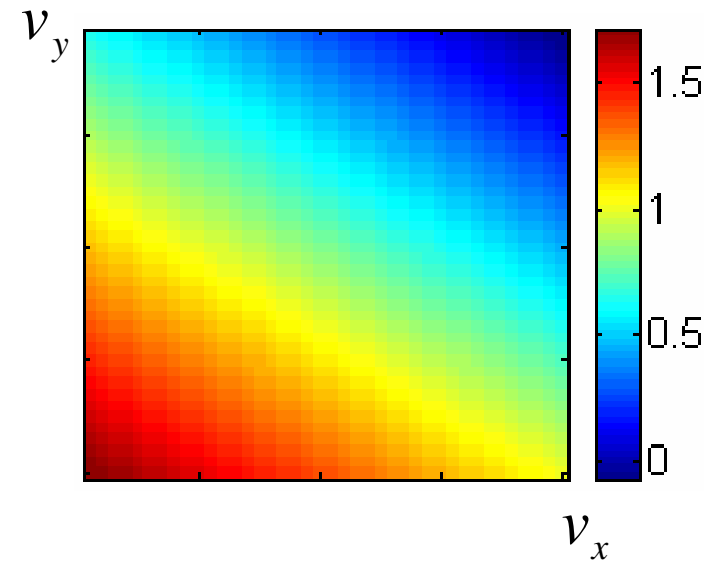
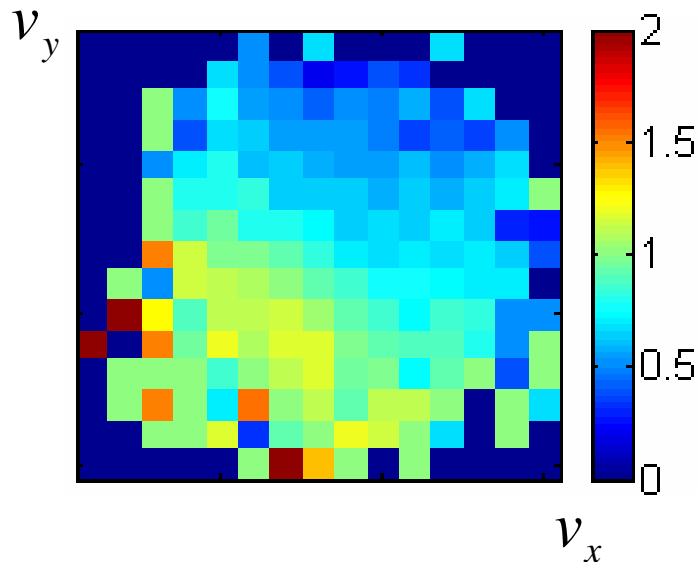
This is not the whole story!



Encoding

Moran & Schwartz ('99):

$$\begin{aligned} z_k &= h_0 + \text{speed} (h_x \cos(\theta_k) + h_y \sin(\theta_k)) \\ &= h_0 + h_x v_{x_k} + h_y v_{y_k} \quad (\text{Linear in velocity}) \end{aligned}$$

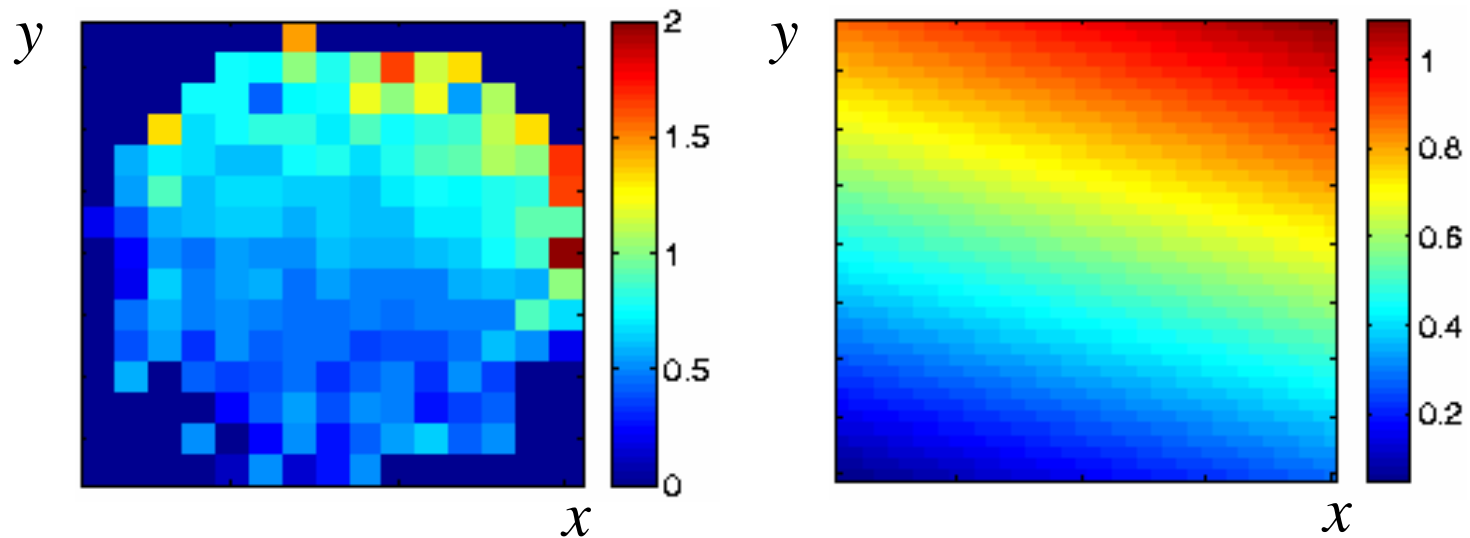




Encoding

Kettner et al ('88):

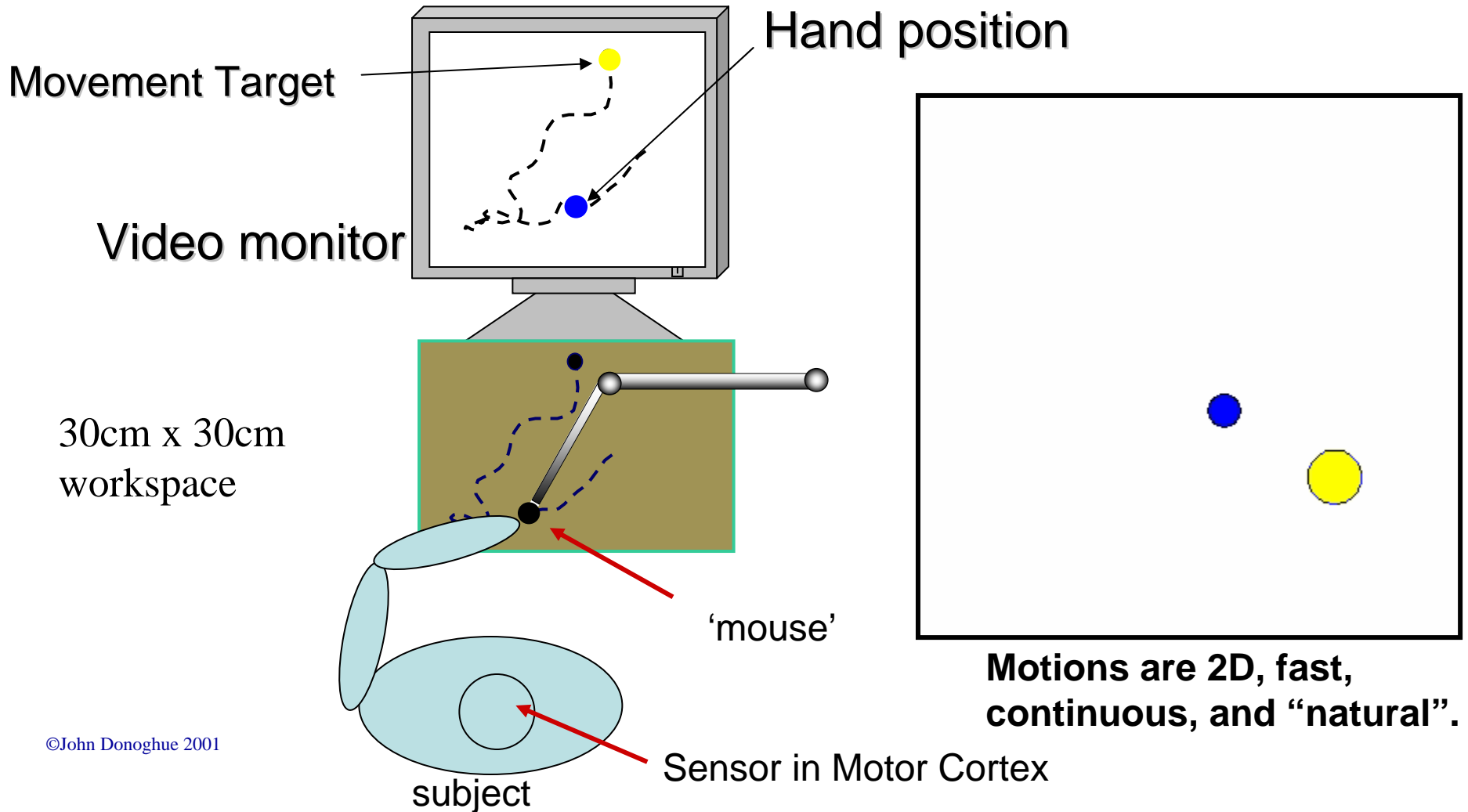
$$z_k = b_0 + b_x x_k + b_y y_k \quad (\text{Linear in position})$$



Flament et al ('88): Firing rate is also related to hand acceleration



Behavior and Neural Firing



©John Donoghue 2001



Data

Firing rates of n cells at time k

$$\mathbf{z}_k = \begin{bmatrix} z_{1,k} \\ z_{2,k} \\ \vdots \\ z_{n,k} \end{bmatrix}$$

Hand position at time k

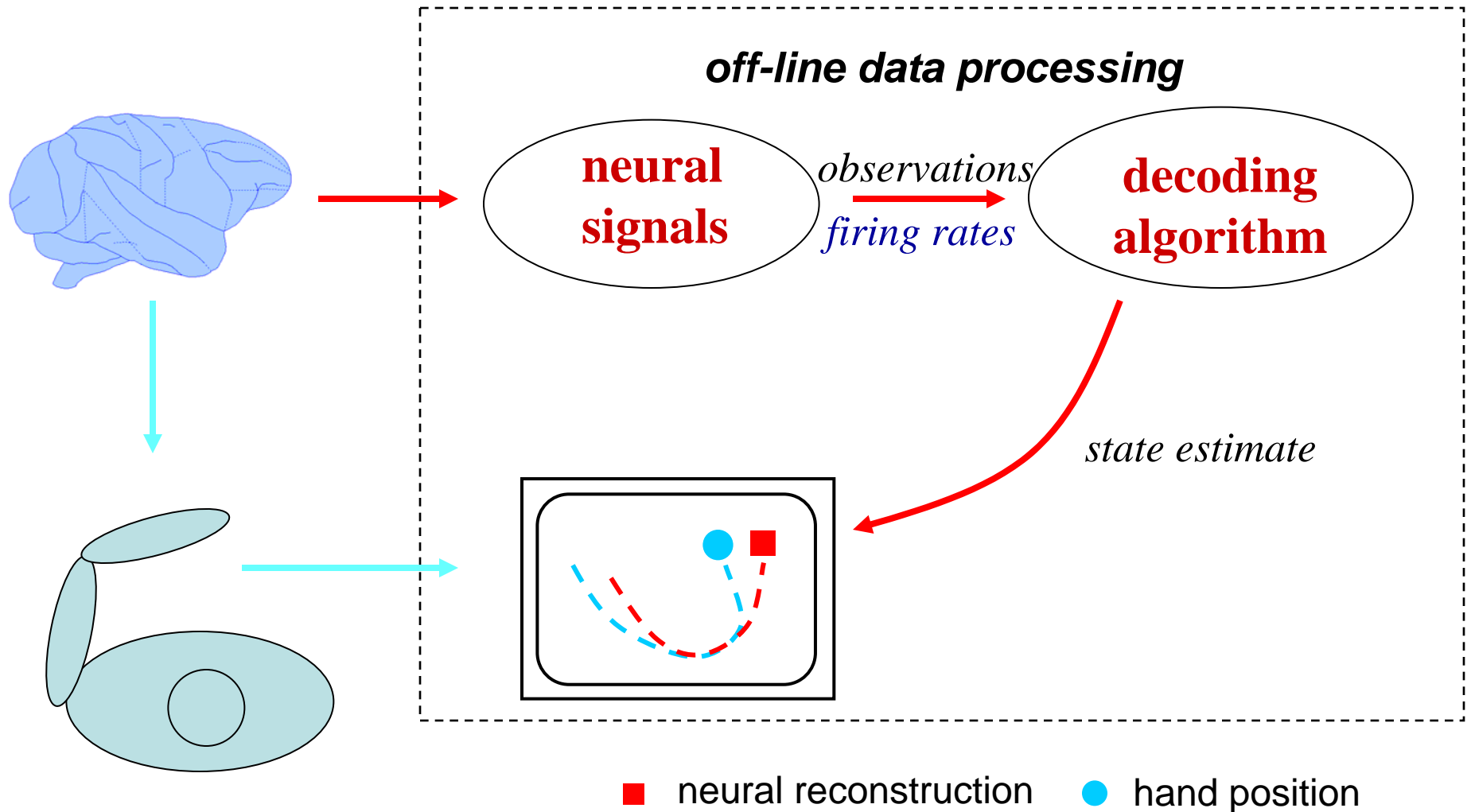
$$\mathbf{x}_k = \begin{bmatrix} x_k \\ y_k \end{bmatrix}$$

Firing rates computed in 50 or 70msec time bins.

Training data 1-2 minutes of data (~1200 time bins).

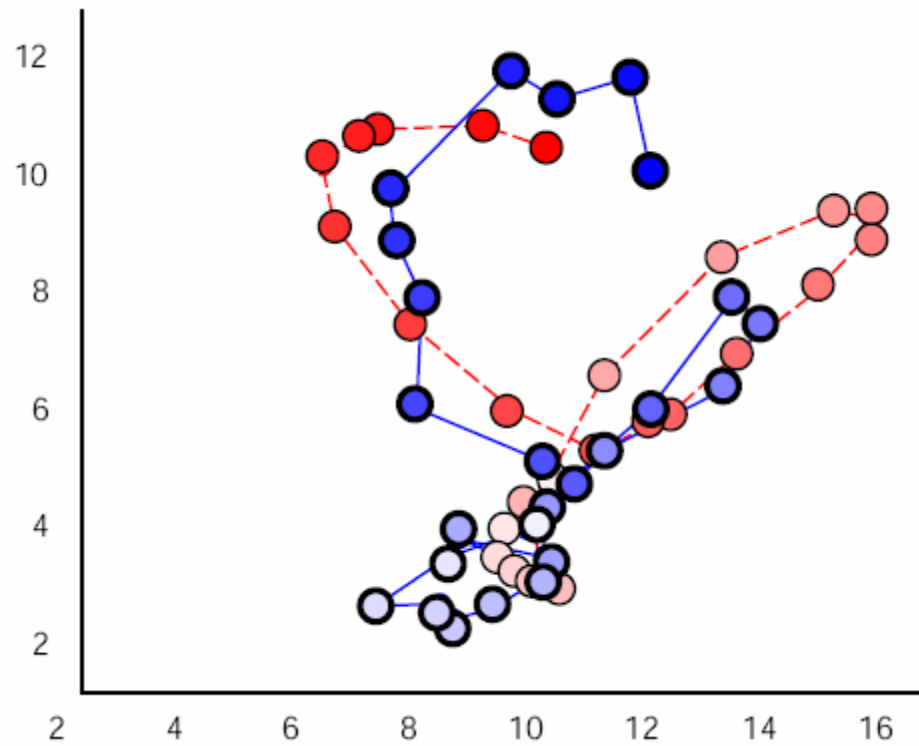


Off-line Reconstruction





Off-line Reconstruction



True

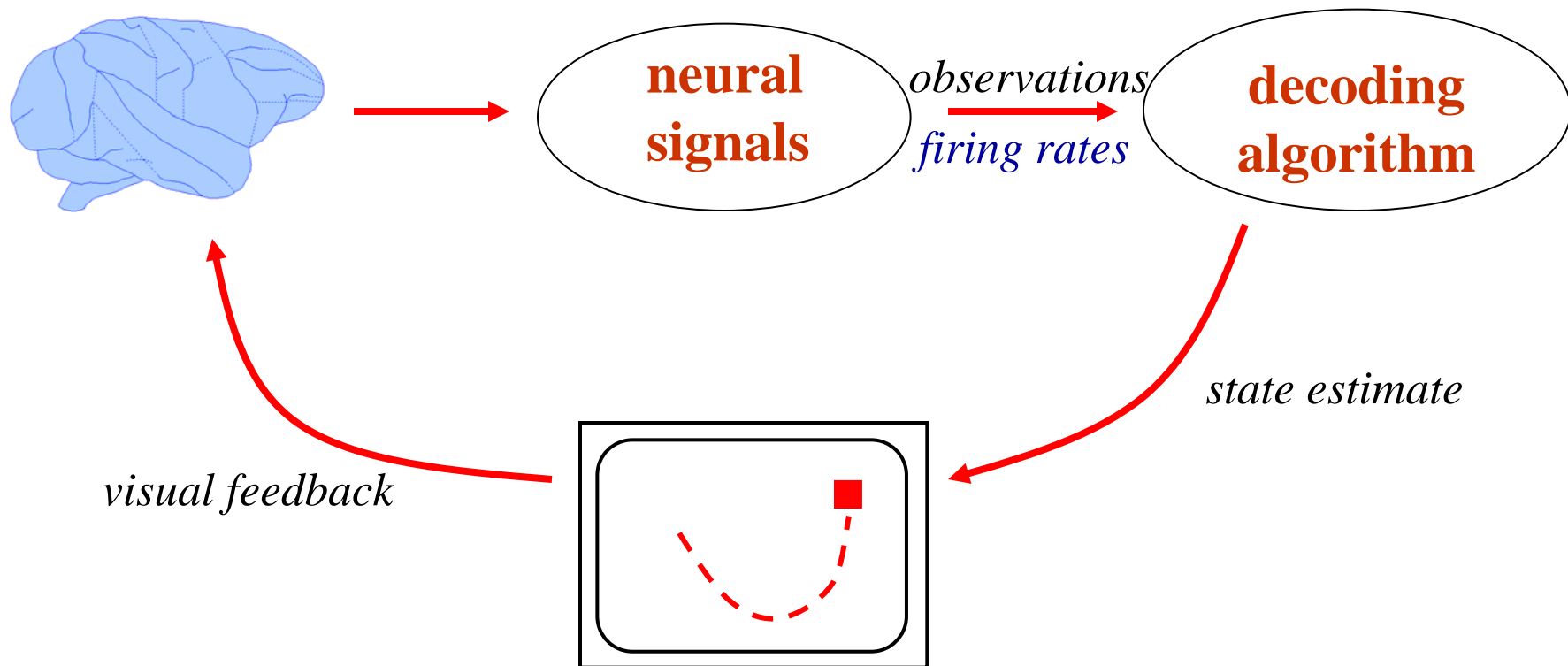


Reconstruction



Closed-loop Neural Control

on-line direct neural control



■ Cursor under neural control



Linear Regression

We want to reconstruct hand position from firing rates.

Let's assume a linear relationship:

$$x_k = \mathbf{f}_x^T \mathbf{z}_k + b_x$$

$$y_k = \mathbf{f}_y^T \mathbf{z}_k + b_y$$

$$\begin{bmatrix} x_k \\ y_k \end{bmatrix} = \begin{bmatrix} \mathbf{f}_x^T \\ \mathbf{f}_y^T \end{bmatrix} \mathbf{z}_k + \begin{bmatrix} b_x \\ b_y \end{bmatrix}$$



Linear Regression

We want to reconstruct hand position from firing rates.

Let's assume a linear relationship:

$$x_k = \mathbf{f}_x^T \mathbf{z}_k + b_x$$

$$x_k = \begin{bmatrix} f_{x,1} & \cdots & f_{x,n} \end{bmatrix} \begin{bmatrix} z_{k,1} \\ \vdots \\ z_{k,n} \end{bmatrix} + b_x = \begin{bmatrix} b_x & f_{x,1} & \cdots & f_{x,n} \end{bmatrix} \begin{bmatrix} 1 \\ z_{k,1} \\ \vdots \\ z_{k,n} \end{bmatrix}$$



Linear Regression

In 50msec a cell only fires a few times.

Looking at a small population of cells (a few dozen say) will give a very noisy estimate of hand position.

$$x_k = \mathbf{f}_x^T \mathbf{z}_k$$

$$y_k = \mathbf{f}_y^T \mathbf{z}_k$$

Let's assume that hand position is related to the firing rates over the last second of firing data.

$$x_k = \mathbf{f}_x^T [1 \ \mathbf{z}_k^T \ \mathbf{z}_{k-1}^T \ \cdots \ \mathbf{z}_{k-20}^T]^T$$

$$y_k = \mathbf{f}_y^T [1 \ \mathbf{z}_k^T \ \mathbf{z}_{k-1}^T \ \cdots \ \mathbf{z}_{k-20}^T]^T$$



Linear Regression

$$x_k = \mathbf{f}_x^T [1 \ \mathbf{z}_k^T \ \mathbf{z}_{k-1}^T \ \cdots \ \mathbf{z}_{k-20}^T]^T$$

$$y_k = \mathbf{f}_y^T [1 \ \mathbf{z}_k^T \ \mathbf{z}_{k-1}^T \ \cdots \ \mathbf{z}_{k-20}^T]^T$$

“Filter”:

$$[b_y \ f_{y,1} \ f_{y,2} \ \cdots \ f_{y,20n}]$$

One equation in $20n+1$ unknowns.

$$\begin{bmatrix} 1 \\ z_{1,k} \\ z_{2,k} \\ \vdots \\ z_{n,k} \\ z_{1,k-1} \\ \vdots \\ z_{n,k-1} \\ \vdots \\ z_{n,k-20} \end{bmatrix}$$



Linear Regression

Solve for \mathbf{f}_x

$$x_k = [1 \mathbf{z}_k^T \mathbf{z}_{k-1}^T \cdots \mathbf{z}_{k-20}^T] \mathbf{f}_x$$

$$x_{k-1} = [1 \mathbf{z}_{k-1}^T \mathbf{z}_{k-2}^T \cdots \mathbf{z}_{k-21}^T] \mathbf{f}_x$$

$$x_{k-2} = [1 \mathbf{z}_{k-2}^T \mathbf{z}_{k-3}^T \cdots \mathbf{z}_{k-22}^T] \mathbf{f}_x$$

⋮

$$\mathbf{x} = \mathbf{Z} \mathbf{f}_x$$



Linear Regression

Solving for \mathbf{f}_x

$$\mathbf{x} = \mathbf{Z}\mathbf{f}_x$$

$$\mathbf{f}_x = (\mathbf{Z}^T \mathbf{Z})^{-1} \mathbf{Z}^T \mathbf{x}$$

$$\mathbf{f}_x = \text{pinv}(\mathbf{Z})\mathbf{x}$$



Least Squares

$$\mathbf{Zf} = \mathbf{x}$$

We want the solution for \mathbf{f} that minimizes the error; e.g.:

$$\min_{\mathbf{f}} \|\mathbf{Zf} - \mathbf{x}\|^2$$



Least Squares

$$\begin{aligned}\|\mathbf{Z}\mathbf{f} - \mathbf{x}\|^2 &= (\mathbf{Z}\mathbf{f} - \mathbf{x})^T (\mathbf{Z}\mathbf{f} - \mathbf{x}) \\ &= \sum_k (\mathbf{Z}_k \mathbf{f} - x_k)^2 \\ &= \sum_k (b + f_1 z_{k,1} + \cdots + f_{20n} z_{k-20,n} - x_k)^2\end{aligned}$$

Claim: $\mathbf{f} = (\mathbf{Z}^T \mathbf{Z})^{-1} \mathbf{Z}^T \mathbf{x}$ minimizes the square error



Least Squares

Minimize over \mathbf{f}

$$(\mathbf{Z}\mathbf{f} - \mathbf{x})^T (\mathbf{Z}\mathbf{f} - \mathbf{x})$$

$$= \mathbf{f}^T \mathbf{Z}^T \mathbf{Z} \mathbf{f} - \mathbf{f}^T \mathbf{Z}^T \mathbf{x} - \mathbf{x}^T \mathbf{Z} \mathbf{f} + \mathbf{x}^T \mathbf{x}$$

We can ignore
this since it does
not involve \mathbf{f}

$$= \mathbf{f}^T \mathbf{Z}^T \mathbf{Z} \mathbf{f} - 2\mathbf{f}^T \mathbf{Z}^T \mathbf{x}$$



Least Squares

Let

$$P(\mathbf{f}) = \frac{1}{2} \mathbf{f}^T \mathbf{Z}^T \mathbf{Z} \mathbf{f} - \mathbf{f}^T \mathbf{Z}^T \mathbf{x}$$

This is a quadratic equation $\frac{1}{2} \mathbf{f}^T \mathbf{C} \mathbf{f} - \mathbf{f}^T \mathbf{d}$

How do we solve for \mathbf{f} ?



Least Squares

Answer is always the same:

Differentiate wrt \mathbf{f} , set it equal to zero, and solve the linear equations.

$$\frac{dP}{d\mathbf{f}} = \mathbf{C}\mathbf{f} - \mathbf{d} = 0$$

$$\mathbf{f} = \mathbf{C}^{-1}\mathbf{d}$$

Substituting: $\mathbf{f} = (\mathbf{Z}^T \mathbf{Z})^{-1} \mathbf{Z}^T \mathbf{x}$



Linear Regression

Fit \mathbf{f}_x and \mathbf{f}_y given training data.

Decoding is now simple.

Given a vector of observed firing activity

$$\mathbf{z}_k^T = [1 \quad z_{1,k} \quad \cdots \quad z_{n,k} \quad z_{1,k-1} \quad \cdots \quad z_{n,k-1} \quad \cdots \quad z_{n,k-20}]$$

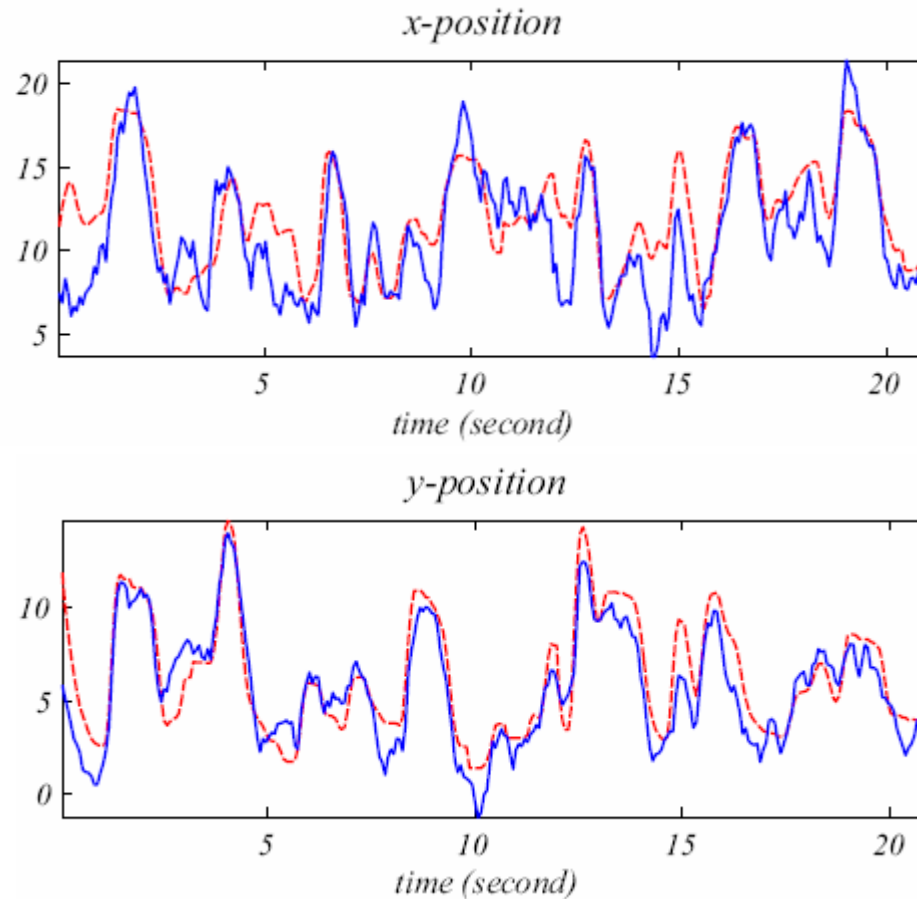
“project” onto the filter:

$$x_k = \mathbf{z}_k^T \mathbf{f}_x$$

$$y_k = \mathbf{z}_k^T \mathbf{f}_y$$



Decoding (off-line)



True
Reconstruction



Evaluating Accuracy

let (\hat{x}_k, \hat{y}_k) be the estimate of the true position (x_k, y_k)

Correlation coefficient

$$cc_x = \frac{\sum_k (x_k - \bar{x})(\hat{x}_k - \bar{\hat{x}})}{\sqrt{\sum_k (x_k - \bar{x})^2 \sum_k (\hat{x}_k - \bar{\hat{x}})^2}}$$

mean position

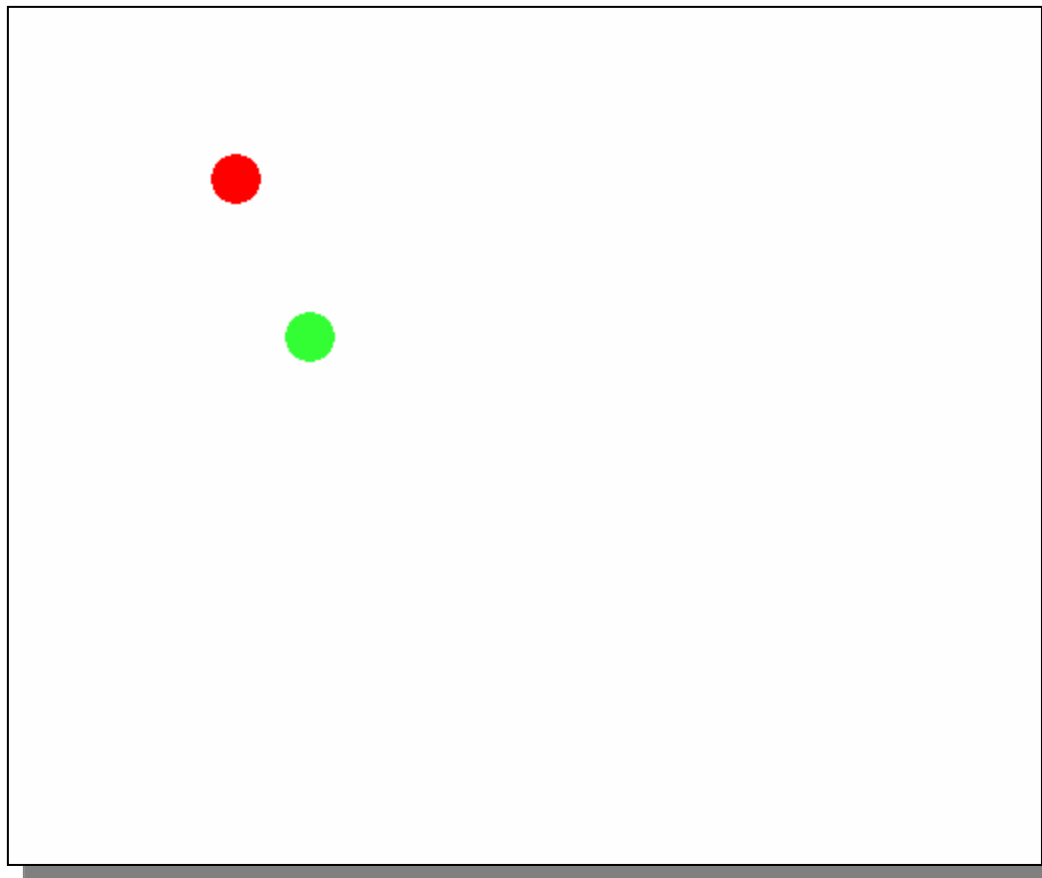
(Note: In the original image, blue arrows point from the text 'mean position' to the terms \bar{x} and $\bar{\hat{x}}$ in the equation.)

Mean squared error

$$MSE = \frac{1}{T} \sum_{k=1}^T ((x_k - \hat{x}_k)^2 + (y_k - \hat{y}_k)^2)$$



CLOSED LOOP NEURAL CONTROL



● Target

● Neural control

Linear filters
built on-line.




*Heavily
smoothed.*

Mijail Serruya



Decoding



-  Target
-  True hand position
-  Linear filter reconstruction

69 cells, bin size = 70ms
History used = 10 bins
Lowpass filter length = 8