



# THE MAN WHO MISTOOK HIS COMPUTER FOR A HAND

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*Neural Control of Robotic Devices*

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JOHN DONOGHUE, YUN GAO, MIJAIL SERRUYA**

*BROWN UNIVERSITY*

Applied Mathematics    Computer Science    Neuroscience



# XEROX ALTO 1973

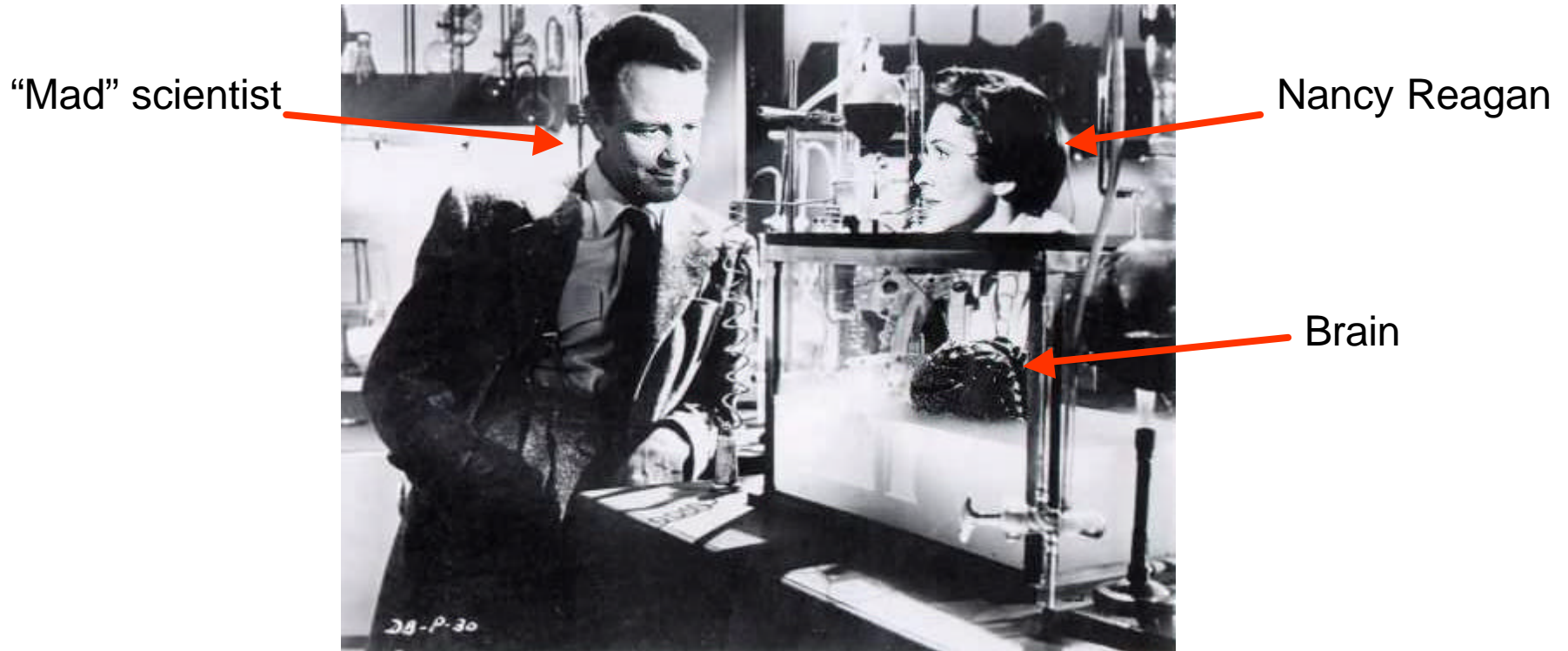
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Dell workstation, 2001



# BRAIN-COMPUTER INTERFACES



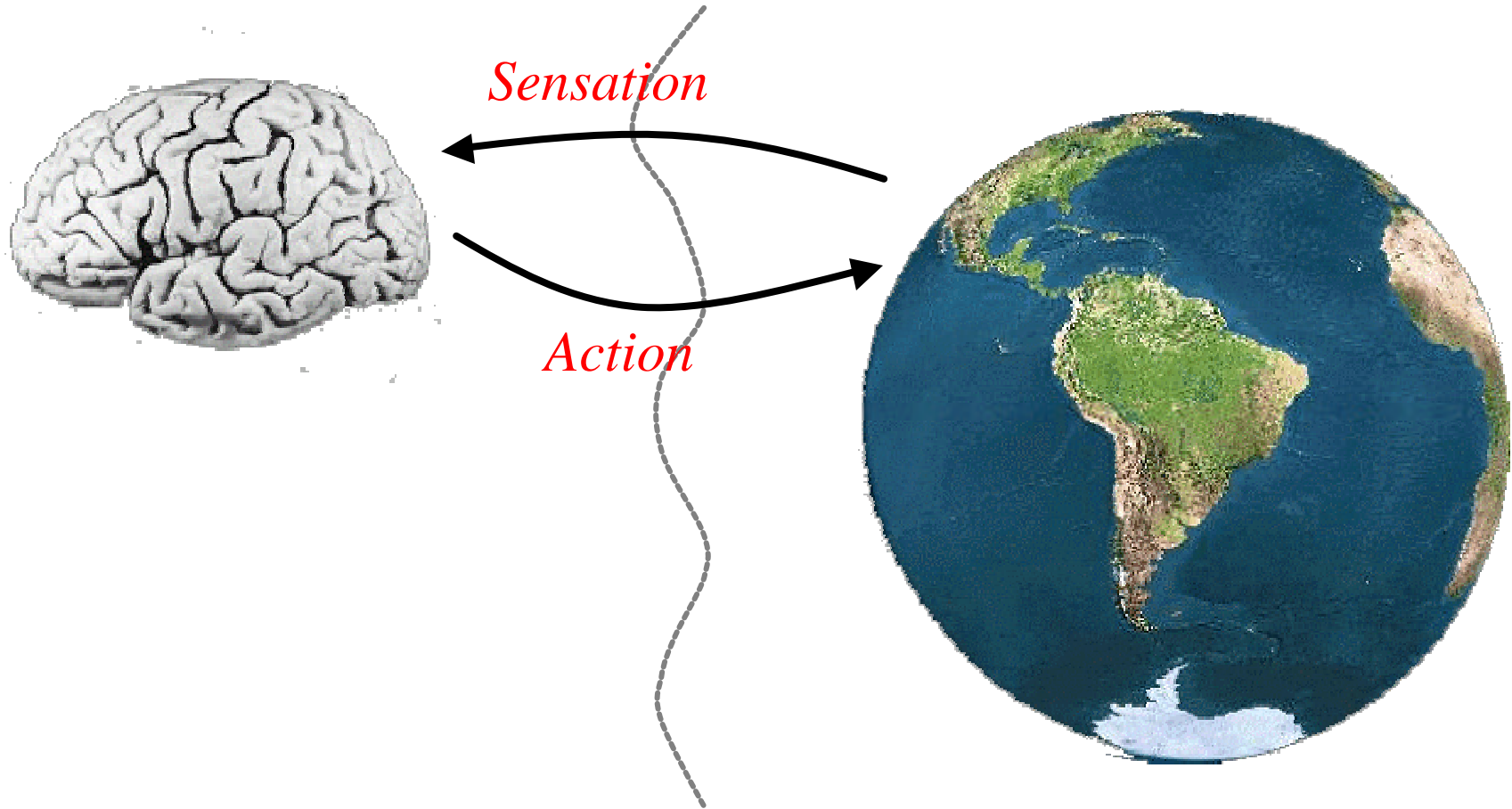
*“If I could find ... a code which translates the relation between the reading of the encephalograph and the mental image ...the brain could communicate with me.”*

Curt Siodmak, 1942



# NEURAL PROSTHETICS

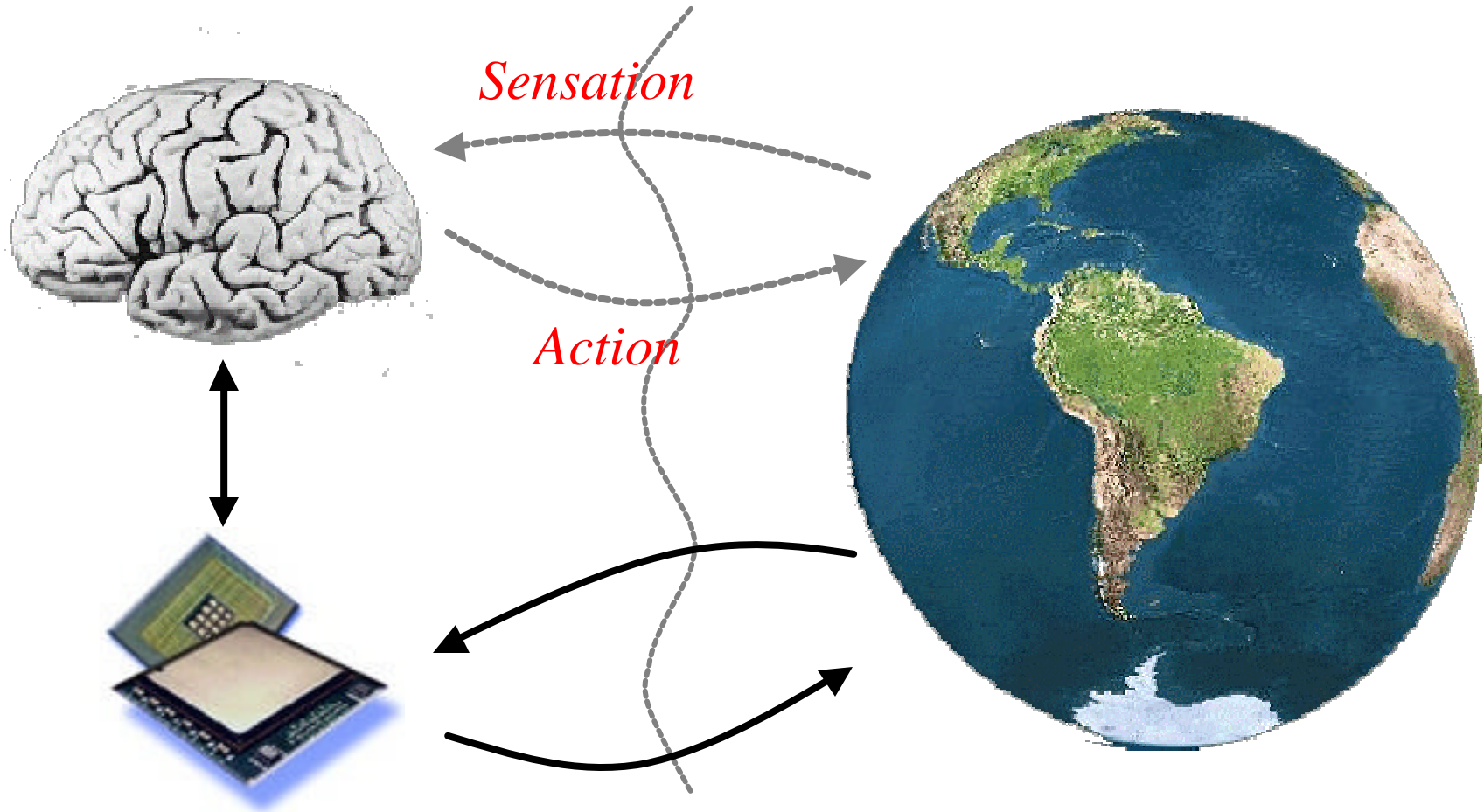
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# NEURAL PROSTHETICS

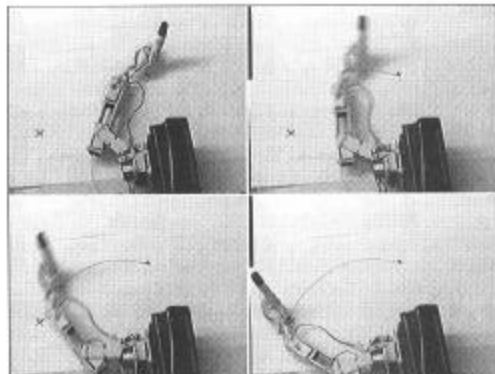
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# NEURAL PROSTHETICS

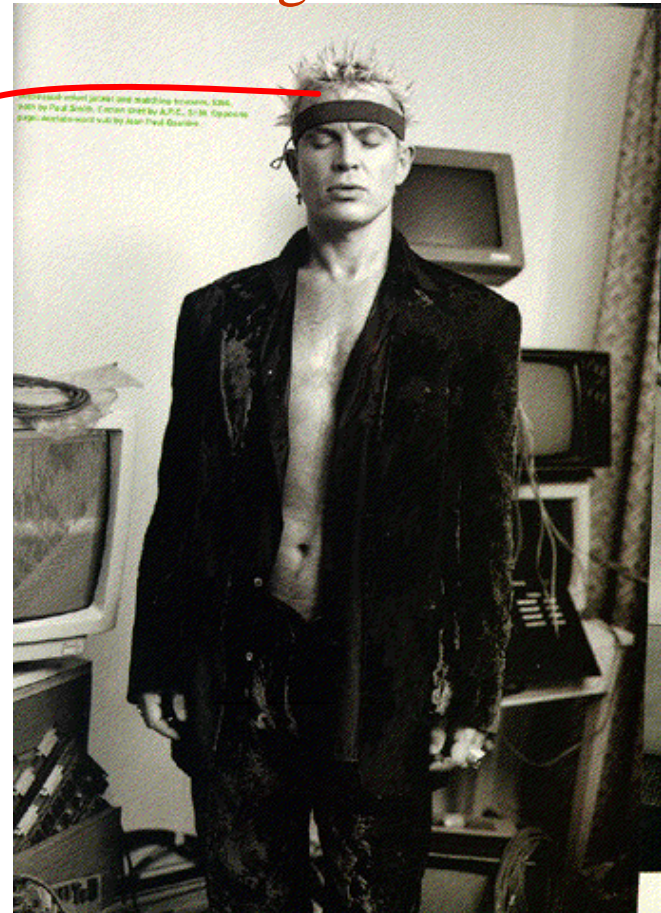


Robot control?

Representation,  
inference, and  
algorithms?

< 200 ms

Signals?



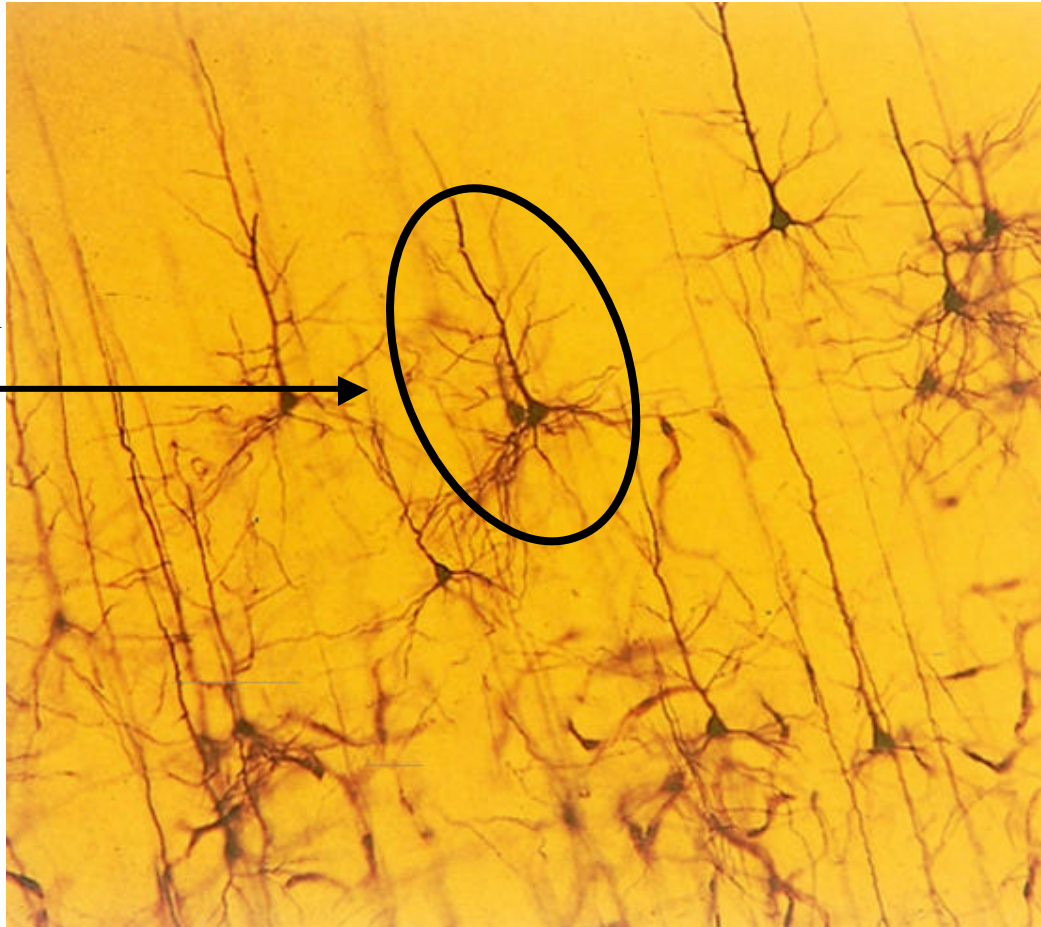


# VISUALIZING THE PLAYERS

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Single cells of  
the nervous system

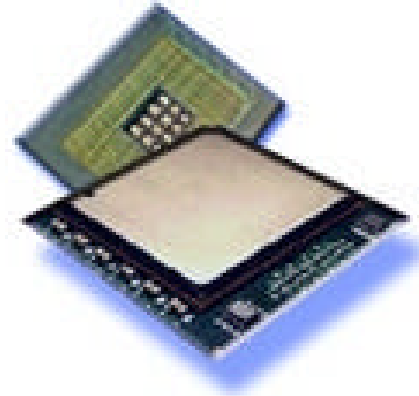
NEURON





# BRAIN VERSUS COMPUTER

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## Computational Elements

100,000,000,000

Neurons

50,000,000

Transistors (P IV)

## Speed (operations/second/element)

30-300

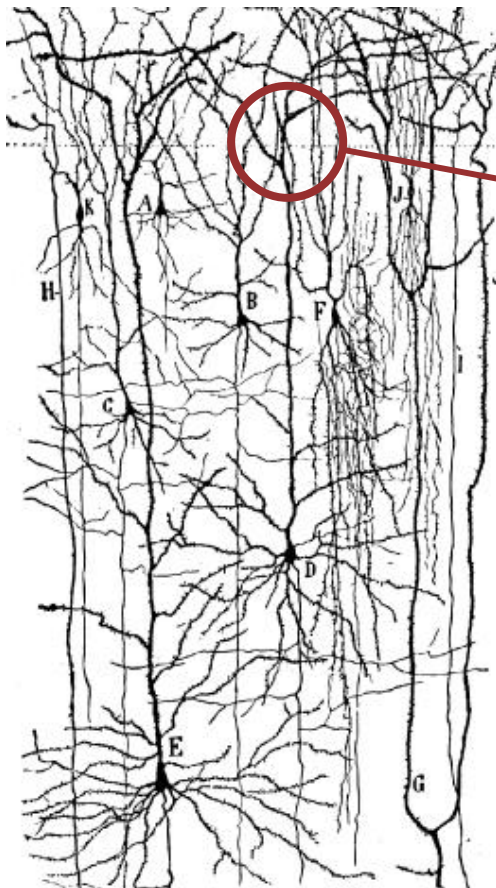
$1.5 * 10^9$



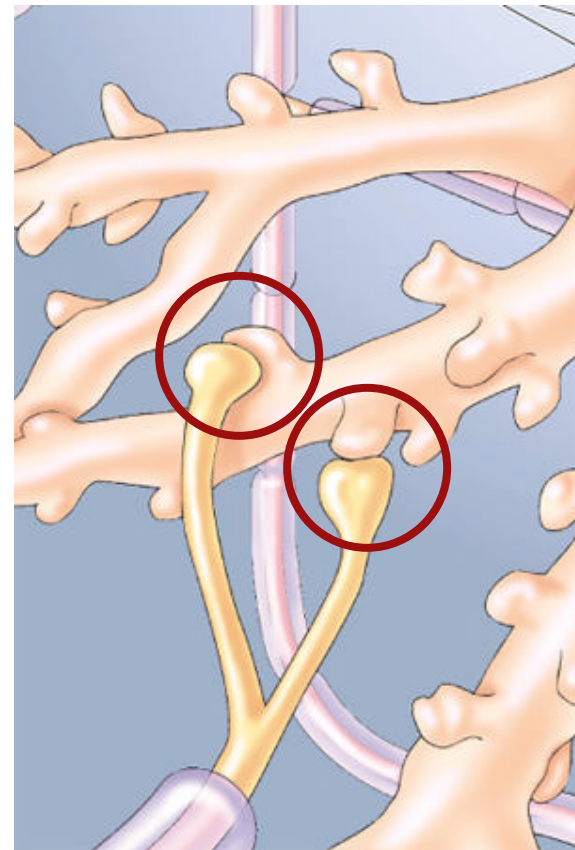


# MASSIVE CONNECTIVITY

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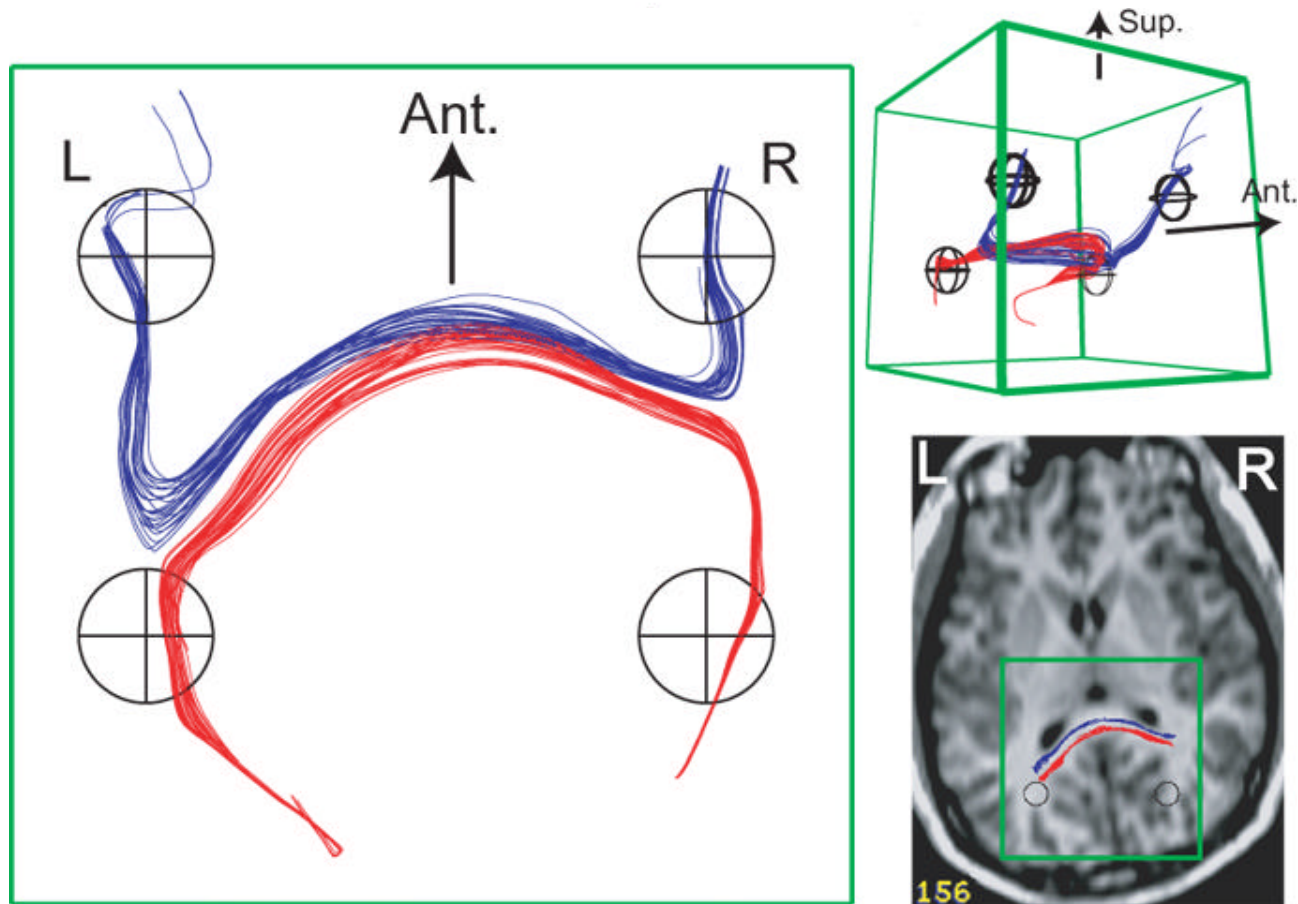


## SYNAPSES

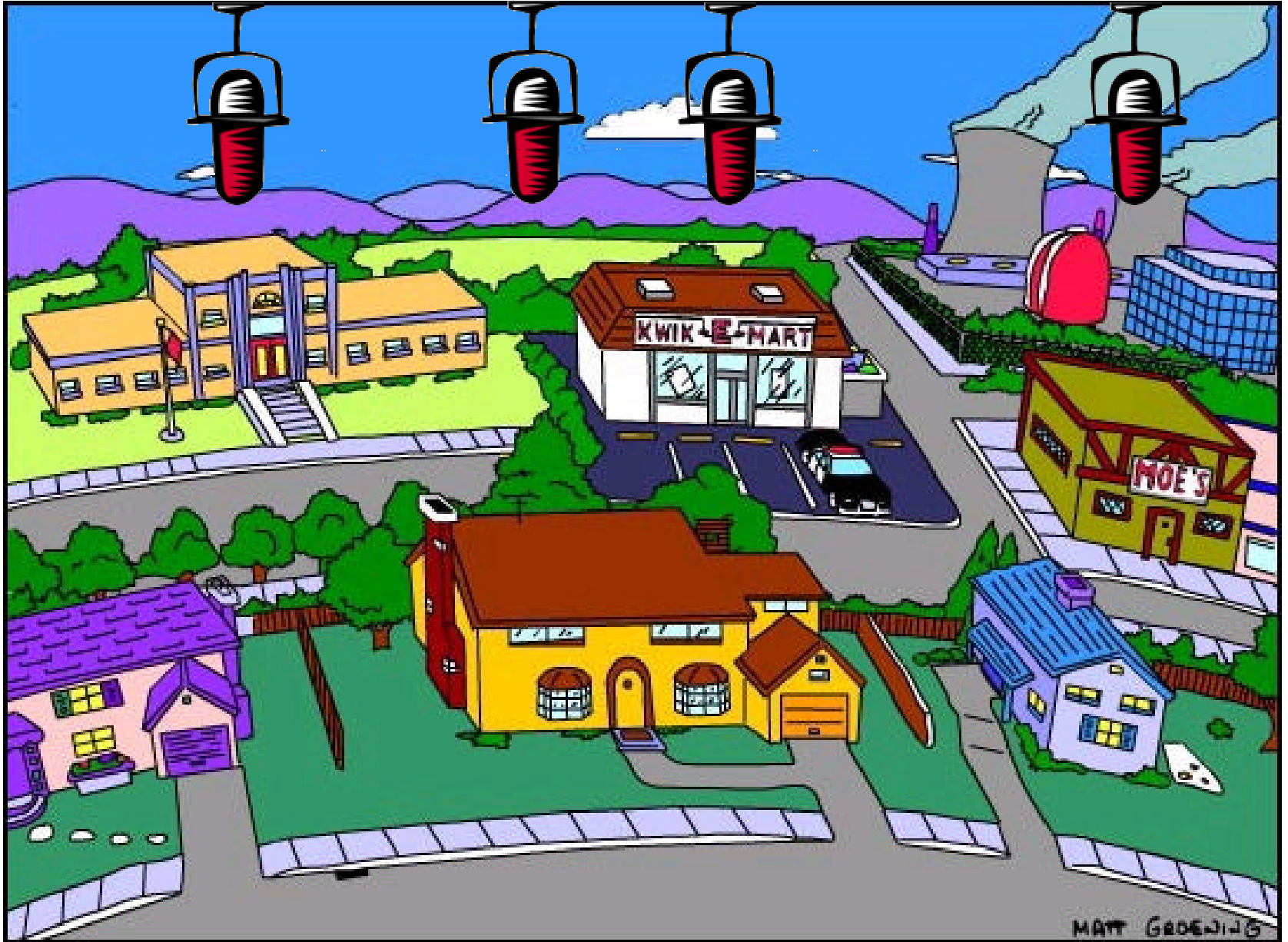


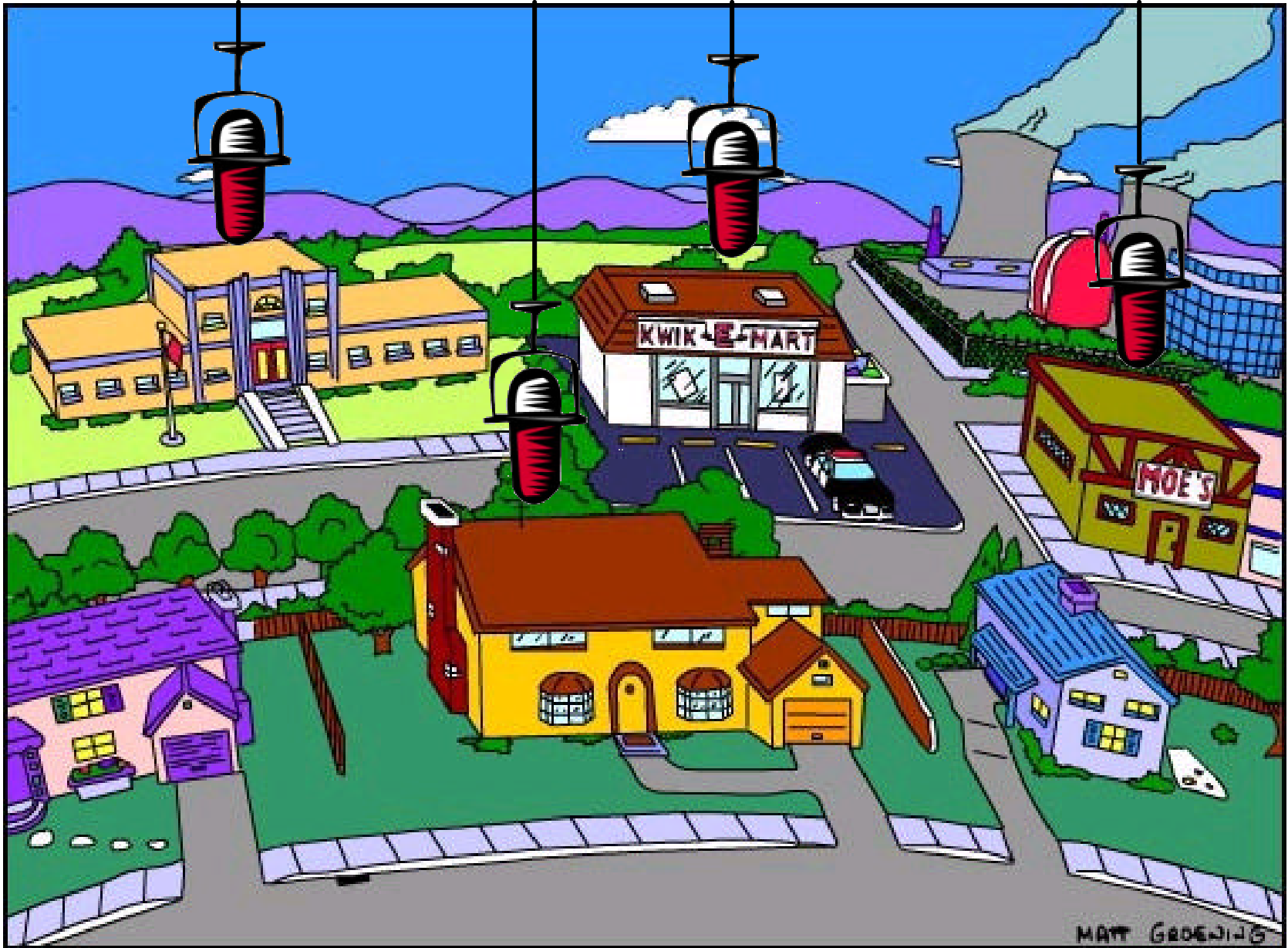


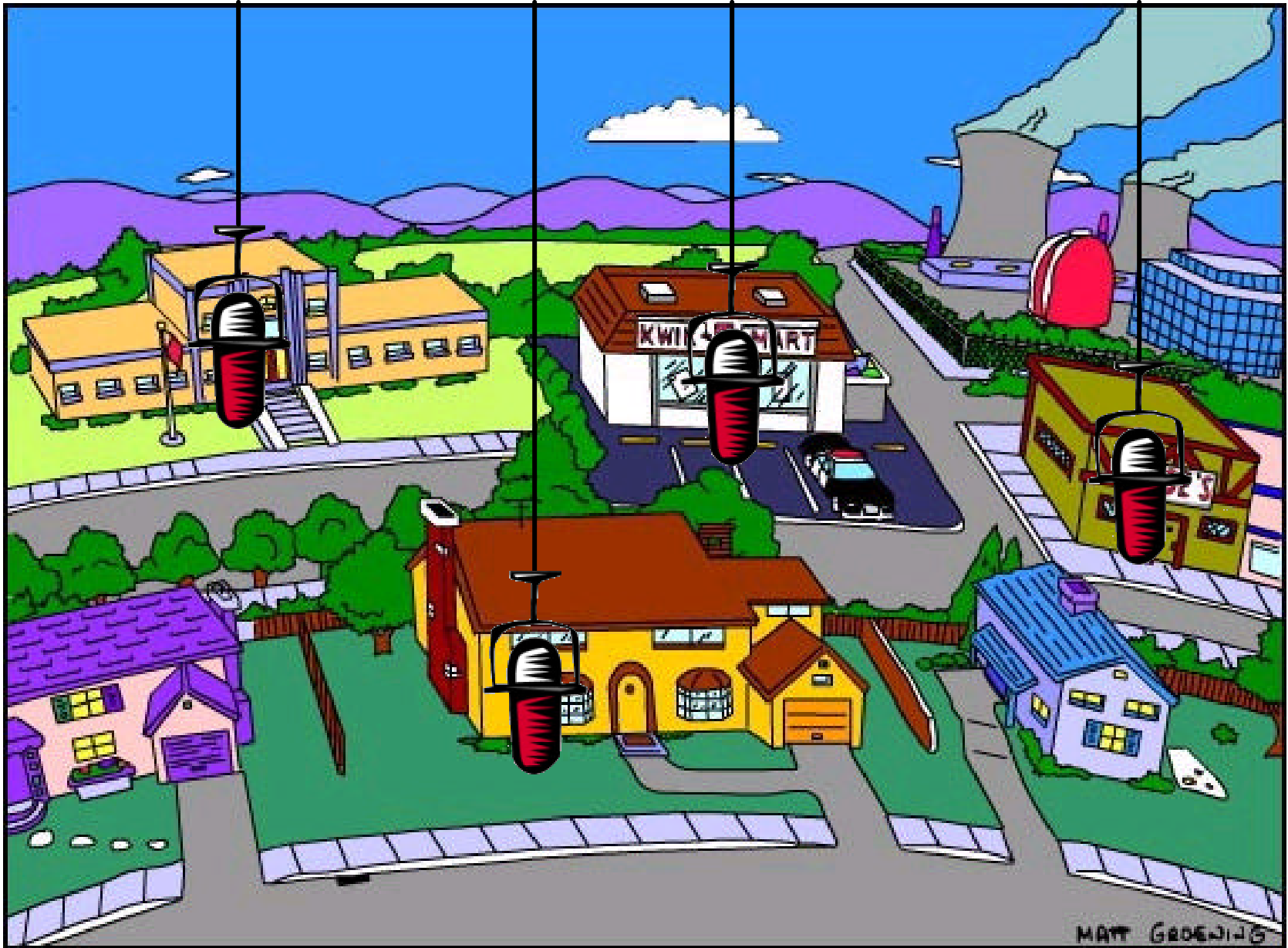
# LONG DISTANCE CALLS



*Conturo et al., 1999*



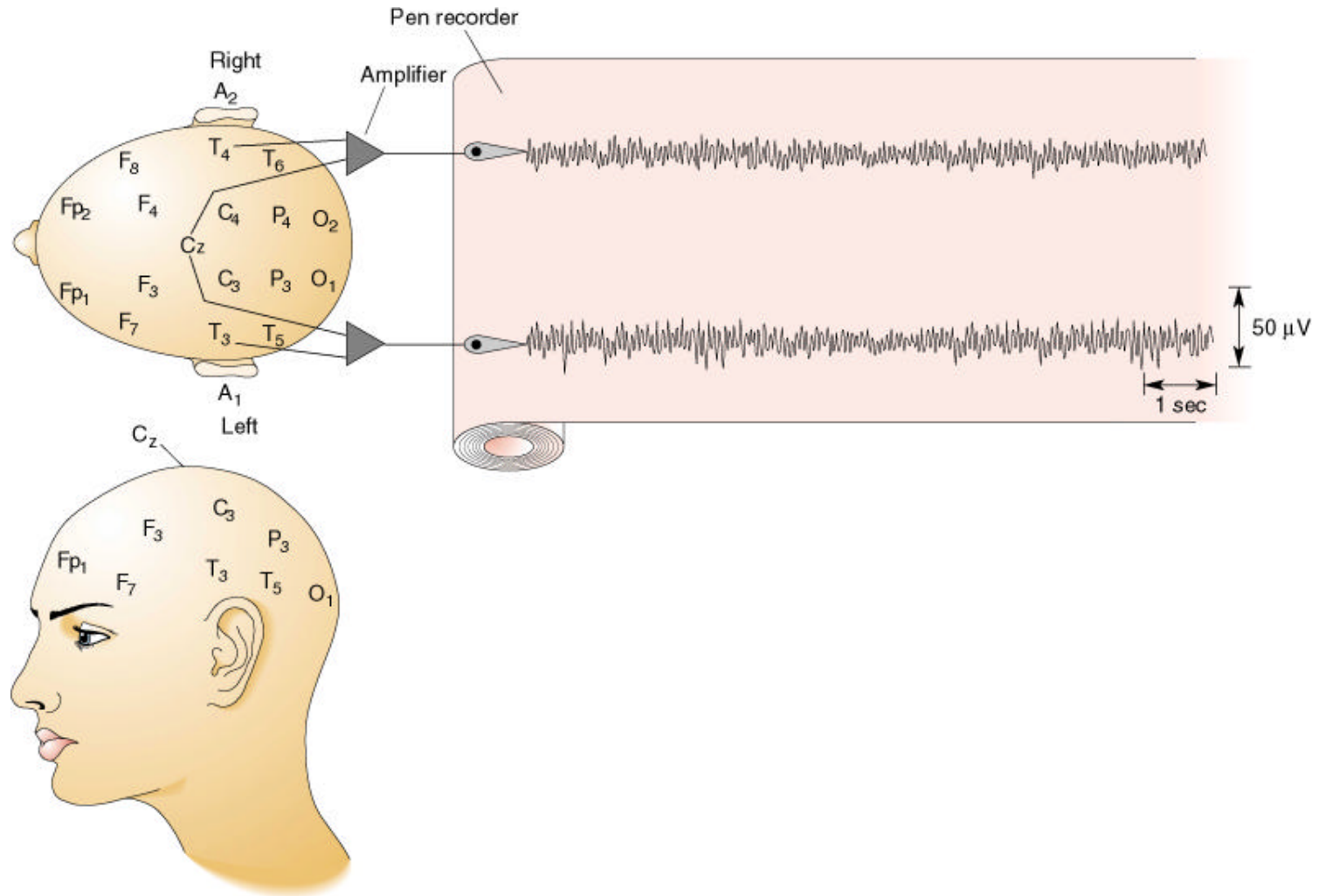








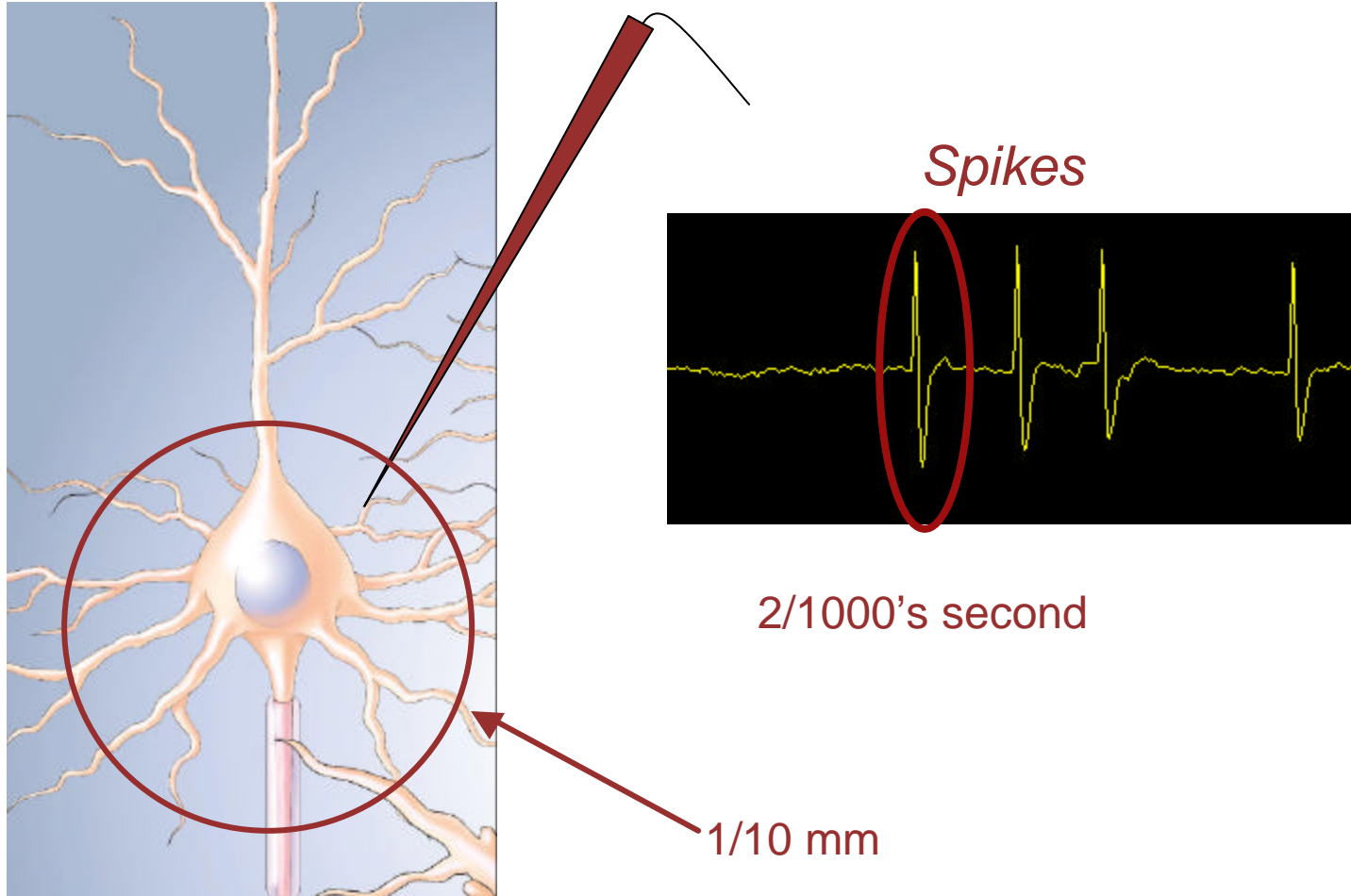
# THE EEG





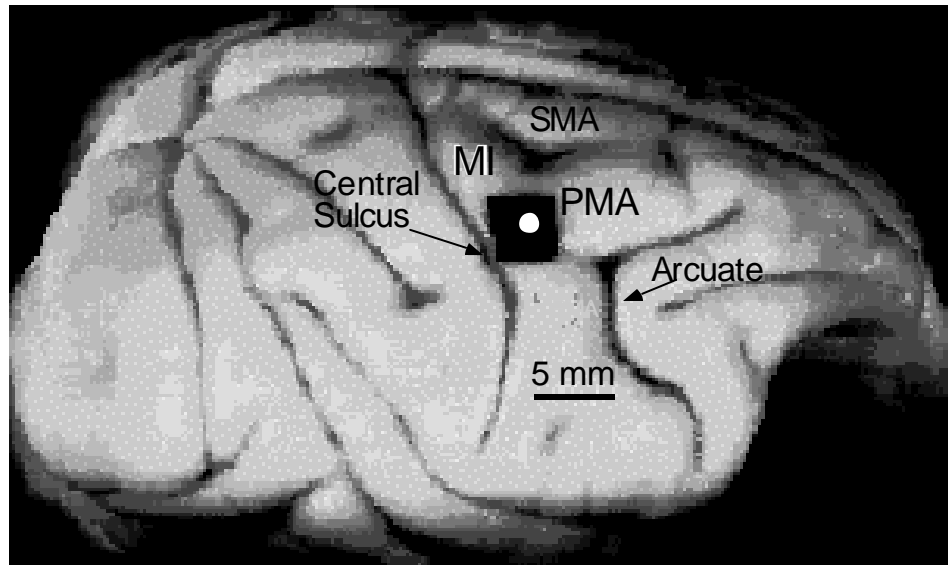
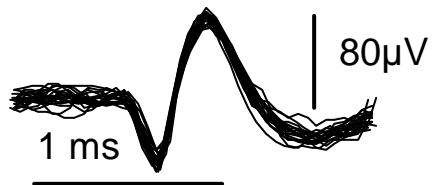
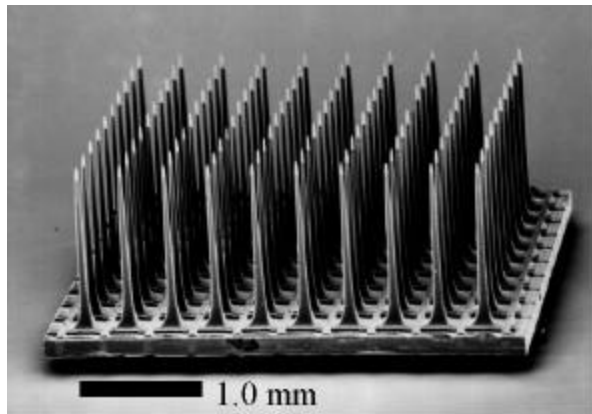
# SINGLE UNIT ACTIVITY

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# CELL ENSEMBLES

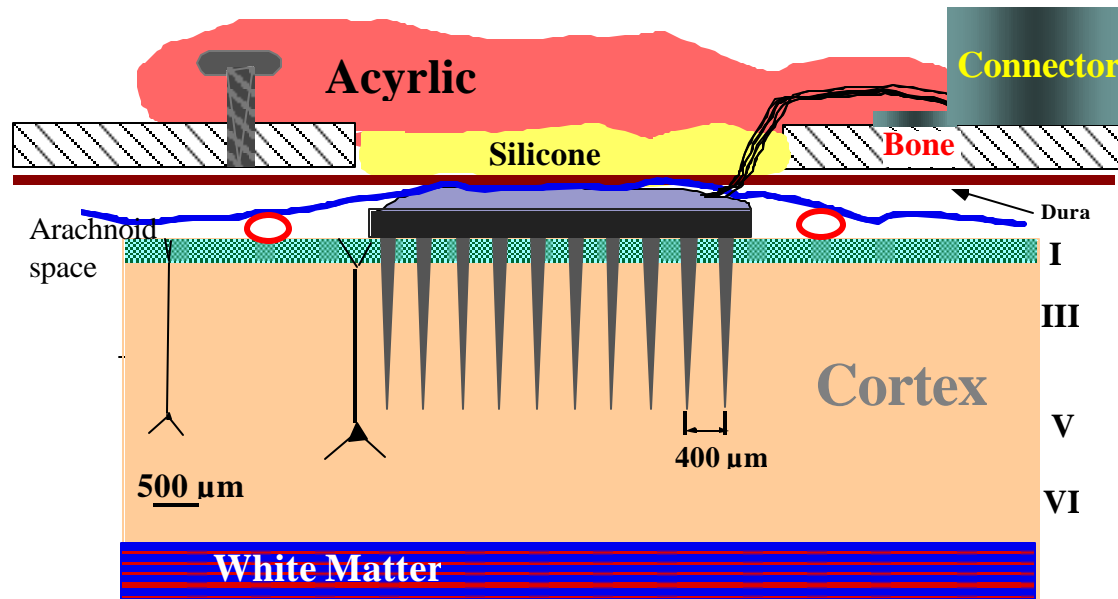


100 electrodes, 400 $\mu$ m separation  
4x4 mm

Implanted in the MI arm area of motor cortex  
- lacks strict somatopy



# Neural Implant



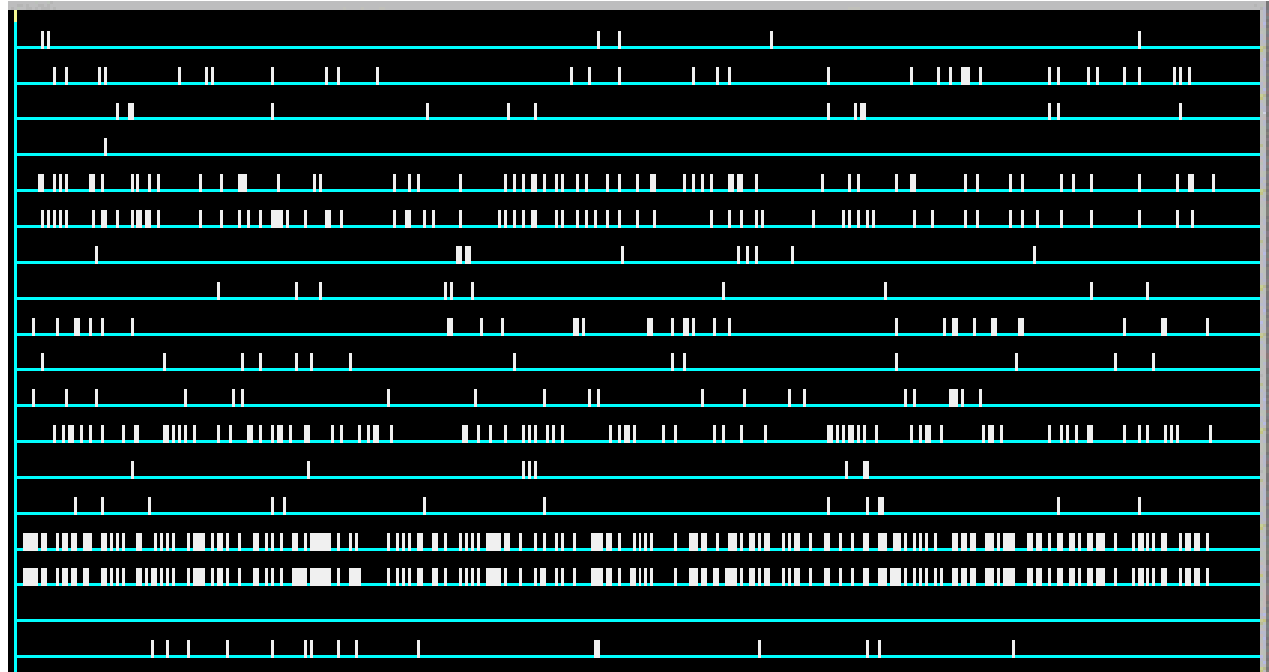
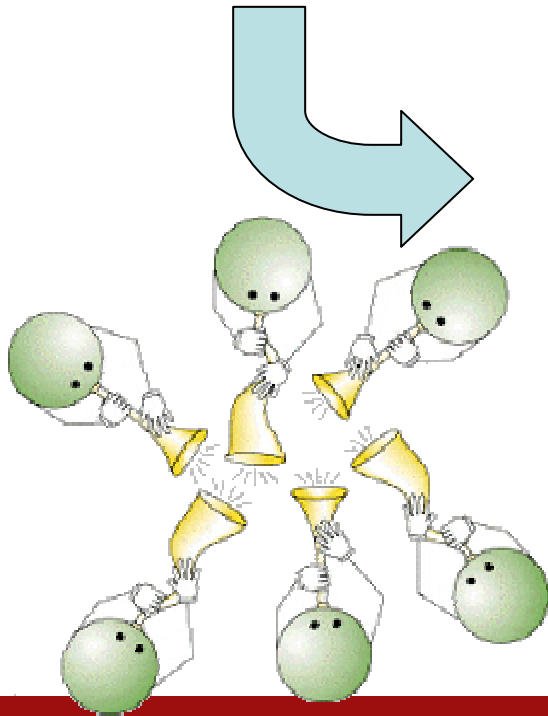
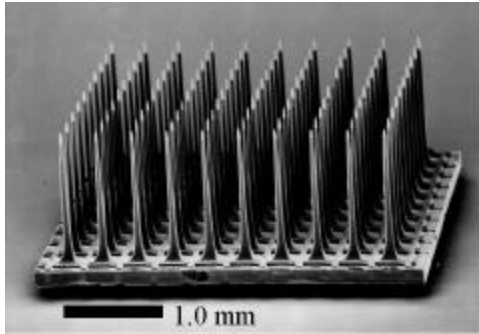
Chronically implanted.

Stable recording for 2-3 years

(but not necessarily the same cells every day)



# PATTERNS IN NEURAL ACTIVITY



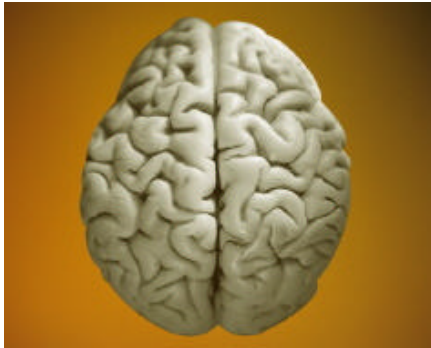




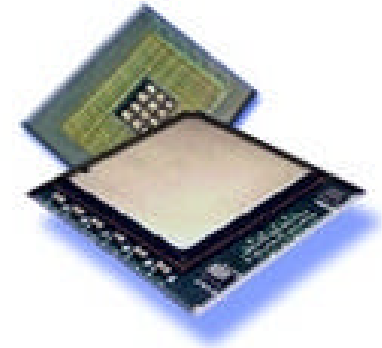
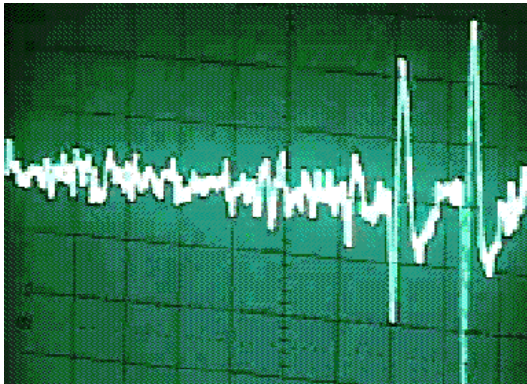
# LANGUAGE OF THE BRAIN

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Language of the brain.



Language of the computer.



Interpretation

“Translation”





# AMBIGUOUS SIGNALS

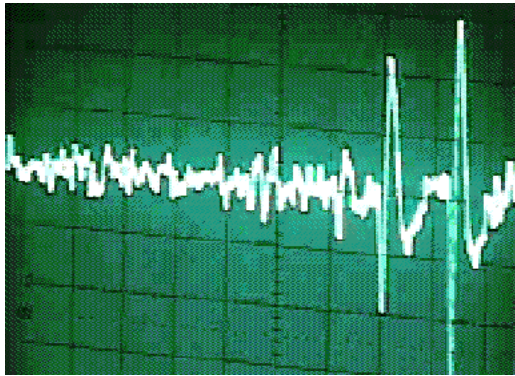
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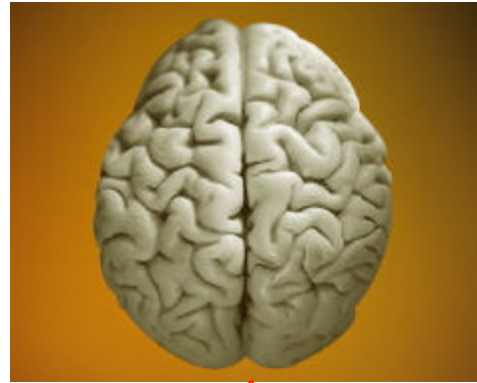


# INFERENCE

Ambiguous measurements



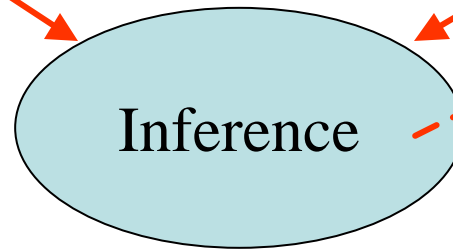
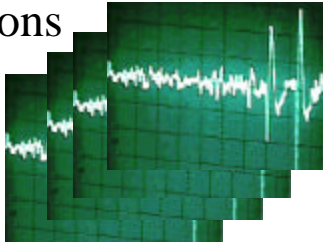
“Prior” knowledge about how brains work.



“Prior” knowledge about the environment



History of measurements and interpretations





# GOALS

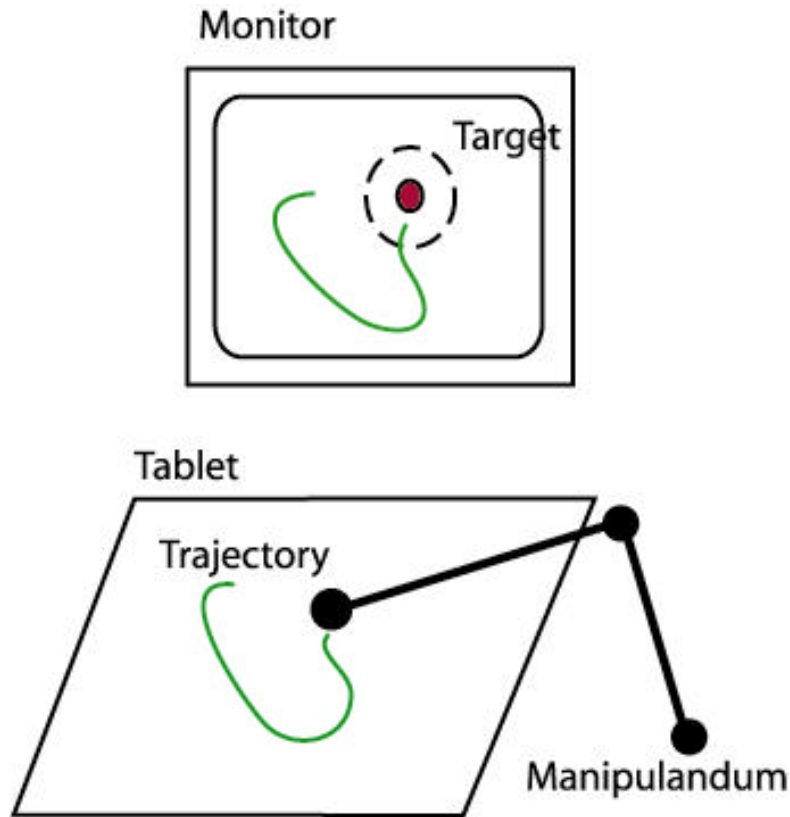
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- \* Model neural activity in motor cortex.
- \* Explore how the brain codes information.
- \* Model the statistical relationship between neural activity and action.
- \* Develop new statistical methods for analyzing neural codes.
- \* Build prosthetic devices to assist the severely disabled.
- \* Explore new output devices that can be controlled by brains.



# MODELING NEURAL FUNCTION

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Simultaneously record hand position, velocity, and neural activity in motor cortex.

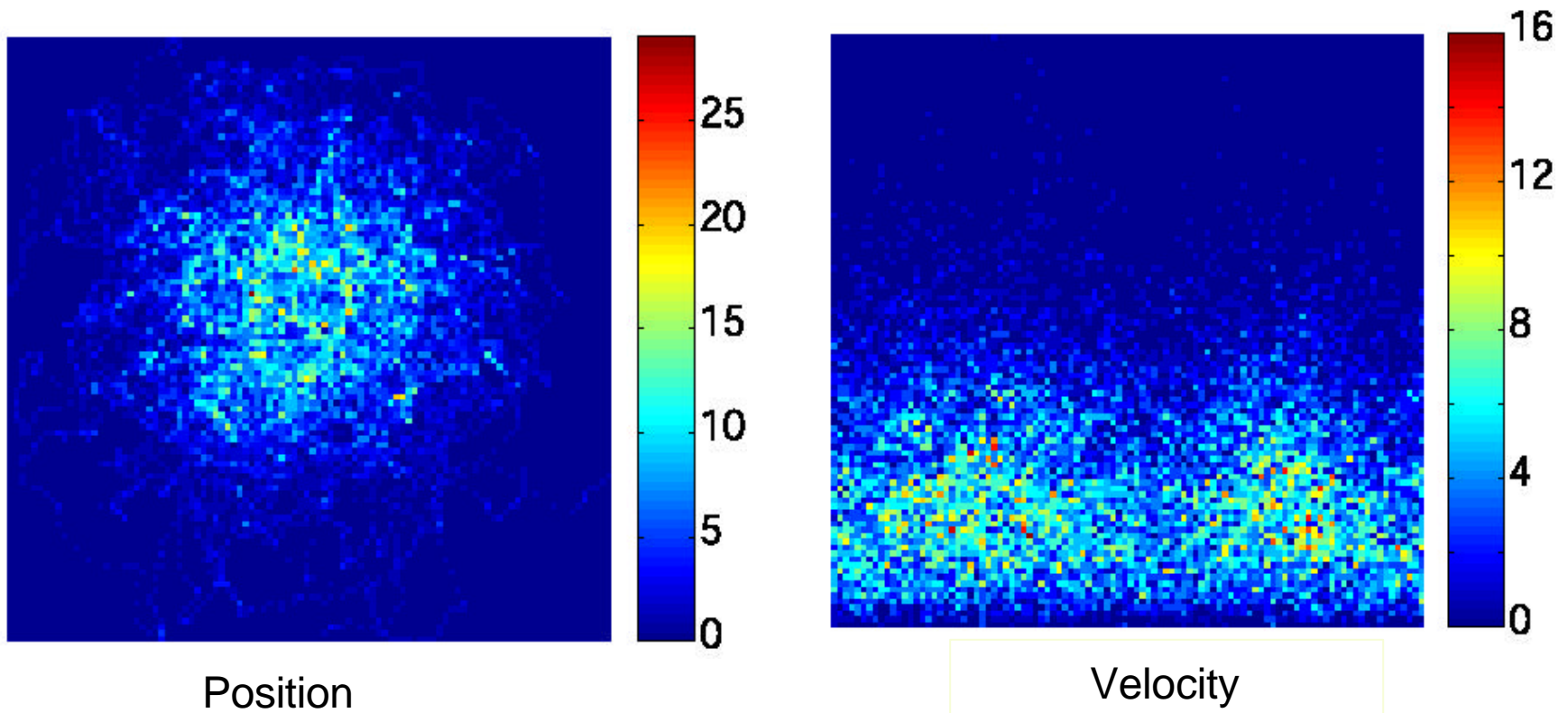




# ARM MOTION

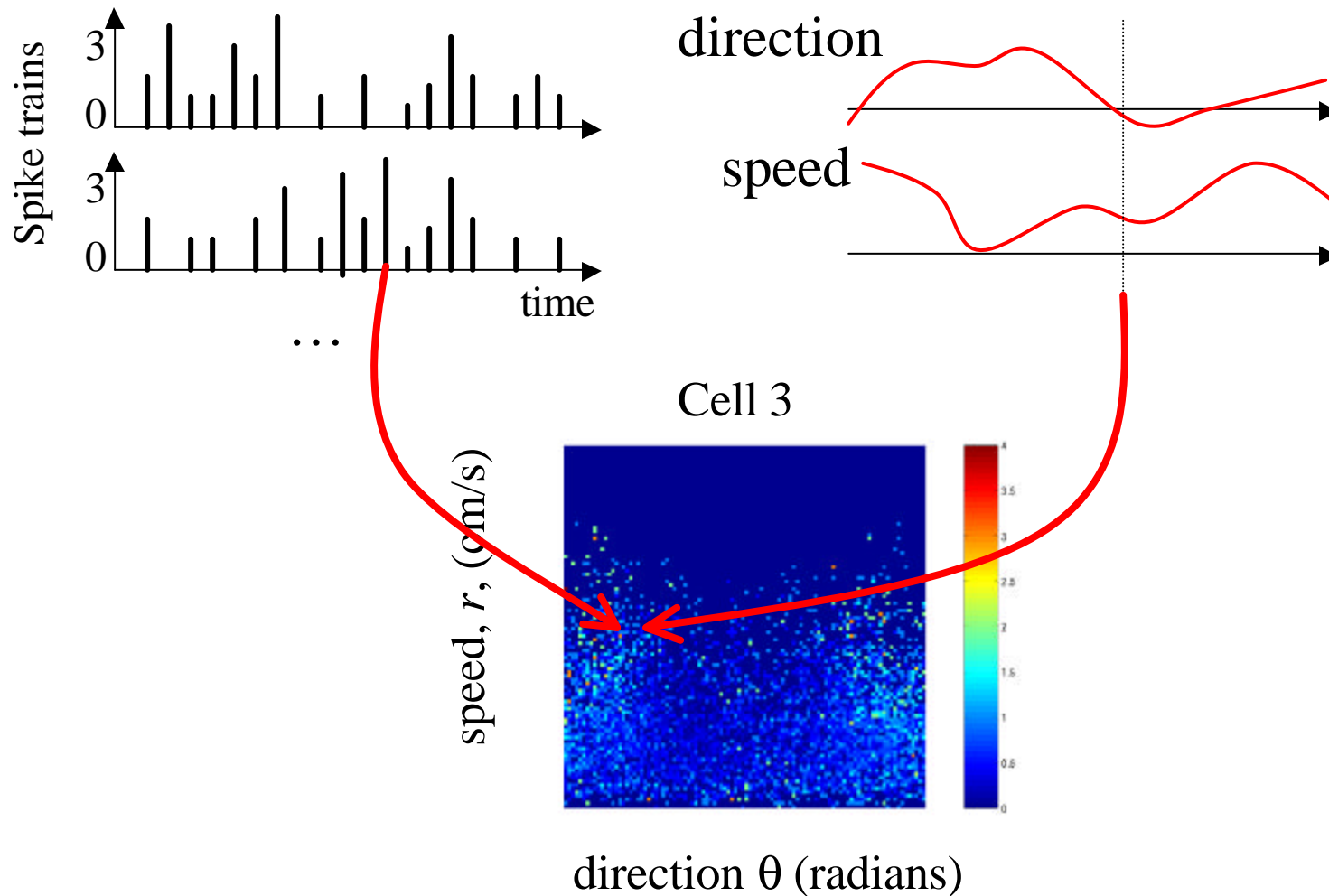
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Distribution of training motions:



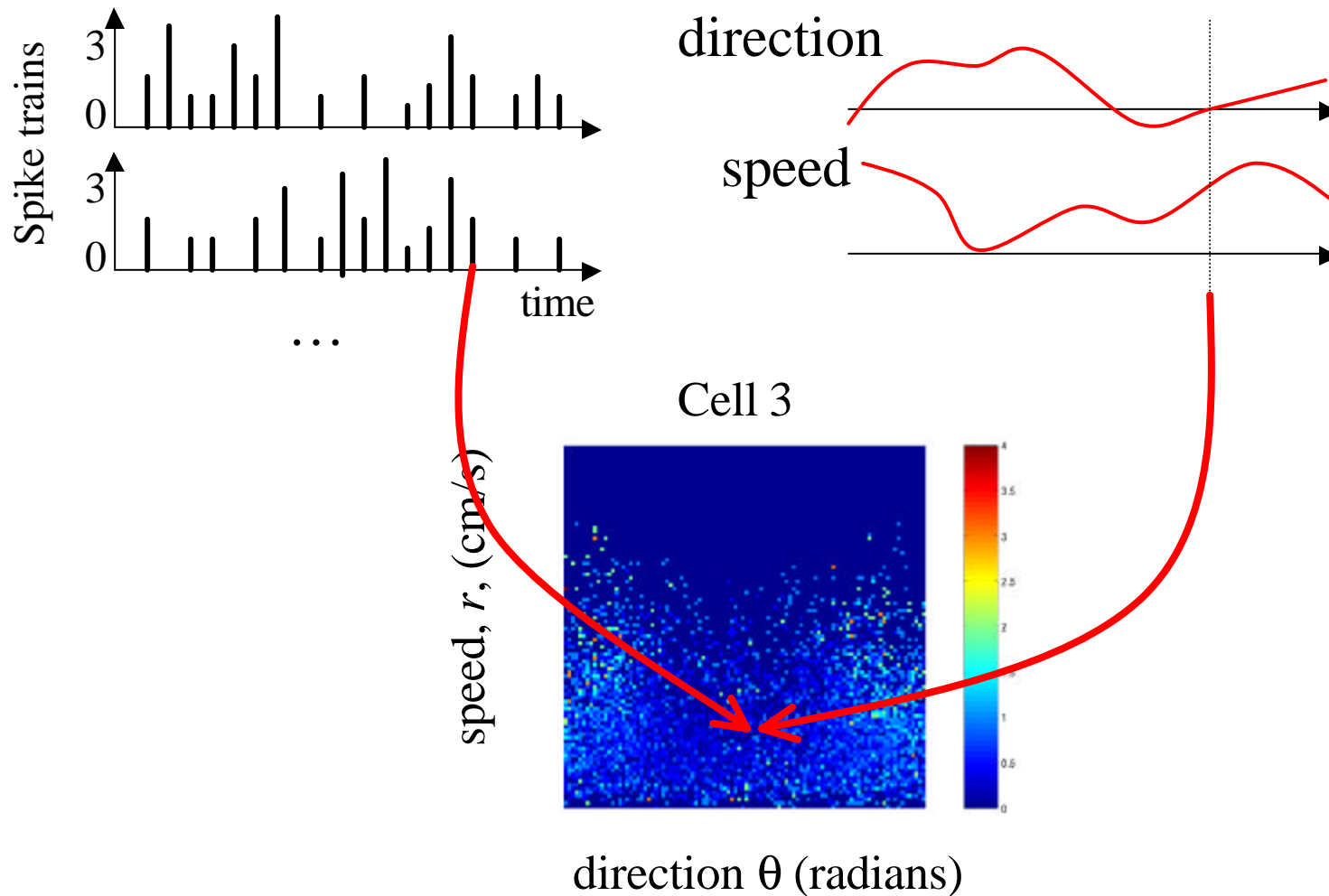


# MODELING NEURAL FUNCTION





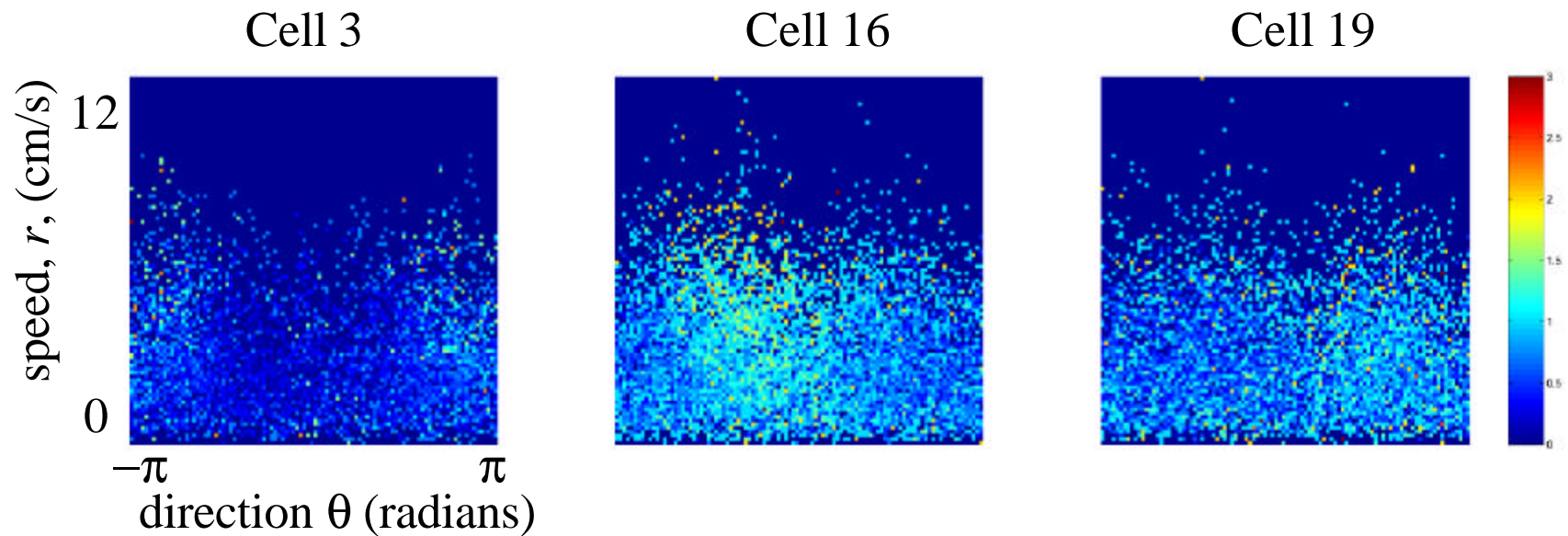
# MODELING NEURAL FUNCTION





# NEURAL ACTIVITY

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Is there some “true” underlying response function?

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# NON-PARAMETRIC MODEL

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$f_v$  : Observed mean firing rate for velocity  $v$

$g_v$  : True mean firing rate for velocity  $v$

$$\mathbf{v} = [r, \mathbf{q}]^T$$

$f_v$  is a noisy realization of the model  $g_v$

Infer  $g_v$  from  $f_v$  using Bayesian inference.

$$p(\mathbf{g} | \mathbf{f}) = \prod_v (\mathbf{k} \underbrace{p(f_v | g_v)}_{\text{likelihood}} \underbrace{\prod_{i=1}^h p(g_v | g_{v_i})}_{\text{spatial prior}})$$





# LIKELIHOOD

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Observed firing rate modeled a sample from

*Poisson:*

$$p_P(f | g) = \frac{1}{f!} g^f e^{-g}$$



# SPATIAL PRIOR

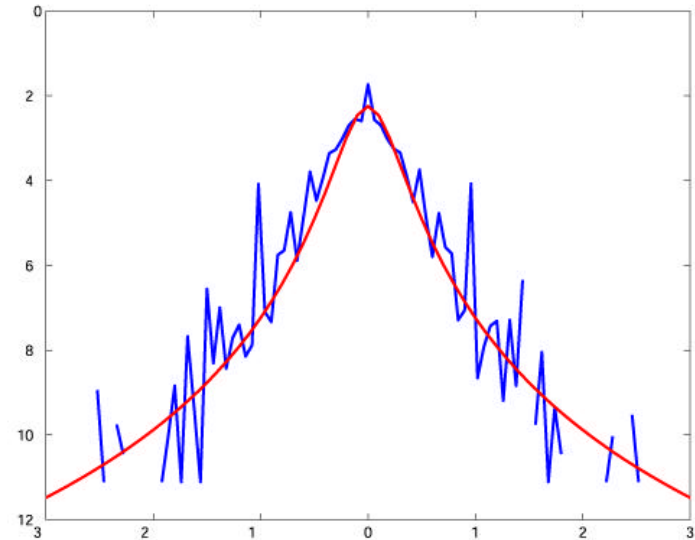
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Markov Random Field assumption

$$p(g_v | \mathbf{g}) = \prod_{i=1}^h p(g_v | g_{v_i})$$

T-distribution.

$$p(\Delta g) = \frac{2s^3}{p(s^2 + \Delta g^2)^2}$$





# OPTIMIZATION

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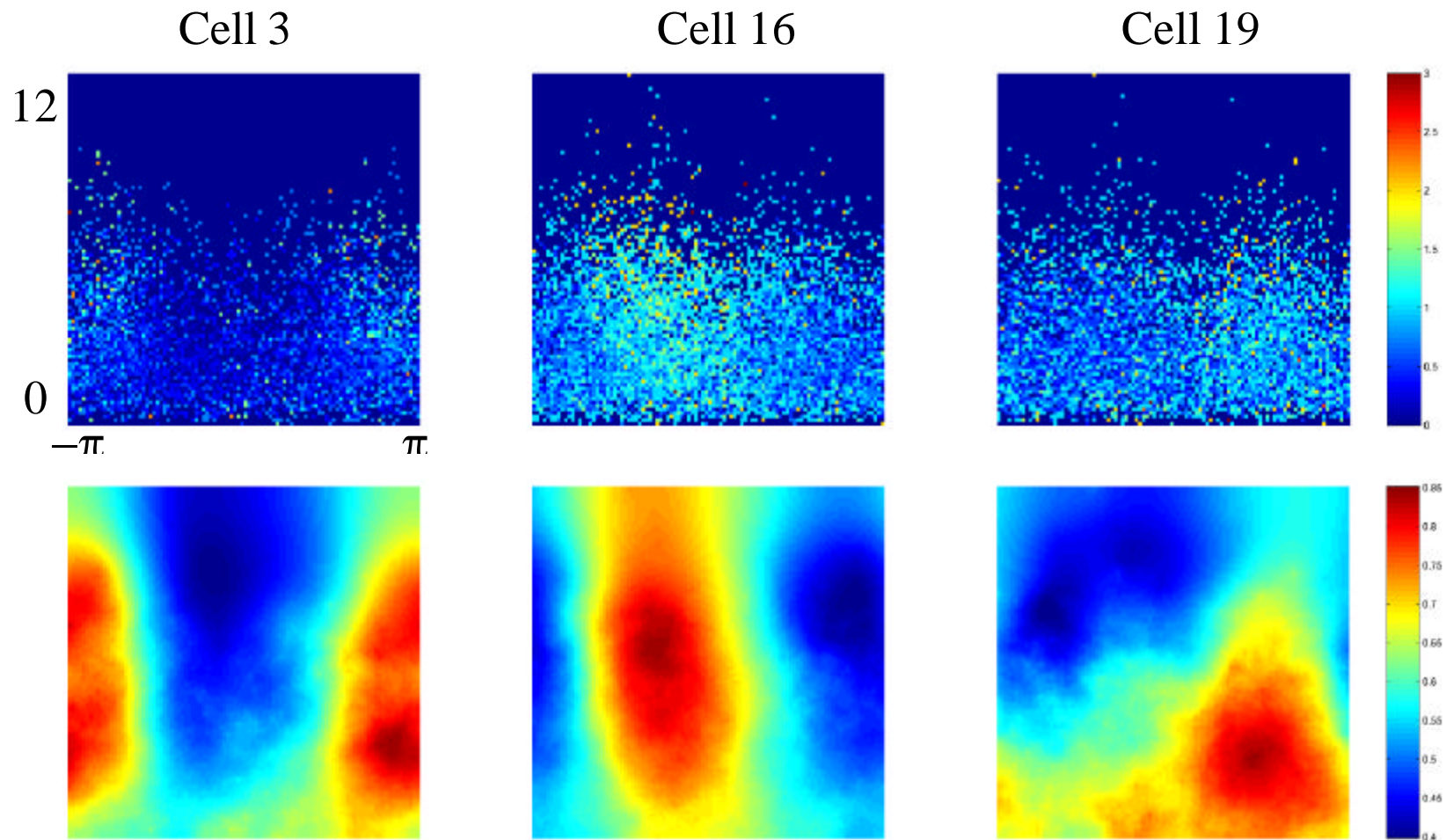
Many ways to maximize over  $g_v$

$$p(\mathbf{g} | \mathbf{f}) = k \prod_v p(f_v | g_v) p(g_v | \mathbf{g})$$

- Simulated annealing, etc.
- We exploit an approximate deterministic regularization method.
  - Take the negative log of  $p(\mathbf{g} | \mathbf{f})$
  - Minimize using gradient descent
  - Not ideal (loopy propagation, see Yedidia, Freeman, & Weiss, NIPS'00).

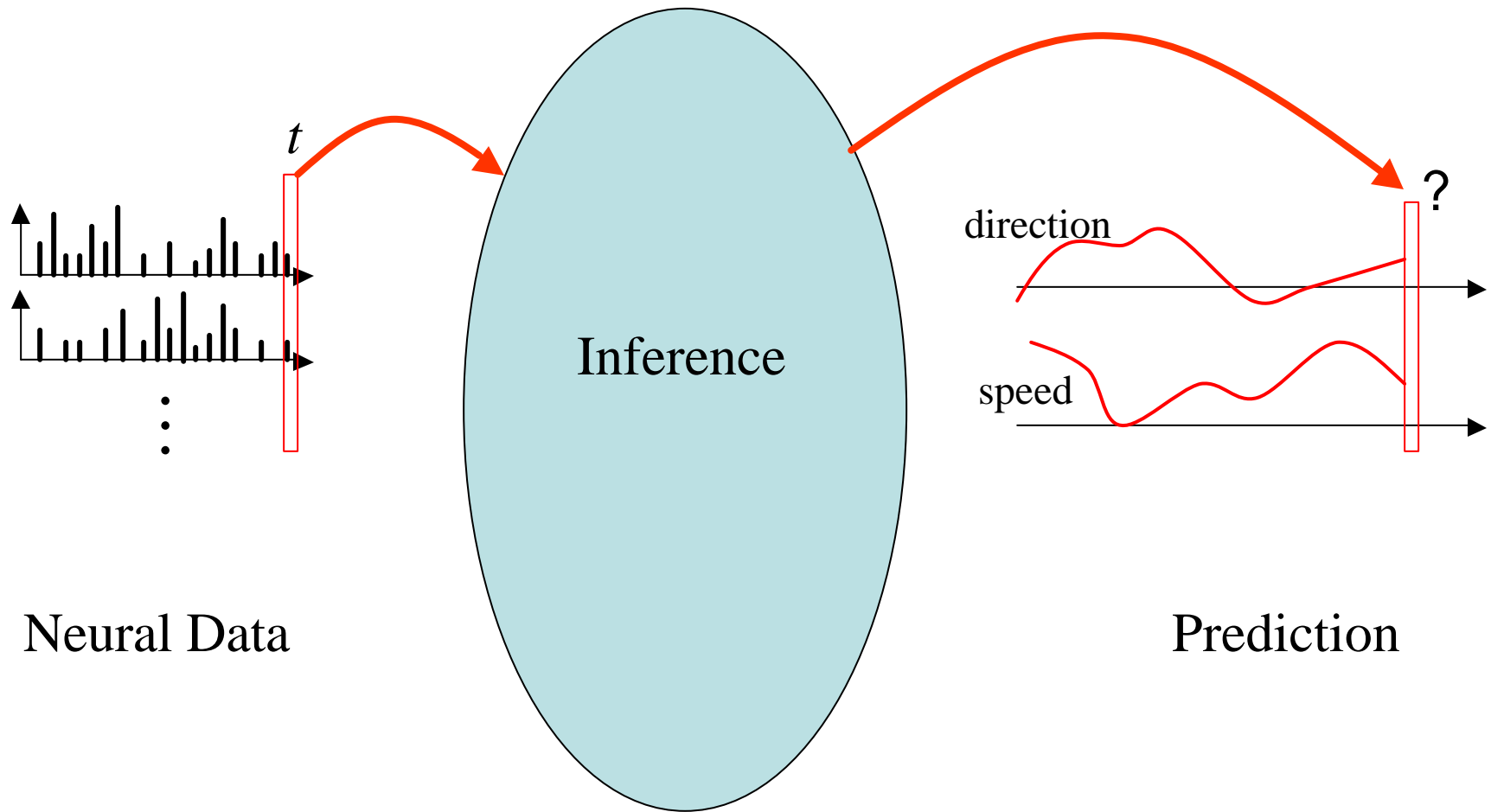


# Poisson+Robust



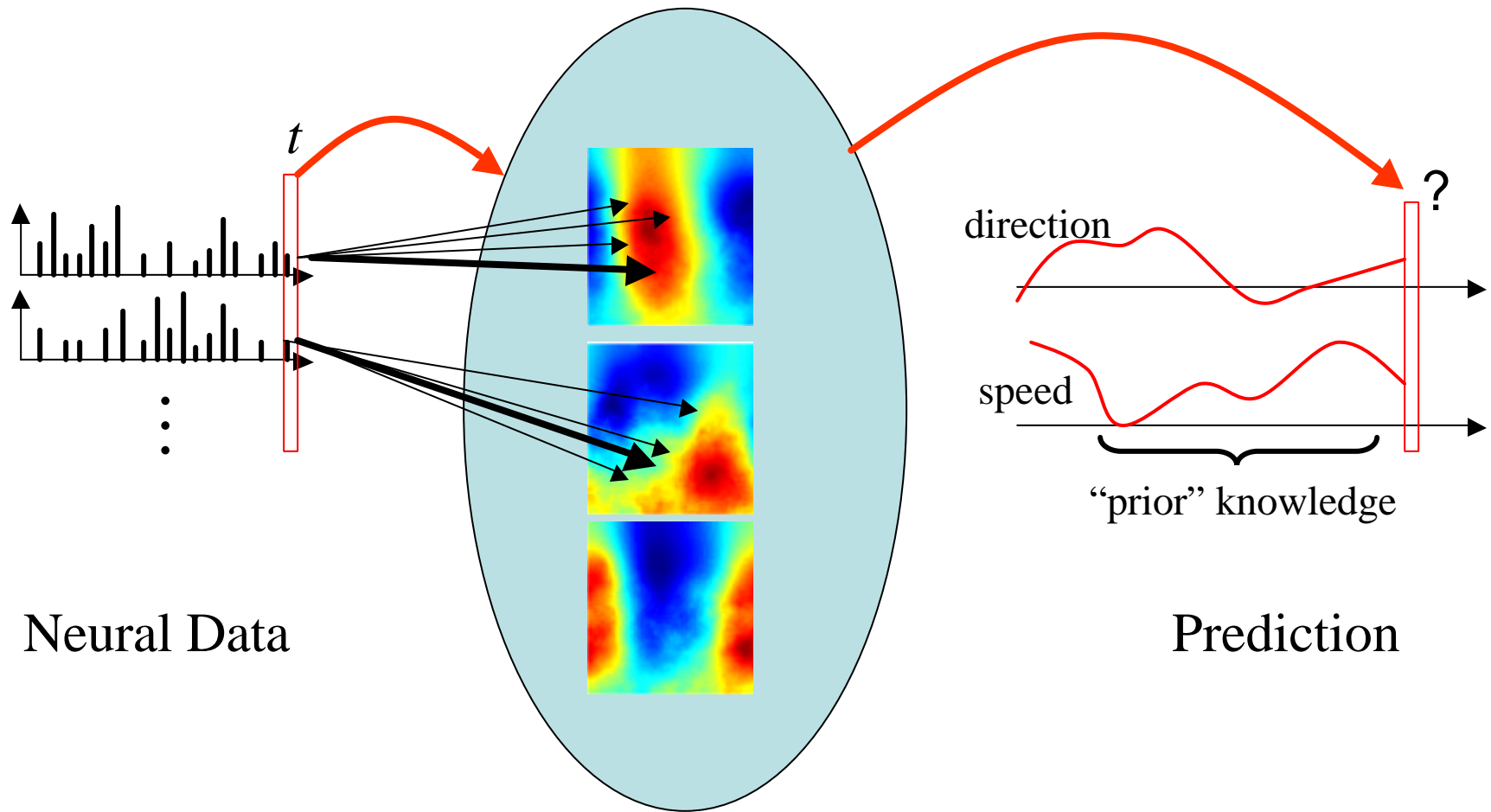


# INFERENCE FROM ACTIVITY





# INFERENCE FROM ACTIVITY





# GOALS

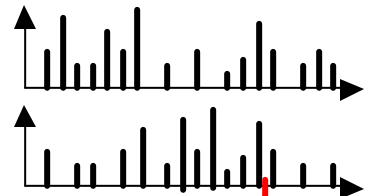
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- ◆ sound probabilistic inference
- ◆ causal
- ◆ estimate over short time intervals to reduce lag
- ◆ cope with non-linear dynamics of hand motion
- ◆ cope with ambiguities (multi-modal distributions)
- ◆ more realistic firing models (Poisson or  
Poisson+refractory period [Kass&Venture, Neural Comp. '01])
- ◆ support higher level analysis of activities



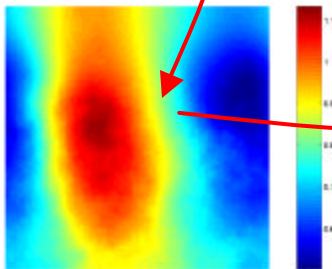


# BAYESIAN INFERENCE


$$p(\mathbf{s}_t | \mathbf{C}_t) = k_2 \underbrace{p(\mathbf{c}_t | \mathbf{s}_t)}_{\text{likelihood}} p(\mathbf{s}_t | \mathbf{C}_{t-1})$$

...

$$p(\mathbf{c}_t | \mathbf{s}_t) = \prod_{i=\text{cells}} \frac{1}{c_{i,t}!} g_{s_t}^{c_{i,t}} e^{-g_{s_t}}$$



Want to infer state of hand  $\mathbf{s}_t = [r, \mathbf{q}]$  given the activity,  $\mathbf{C}_t$ , of ( $\sim 25$  cells) up to time  $t$ .



# BAYESIAN INFERENCE

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$$p(\mathbf{s}_t | \mathbf{C}_t) = k_2 p(\mathbf{c}_t | \mathbf{s}_t) p(\mathbf{s}_t | \mathbf{C}_{t-1})$$

*prior*

$$p(\mathbf{s}_t | \mathbf{C}_{t-1}) = \int p(\mathbf{s}_t | \mathbf{s}_{t-1}) p(\mathbf{s}_{t-1} | \mathbf{C}_{t-1}) d\mathbf{s}_{t-1}$$

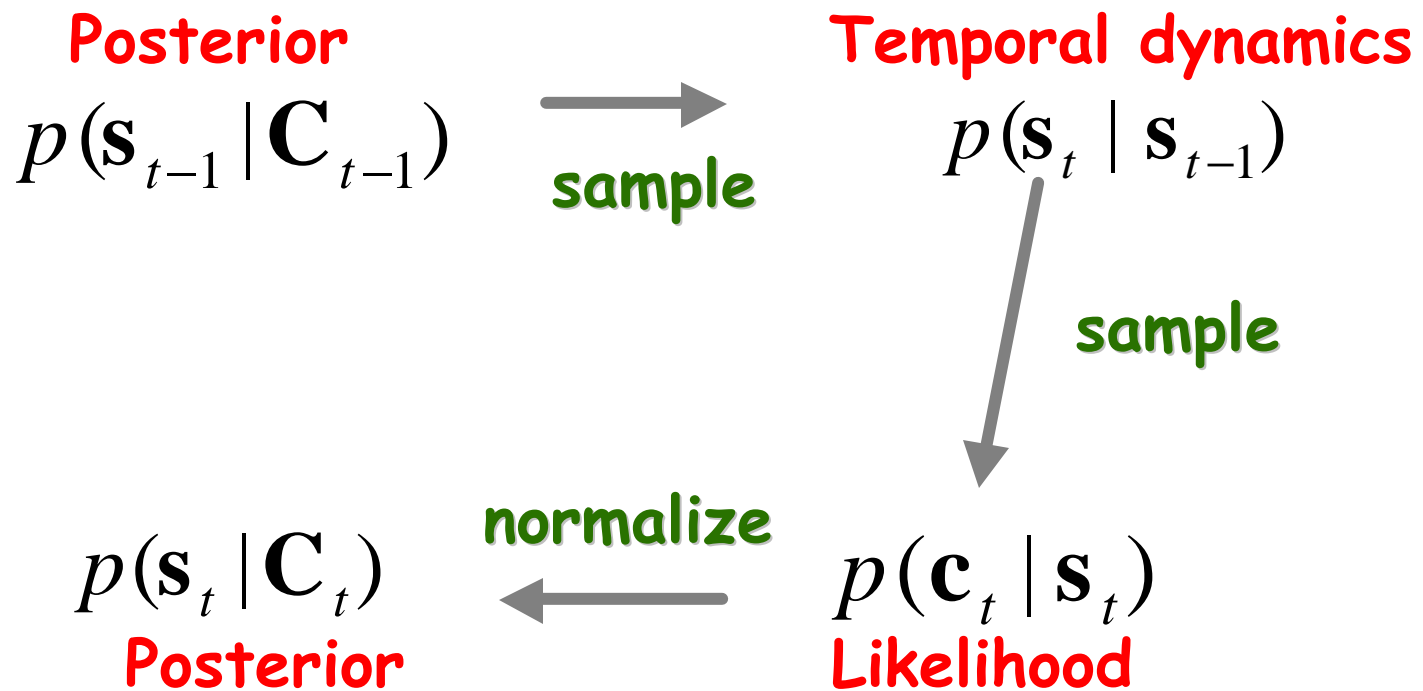
↑  
Temporal dynamics  
(constant velocity)



# PARTICLE FILTER

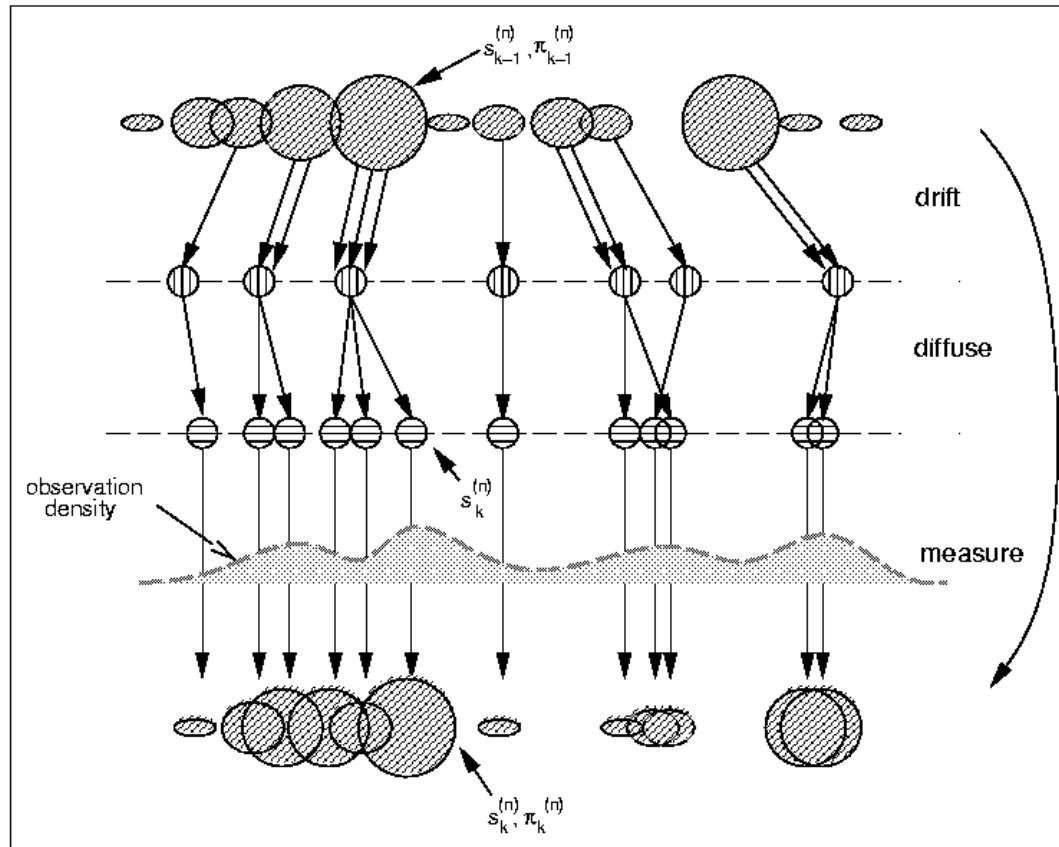
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Represent posterior with a discrete set of  $N$  states and their normalized likelihood.





# PARTICLE FILTER

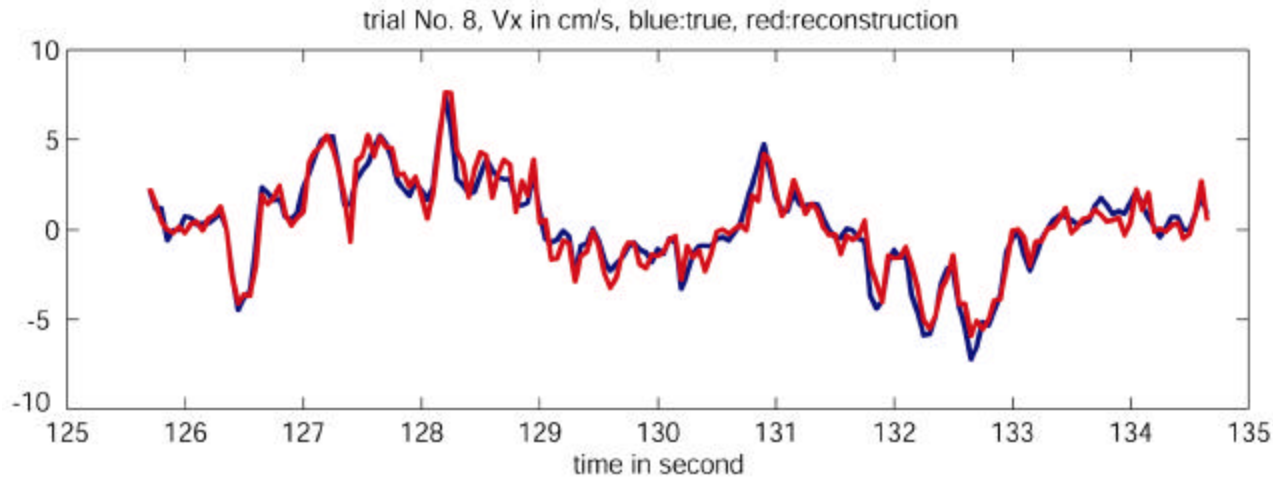


Isard & Blake '96



# 1000 “SYNTHETIC” CELLS

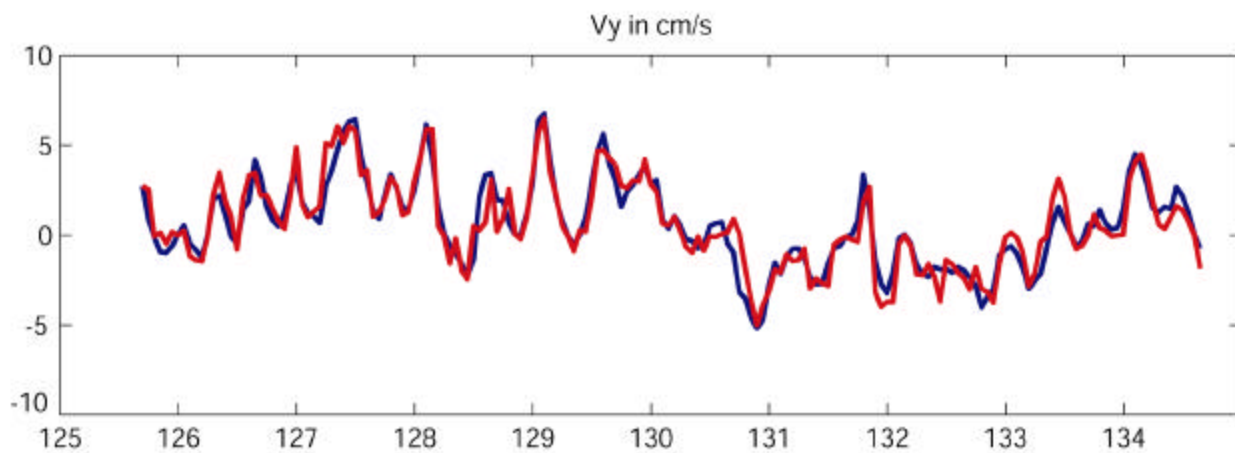
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Particle filtering  
in 50 ms:

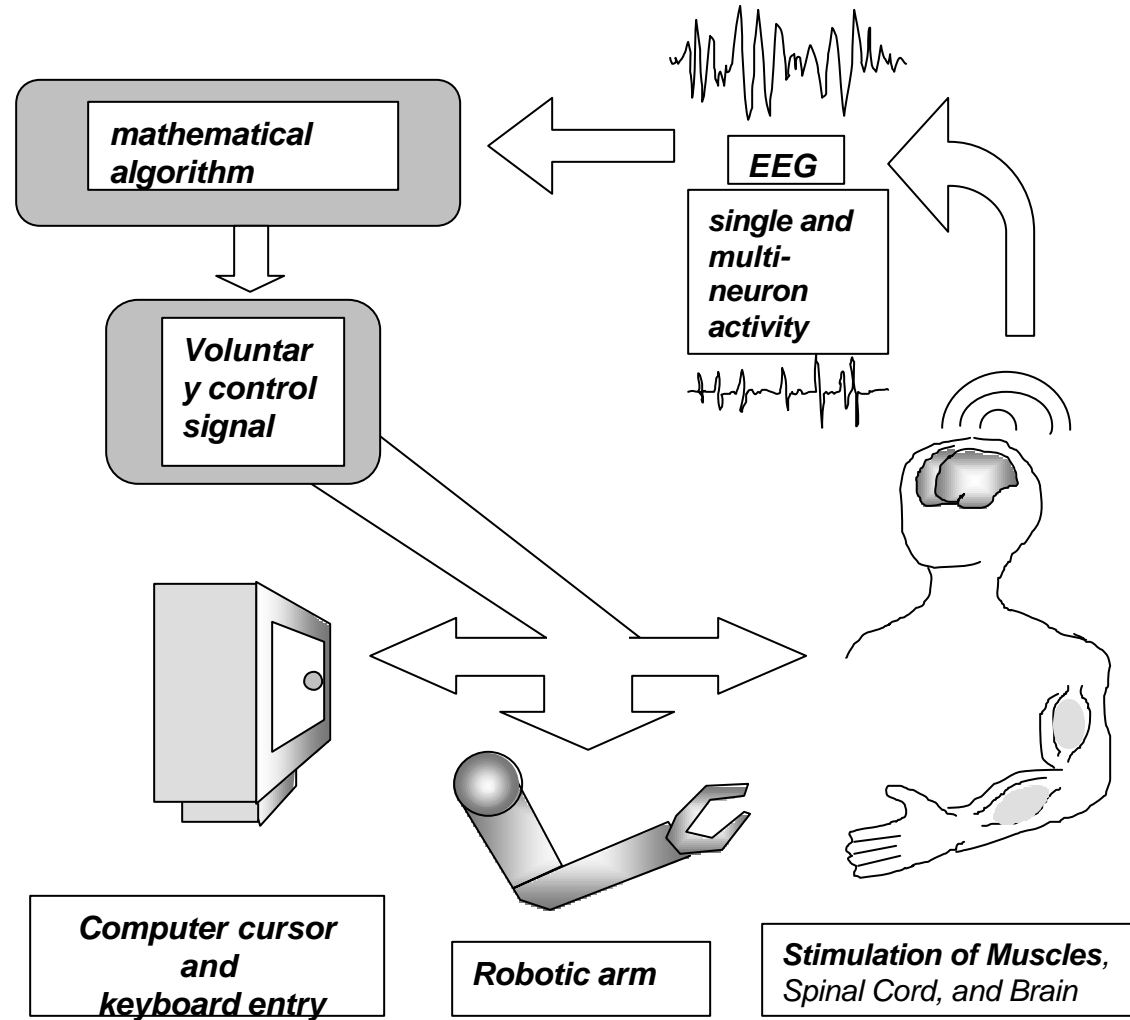
$$v_x: r^2 = 0.8746$$

$$v_y: r^2 = 0.9033$$





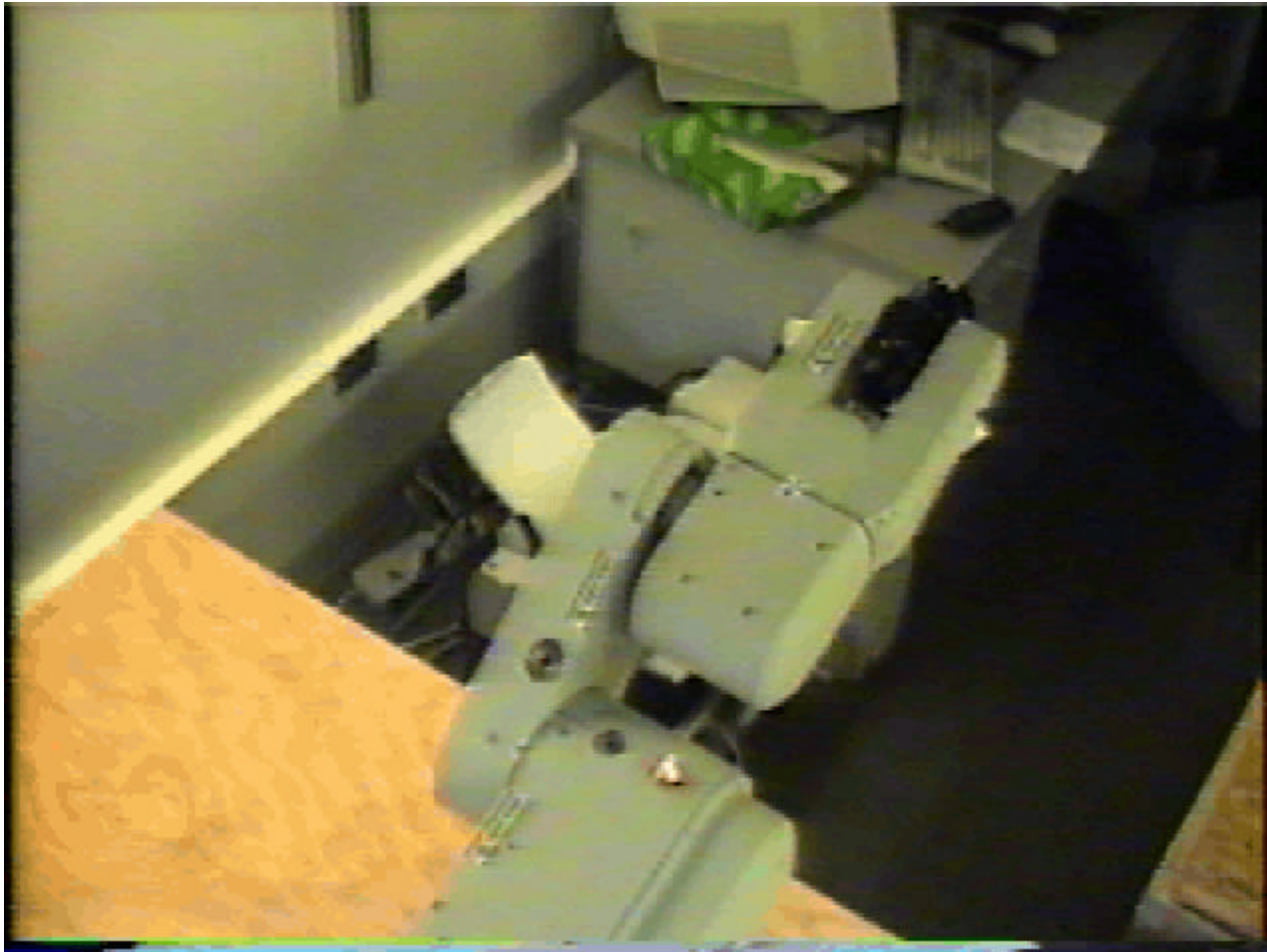
# A NEURAL PROSTHETIC





# NEURAL-PROSTHETIC LIMBS

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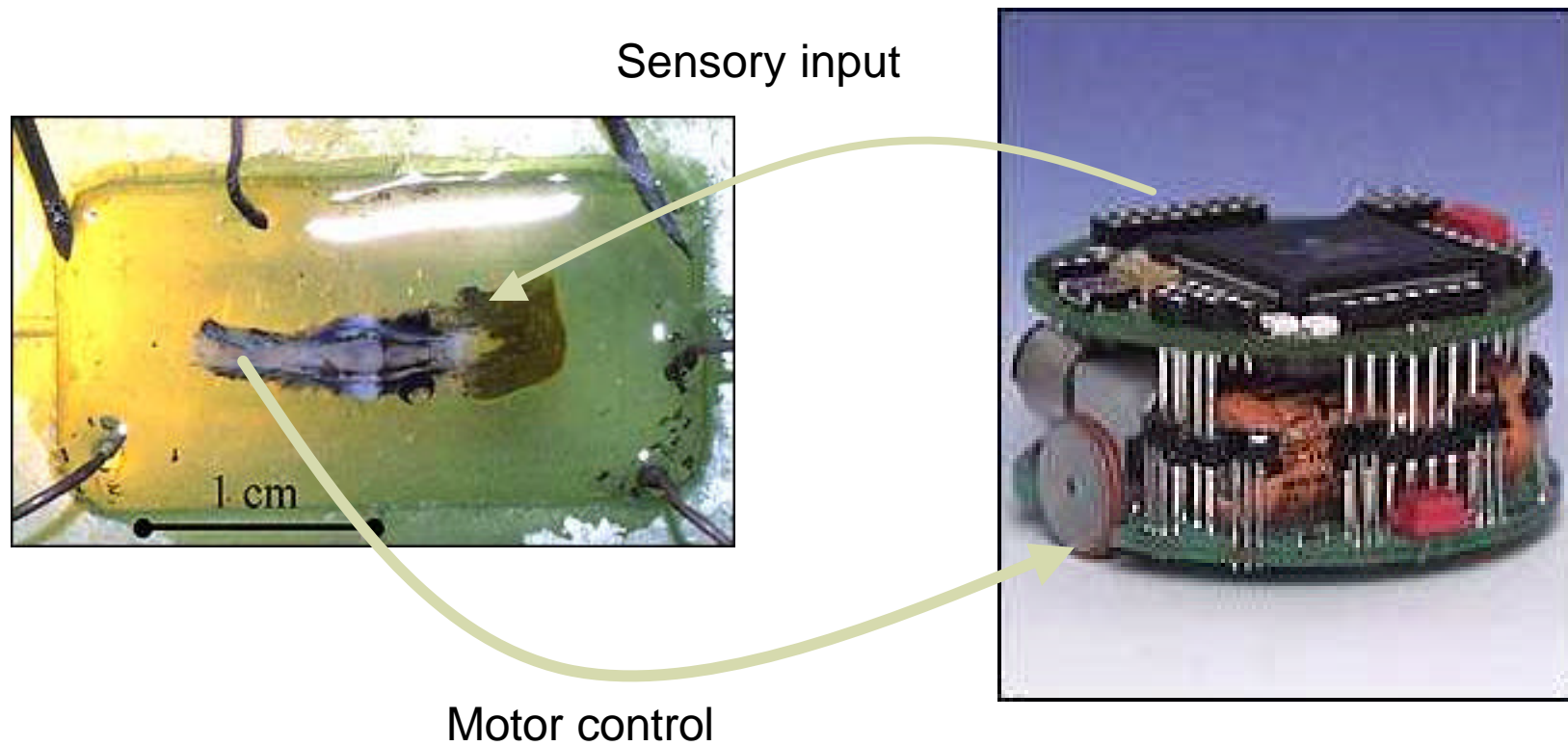






# HYBRID SYSTEMS

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Connecting Brains to Robots, Reger et al, Artificial Life, 2000.



# BRAIN/MACHINE HYBRIDS

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- ◆ Explore biological sensory/control systems with artificial systems.
- ◆ Develop computational models of biological control.
- ◆ Re-map input modalities.
- ◆ Opportunity for robotic prostheses.
- ◆ Augment limited neural control with autonomy (eg. obstacle avoidance).



# OUR BODIES OURSELVES?

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- ◆ Service robots under neural control.
- ◆ Sensation and action at a distance.
- ◆ Stimulating the brain.

Ethics, liminality, fear,  
and the “uncanny”.



Probotics/Jim Judkis



Honda robot



# THANKS

---

**D. Sheinberg**, *Neuroscience*

**N. Hatsopoulos**, *Neuroscience*

**W. Patterson**, *Engineering*

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